

OPEN ACCESS



African Journal of
Agricultural Research

21 June, 2018
ISSN 1991-637X
DOI: 10.5897/AJAR
www.academicjournals.org



ABOUT AJAR

The African Journal of Agricultural Research (AJAR) is published weekly (one volume per year) by Academic Journals.

African Journal of Agricultural Research (AJAR) is an open access journal that publishes high-quality solicited and unsolicited articles, in English, in all areas of agriculture including arid soil research and rehabilitation, agricultural genomics, stored products research, tree fruit production, pesticide science, postharvest biology and technology, seed science research, irrigation, agricultural engineering, water resources management, marine sciences, agronomy, animal science, physiology and morphology, aquaculture, crop science, dairy science, entomology, fish and fisheries, forestry, freshwater science, horticulture, poultry science, soil science, systematic biology, veterinary, virology, viticulture, weed biology, agricultural economics and agribusiness. All articles published in AJAR are peer-reviewed.

Contact Us

Editorial Office: ajar@academicjournals.org

Help Desk: helpdesk@academicjournals.org

Website: <http://www.academicjournals.org/journal/AJAR>

Submit manuscript online <http://ms.academicjournals.me/>

Editors

Prof. N.A. Amusa

Editor, African Journal of Agricultural Research Academic Journals.

Dr. Panagiota Florou-Paneri

Laboratory of Nutrition,
Faculty of Veterinary Medicine,
Aristotle University of
Thessaloniki, Greece.

Prof. Dr. Abdul Majeed

Department of Botany, University of
Gujrat, India, Director Horticulture,
and
landscaping.
India.

Prof. Suleyman TABAN

Department of Soil Science and Plant
Nutrition, Faculty of Agriculture,
Ankara University,
06100 Ankara-TURKEY.

Prof. Hyo Choi

Graduate School
Gangneung-Wonju National University
Gangneung,
Gangwondo 210-
702, Korea.

Dr. MATIYAR RAHAMAN KHAN

AICRP (Nematode), Directorate of
Research, Bidhan Chandra Krishi
Viswavidyalaya, P.O. Kalyani, Nadia, PIN-
741235, West Bengal.
India.

Prof. Hamid AIT-AMAR

University of Science and Technology,
Houari Bouemdiene, B.P. 32, 16111 EL-Alia, Algiers,
Algeria.

Prof. Sheikh Raisuddin

Department of Medical Elementology and
Toxicology, Jamia Hamdard (Hamdard University)
New
Delhi,
India.

Prof. Ahmad Arzani

Department of Agronomy and Plant Breeding
College of Agriculture
Isfahan University of Technology
Isfahan-84156, Iran.

Dr. Bampidis Vasileios

National Agricultural Research Foundation
(NAGREF), Animal Research Institute 58100
Giannitsa,
Greece.

Dr. Zhang Yuanzhi

Laboratory of Space Technology,
University of Technology (HUT) Kilonkallio Espoo,
Finland.

Dr. Mboya E. Burudi

International Livestock Research Institute
(ILRI) P.O. Box 30709 Nairobi 00100,
Kenya.

Dr. Andres Cibils

Assistant Professor of Rangeland Science
Dept. of Animal and Range Sciences
Box 30003, MSC 3-I New Mexico State University
Las
Cruces,
NM 88003 (USA).

Dr. MAJID Sattari

Rice Research Institute of
Iran, Amol-Iran.

Dr. Agricola Odoi

University of Tennessee,
TN., USA.

Prof. Horst Kaiser

Department of Ichthyology and Fisheries Science
Rhodes University, PO Box
94, South Africa.

Prof. Xingkai Xu

Institute of Atmospheric Physics,
Chinese Academy of
Sciences, Beijing 100029,
China.

Dr. Agele, Samuel Ohikhena

Department of Crop, Soil and Pest
Management, Federal University of
Technology
PMB 704,
Akure,
Nigeria.

Dr. E.M. Aregheore

The University of the South Pacific,
School of Agriculture and Food Technology
Alafua Campus,
Apia, SAMOA

Editorial Board

Dr. Bradley G Fritz

Research Scientist,
Environmental Technology Division,
Battelle, Pacific Northwest National Laboratory,
902 Battelle Blvd., Richland,
Washington,
USA.

Dr. Almut Gerhardt LimCo
International, University of
Tuebingen, Germany.

Dr. Celin Acharya

Dr. K.S.Krishnan Research Associate (KSKRA),
Molecular Biology Division,
Bhabha Atomic Research Centre (BARC),
Trombay, Mumbai-85,
India.

Dr. Daizy R. Batish Department
of Botany, Panjab University,
Chandigarh,
India.

Dr. Seyed Mohammad Ali Razavi

University of Ferdowsi,
Department of Food Science and Technology,
Mashhad,
Iran.

Dr. Yasemin Kavdir

Canakkale Onsekiz Mart University,
Department of Soil Sciences, Terzioğlu
Campus 17100
Canakkale
Turkey.

Prof. Giovanni Dinelli

Department of Agroenvironmental Science and
Technology
Viale Fanin 44 40100, Bologna
Italy.

Prof. Huanmin Zhou

College of Biotechnology at Inner Mongolia
Agricultural University,
Inner Mongolia Agricultural University, No. 306#
Zhao Wu Da Street,
Hohhot 010018, P. R. China, China.

Dr. Mohamed A. Dawoud

Water Resources Department,
Terrestrial Environment Research Centre,
Environmental Research and Wildlife Development Agency
(ERWDA),
P. O. Box 45553,
Abu Dhabi,
United Arab Emirates.

Dr. Phillip Retief Celliers

Dept. Agriculture and Game Management,
PO BOX 77000, NMMU,
PE, 6031,
South Africa.

Dr. Rodolfo Ungerfeld

Departamento de Fisiología,
Facultad de Veterinaria,
Lasplaces 1550, Montevideo 11600,
Uruguay.

Dr. Timothy Smith

Stable Cottage, Cuttle Lane,
Biddestone, Chippenham,
Wiltshire, SN14 7DF.
UK.

Dr. E. Nicholas Odongo,

27 Cole Road, Guelph,
Ontario. N1G 4S3
Canada.

Dr. D. K. Singh

Scientist Irrigation and Drainage Engineering Division,
Central Institute of Agricultural Engineering
Bhopal- 462038, M.P.
India.

Prof. Hezhong Dong

Professor of Agronomy,
Cotton Research Center,
Shandong Academy of Agricultural Sciences,
Jinan 250100
China.

Dr. Ousmane Youm

Assistant Director of Research & Leader,
Integrated Rice Productions Systems Program
Africa Rice Center (WARDA) 01BP 2031,
Cotonou,
Benin.

African Journal of Agricultural Research

Table of Contents: Volume 13 Number 25, 21 June, 2018

ARTICLES

- The effects of drought on rice cultivation in sub-Saharan Africa and its mitigation: A review** 1257
Ndjiondjop Marie Noelle, Wambugu Peterson Weru, Sangare Jean Rodrigue and Gnikoua Karlin
- Integrating crop and livestock in smallholder production systems for food security and poverty reduction in sub-Saharan Africa** 1272
Asrat Guja Amejo, Yoseph Mekasha Gebere and Habtemariam Kassa
- Evaluation of new papaya hybrids** 1283
Adriel Lima Nascimento, Alan de Lima Nascimento, Omar Schmidt, Karina Tiemi Hassuda dos Santos, Renan Garcia Malikouski, Rodrigo Sobreira Alexandre, Laercio Francisco Cattaneo, José Augusto Teixeira do Amaral, Márcio Paulo Czepak, Geraldo Antônio Ferregueti and Edilson Romais Schmidt
- Patterns of pre-weaning piglet mortality and economic losses in field condition** 1291
Roy R., Mondal T. and Moktan M. W.
- Performance of maize hybrids from a partial diallel in association with *Azospirillum*** 1297
Alessandra Koltun, Alana Padia Cavalcante, Karla Bianca de Almeida Lopes, Matheus Dalsente Krause, Thiago Pablo Marino, André Luiz Martinez de Oliveira and Josué Maldonado Ferreira
- Microbial diversity as a soil quality indicator in agroecosystems in Brazilian Savannas** 1306
Moura, Jadson Belem de, Ventura, Matheus Vinicius Abadia, Vieira Junior, Wagner Gonçalves, Souza, Rodrigo Fernandes, Lopes Filho, Luiz Cesar, Braga, Ana Paula Maciel, Matos, Diogo Jânio de Carvalho and Rocha, Elivan Cesar Vieira

Review

The effects of drought on rice cultivation in sub-Saharan Africa and its mitigation: A review

Ndjiondjop Marie Noelle^{1*}, Wambugu Peterson Weru², Sangare Jean Rodrigue¹
and Gnikoua Karlin¹

¹Africa Rice Center (Africa Rice), 01 B. P. 2031, Cotonou, Benin Republic.

²Genetic Resources Research Institute, Kenya Agricultural and Livestock Research Organization (KALRO), P. O. Box 30148-00100, Nairobi, Kenya.

Received 4 January, 2018; Accepted 21 February, 2018

Drought is the primary cause of yield loss in agriculture throughout the world, and is currently the most common reason for global food shortages. Three-quarter of the most severe droughts in the last ten years have been in Africa, the continent which already has the lowest level of crop production and drought adaptive capacity. The increased incidences of drought and erratic rainfall have thrown small holder farmers in Africa into deep poverty, hunger and malnutrition. In this paper, the drought situation in sub-Saharan Africa and its impact on rice production was reviewed. Rice is particularly vulnerable to droughts as it has higher water requirement as compared to other crops. The review has also highlighted physiological and molecular plant responses to drought, with special focus on effects of drought stress on rice grain yield and other related-traits. With climate change predicted to exacerbate the problem of water security in Africa, it is imperative that we develop robust, well-planned and informed strategies to mitigate against drought. Various drought mitigation strategies including breeding for drought tolerance and water harvesting and conservation techniques are also outlined. In order to adapt to drought, there is need for a broad based approach that includes development of appropriate policies, putting in place necessary water related investments and institutions as well as capacity building at various levels.

Key words: Drought, tolerance, rice, sub-Saharan Africa, quantitative trait loci (QTL), mitigation, adaptation.

INTRODUCTION

Drought is inadequacy of water availability including periods without significant rainfall, causing a reduction in available water, thereby affecting crop growth. It can also occur when atmospheric conditions cause continuous loss of water by transpiration or evaporation (Singh et al., 2012), also indicated as a period of dry weather that is injurious to crops. In this context, drought is related to

changes in soil and meteorological conditions and not with plant and tissue hydration (Lipiec et al., 2013). Drought is defined as a situation that lowers plant water potential and turgor to the extent that plants face difficulties in executing normal physiological functions (Lisar et al., 2012). Whatever the definition given to drought, it remains perhaps the most serious natural

*Corresponding author. E-mail: m.ndjiondjop@cgiar.org. Tel: 225 31 63 25 78.

hazard, affecting a larger proportion of the human population than any other hazard. It is the most significant environmental constraint for rice production in sub-Saharan Africa (SSA) (Reynolds et al., 2015). Its severity mainly depends on the level of moisture deficiency and the duration.

The challenge of drought is even greater for crops such as rice when compared with other crops such as maize and wheat, as it has relatively higher water needs (Todaka et al., 2015). Rice is sensitive to deficit in soil water content because rice cultivars have been historically grown under flood irrigation conditions where the soil matric potential is zero. About 3,000 to 5,000 L of water is required to produce 1 kg of rice seed, with less than half of that amount needed to produce 1 kg of seed in other crops such as maize or wheat (Bouman et al. 2002). Moreover, as compared to several other field crops, rice has relatively weak resistance to drought and its production systems is more vulnerable to drought than other cropping systems (O'Toole, 2004). In Africa, drought has adversely affected agriculture in different parts of the continent, with production of rice declining in many parts of West Africa due to increasing water stress (Bates and Kundzewicz, 2008). Drought has had significant negative effect on the livelihood of rainfed lowland rice farmers. The increased occurrence of prolonged droughts in SSA is a worrying trend as the region is highly dependent on rainfed agriculture. In order to enhance sustainable crop production in the face of drought and the constantly changing climatic conditions around the world, there is need for constant efforts to adapt our crops and production systems to the existing and emerging environmental challenges. In this review, the challenge of drought and specifically how it impacts rice production in SSA was discussed. Measures that can be undertaken to mitigate the effects of drought are also highlighted.

DROUGHT SITUATION IN AFRICA

The greatest challenges to agricultural production and food security in Africa is drought and climate change. Agriculture in Africa is mainly dependent on rainfall, with only about 5% of Africa's total cultivated land being under irrigation (You, 2008), meaning the region is highly vulnerable to drought. In some sort of fate, drought which continues to degrade some of the most agriculturally productive environments, is predicted to most severely affect the most vulnerable populations particularly those in SSA (FAO/PAR 2011). The recurring droughts in Africa are negatively impacting the livelihoods of a huge proportion of the population, with about 25% of the population facing serious water scarcity (Jarvis et al., 2009). Drought and climate variability are leading to the emergence of novel ecosystems where various plant populations are unable to persist. The proportion of arid and semi-arid areas continues to increase and it is

projected that by 2080, ASAL areas in Africa will increase by 6 to 8% (Jarvis et al., 2009). The continued increase in ASAL areas and the emergence of novel ecosystems could render large sections of land unproductive thereby seriously impacting agricultural production in Africa.

Perhaps, the greatest factor contributing to droughts is the rapidly growing human population, with the latest World Bank projections indicating that by 2060, about 2.8 billion people will be found on the continent (Canning et al., 2015). This increase in population puts enormous pressure on the available resources. It will for example lead to opening up of agricultural lands and other productive ecosystems for human settlement, thus leading to loss of valuable biodiversity. Loss of these genetic resources will reduce the diversity of plant responses to biotic and abiotic stresses thereby reducing the resilience and sustainability of agricultural production systems.

Three-quarters of the most severe droughts in the last ten years have been in Africa, the continent which already has the lowest level of crop production. Moreover, this region has the lowest drought adaptive capacity and among the highest levels of poverty, with about 48% of the total population living on less than \$1.25 a day (Ravallion et al., 2012). This means that this segment of the human population lacks not only the technical capacity to deal with drought but their financial means to address these challenges is also severely limited. Based on this sad reality and predictions of climate change models, the drought situation in Africa does not look promising. The challenge ahead is hugely enormous but with the concerted efforts of all stakeholders, it will be manageable. Successful fighting of droughts is doable.

RICE PRODUCTION AND CONSUMPTION IN AFRICA

Rice is cultivated under a broad range of environmental conditions in terms of topography, soil type, water regime (various degrees and duration of drought) and climatic factors (Khush, 1996). The persistent droughts in SSA have negatively impacted agricultural production systems, with rice production being among the worst hit systems since the crop is more sensitive to droughts than other crops. The situation is particularly worse in SSA where rice is largely grown under rainfed conditions that rely solely on precipitation, making it vulnerable to droughts. Due to this sensitivity, rice yields reduce significantly even under mild drought (Guan et al., 2010). Moreover, rice varieties planted in Africa have only relatively few adaptations to water-limited conditions and are extremely sensitive to drought, thereby worsening the situation. In Africa, the ecosystems under rice cultivation range from rainfed upland (40% of total area), rainfed lowland (38%), irrigated lowland (12%), deep water/floating (6%) to mangrove swamps (4%). Upland

and lowland rice production which constitute about 80% of the total rice production area in Africa are projected to have the greatest vulnerability to drought (Bimpong et al., 2011a).

Worldwide, more than 3.5 billion people depend on rice for more than 20% of their daily calorie intake (Ricepedia, 2011; Maclean et al., 2013). Rice production is becoming increasingly popular in SSA, especially with the recent release and promotion of new, popular varieties of NERICA (New Rice for Africa) by the Africa Rice Center (formerly known as WARDA). An annual increase in rice consumption of about 6% has been reported (Bernier et al., 2008). With the high urbanization and increase in purchasing power, West Africa is experiencing a significant increase in rice consumption in urban and rural areas.

This increased consumption has also been followed by a concomitant increase in rice production in most African countries. The last 3 decades have recorded a dramatic increase in rice production in Africa, with the production more than doubling in the period between 1982 and 2012 (FAO, 2013). However, despite the increased paddy rice production and the huge potential for rice production in terms of available land area that exists in the sub-region, massive rice imports into SSA are still recorded (Nasrin et al., 2015; AfricaRice, 2009, 2011; Futakuchi et al., 2011). Rice production in West Africa covers only about 60% of the population's needs. This has resulted in increasing rice imports from Asia. With the current trends, according to FAO estimates (Statz and Dembele 2007), rice imports in West Africa will increase from 6.4 Mt in 2008 to 10.1 Mt in 2020. It is imperative that measures are put in place to boost rice productivity in SSA. These include use of adapted high yielding rice varieties, improved husbandry practices and adoption of various drought and climate change mitigation strategies. Local rice production, processing and marketing will permit African citizens to have access to affordable food. This will contribute to extreme poverty reduction and elimination of food insecurity within the continent, since relying on imports is no longer a sustainable strategy.

EFFECT OF DROUGHT ON YIELD AND PHYSIOLOGY OF RICE

The yield potential of a cultivar under favourable conditions is important in determining the yielding ability under water stress. Drought index which provides a measure of drought related yield loss is an important criterion that has been used for screening of drought tolerance genotypes. Evaluation of eighteen rice genotypes showed reduction in panicle number (72%) and grain yield (12%) (Swain et al., 2010). Singh et al. (2010) evaluated six generations (P1, P2, B1, B2, F1 and F) of six crosses of rice under drought and irrigated conditions and observed a reduction in several characters

including grain yield under drought conditions. The intensity of drought effect on various traits varied with the genetic materials. The study indicated strong relationship between grain yield under drought, leaf rolling and leaf tip burning for moderately tolerant introgression lines and also between grain yield and leaf rolling for tolerant *Oryza glaberrima*. Similar findings were reported by Ndjiondjop et al. (2012). This explains the role of leaf rolling and leaf tip burning potential of a genotype on its development.

Yield decreases are a result of drought effect on several morphological and agronomic traits, including plant height, tillering ability and leaf area (Bocco et al., 2012). Others include various root traits (length, thickness and depth), spikelet fertility, panicle exertion, leaf greenness (SPAD), leaf temperature, time to flowering, time to maturity, leaf tip drying and leaf rolling (Ndjiondjop et al., 2010a). Ndjiondjop et al. (2010a) observed 16.9, 13.7, 6.7, 14.1 and 26.7% reduction in the number of tillers, plant height, number of leaves, leaf width and grain yield, respectively. Drought-related reduction in yield and yield components can be attributed to stomatal closure in response to low soil water content with a resultant decrease in carbon dioxide intake and subsequently a reduction in photosynthesis (Chaves, 1991; Cornic, 2000; Flexas et al., 2004). In summary, prevailing drought reduces plant growth and development, leading to hampered flower production and grain filling and thus smaller and fewer grains. A reduction in grain filling occurs due to a reduction in the assimilate partitioning and activities of sucrose and starch synthesis enzymes.

Garrity and O'Toole (1995) observed an increase in leaf temperature by 9°C due to drought and significant correlation between midday leaf temperature on the day of flowering and both grain yield and spikelet fertility. This increase in leaf temperature under drought is a result of lower transpiration rate caused by a reduction (closure) in stomatal aperture. Leaf temperature is, therefore, a very sensitive indicator of plant water status and is associated with leaf stomatal conductance (Jones, 1992). Significant variations among rice cultivars in leaf temperature increase under drought are reported. Cultivars with high drought-avoidance potential consistently remained coolest under drought (Garrity and O'Toole, 1995).

Under drought, flowering time (start, 50 and 100% flowering) and time to maturity are delayed as a result of water shortage. The length of the delays is related to the type of drought, the temperature regimes, the period of occurrence of drought and the rice genotype (Bocco et al., 2012; Wopereis et al., 1996). Spikelet fertility is also influenced by drought. The production of viable pollen, panicle exertion, pollen shed and germination and embryo development, which are involved in fertilization and initiation of grain filling, are all negatively affected by drought. This causes reduced spikelet fertility and dry weight of fertile spikelets thereby leading to grain yield loss (Liu et al., 2006; Rang et al., 2011).

DROUGHT RESISTANCE MECHANISMS

General plant responses to drought

Drought resistance mechanisms include drought escape via a short life cycle or developmental plasticity, drought avoidance via enhanced water uptake and reduced water loss, drought tolerance via osmotic adjustment and antioxidant capacity.

Escape

The first way for the plant to avoid drought is dodging. It is an adaptation to the environment allowing the plants to avoid the critical periods for their good development. Farmers use this plant strategy to place the crop cycle when conditions are favourable. For example, development of varieties with a shorter development cycle in order to avoid the most stressful periods of the year for plants or to shift the date of sowing and/or select varieties to prevent water deficits. This is an important mechanism for avoiding terminal drought. The shortening of growth cycle has improved the yield of many varieties in many annual crop species (Fukai et al., 1999; Turner et al., 2001). Drought evasion can be achieved through two mechanisms (i) completing the crop cycle before the occurrence of a terminal drought; (ii) Avoiding coincidence between periods of low water availability and critical or sensitive phases of crop growth where water is critically required such as flowering and grain filling.

Avoidance

The second way to avoid drought is the ability of the plant to maintain a satisfactory water state. The reduction in soil moisture may have led to lower water content in the leaves causing guard cells to lose turgor pressure and hence the size of stomatal pores are reduced (Tezara et al., 2002), causing stomatal closure (Singh et al., 2012). Avoidance allows plants to limit the effects of stress through adaptations such as wilting or leaf rolling. Drought avoidance consists of mechanisms that reduce water loss from plants due to stomatal control of transpiration, and also maintain water uptake through an extensive and prolific root system.

Drought tolerance

From a physiological point of view, drought tolerance is the ability of the plant to survive and grow under drought. From an agronomic point of view, a plant is tolerant when it is able to obtain a higher yield than sensitive plants. Tolerance allows maintenance of the essential cellular functions for survival, due to specific and targeted

responses despite the deficiency of water (Passioura, 1996; Tardieu, 2003, 2005). Keeping of turgor in water deficiency can delay stomatal closure, maintain chloroplastic volume and reduce leaf wilting which confers to the plant a better tolerance to internal water deficit. This tolerance to internal water deficit in turn allows a prolonged operation of photosynthesis. The carbon products can then be used for both osmotic adjustment and root growth. Due to the unpredictability of water stress, tolerance is the most effective strategy in severe and prolonged stress situations.

Rice responses to drought stress

Rice responds and adapts to drought stress by induction of various morphological, physiological and molecular modifications, with these modifications being made according to the developmental stage (Figure 1).

Morphological and phenological modifications

In majority of the plant species, water stress is linked to changes in leaf anatomy and ultrastructure. The first and foremost effect of drought is impaired germination and poor stand establishment (Harris et al., 2002). Cell growth is considered one of the most drought sensitive physiological processes due to reduction in turgor pressure. Growth is the result of daughter-cell production by meristematic cell divisions and subsequent massive expansion of the young cells (Anjum et al., 2011). Under drought stress, plants reduce the number of leaves per plant and individual leaf size as well as leaf longevity by decreasing the soil's water potential. Leaf area expansion depends on leaf turgor, temperature and assimilates supply for growth.

Rice leaf color plays an important role in leaf photosynthesis. The reduction in photosynthetic rate in rice as a result of drought is well documented (Lauteri et al., 2014). Ndjiondjop et al. (2010a) observed an increase in leaf greenness value under drought when compared with full irrigation conditions. However, these observations contradict those of Zinolabedin et al. (2008) who reported reduced uptake of water and nutrients by plant root systems causing reduced chlorophyll concentration in plant leaves and therefore the yellowing of the leaves. Under full irrigation conditions, rice leaves normally do not roll and they do not show tip drying symptoms either. But under drought, the first response of the plant is to roll its leaves (Sié et al., 2008) to maintain a favourable internal water status. Therefore, rice genotypes with high leaf water maintenance (high leaf rolling ability) are able to out yield those with lower ability (Fukai and Cooper, 2002). This explains the relationship between leaf rolling and grain yield under drought. Leaf tip drying is also a good indicator of drought

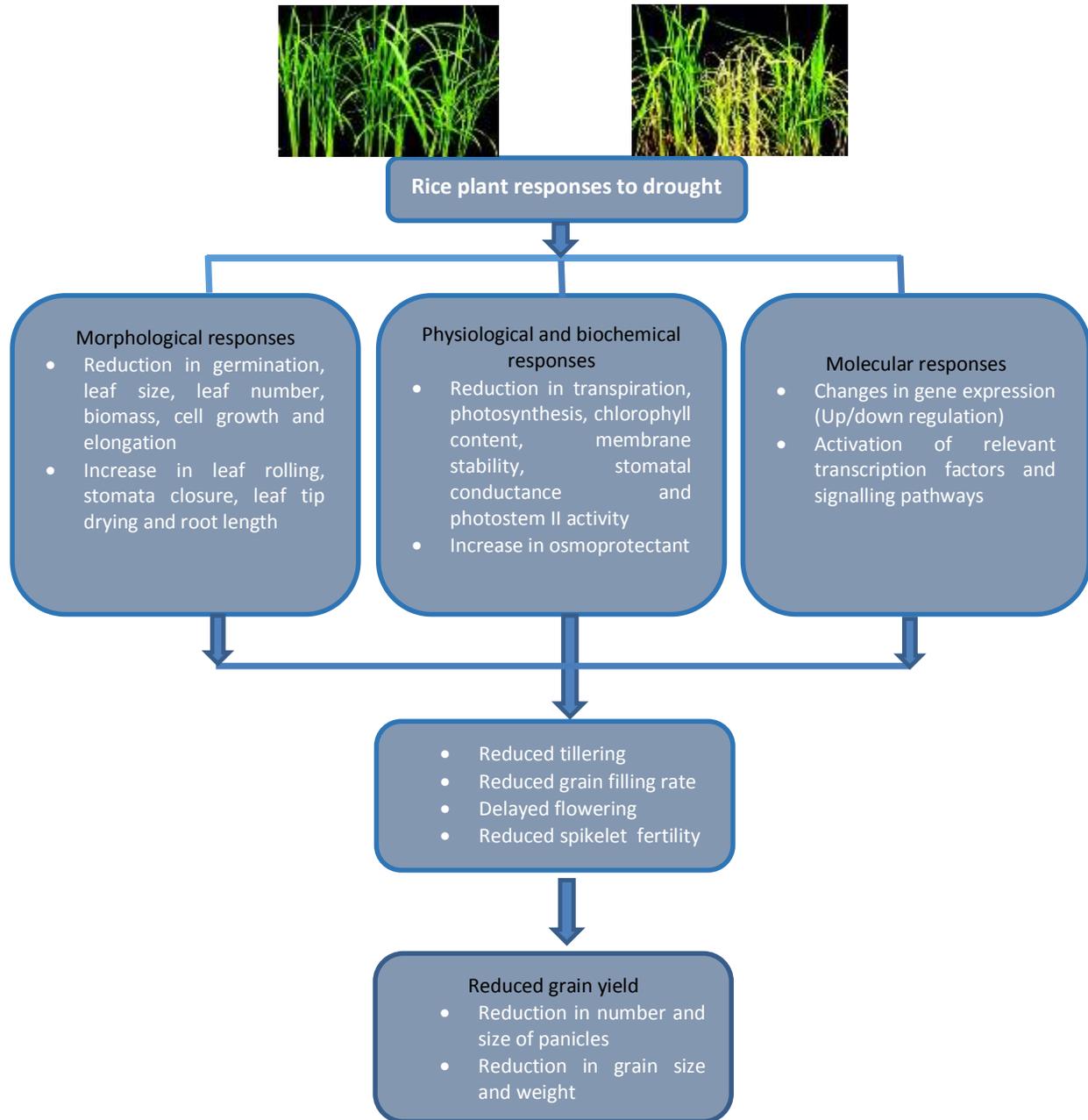


Figure 1. Schematic description of rice plant responses under drought stress.

level (Henderson et al., 1995) and just like leaf rolling, is regarded as a drought avoidance mechanism. The severity of leaf rolling and leaf tip burning is a function of the severity of drought especially on very susceptible rice genotypes. Leaf rolling is reversible but leaf tip drying is irreversible under drought.

Physiological responses

In response to water deficit, plants are able to establish a

series of physiological responses that allow them to act on their own water state in order to adapt to environmental conditions. Some of the physiological responses to drought include:

Decrease in leaf size: Generally, growth decrease is one of the first drought manifestations in rice plant. Drought is manifested in the plant by a slowing down of the initiation of the new aerial organs (leaves and stems) and a reduction in the pre-existing organs (Davies and Zhang, 1991; Boyer and Kramer, 1995; Chaves et al., 2002).

These modifications, will in the long term limit the surfaces through which loss of water by transpiration can take place. Thus growth reduction is not a passive consequence of the lack of water in the cells, but rather a controlled and programmed response of the plant, the result of which is to anticipate the events of drought stress. Studies have shown that these modifications result from a decrease in the rate of division of plant cells (Granier et al., 2000) and a modification of the physico-chemical properties of the cell walls which become more rigid thereby inhibiting their growth (Cosgrove, 2005).

Root elongation: Contrary to aerial organs which are reduced under the effect of water stress, these conditions promote the development of the root system. Enhancing the development of the root system traits such as root length allows the plants to access deep ground water resources. Plant production is the function of water use (WU), water use efficiency (WUE) and harvest index (HI). It is therefore vital to understand its effect during defined developmental stage in order to design effective selection methods to improve plant production under dry environment. WUE provides the means of efficient use of water and serves as a breeding target in water saving agriculture. Traditionally, it is defined as the ratio of dry matter produced per unit of water transpired, and constitutes one of the key determinants in controlling plant production. It is also referred to as “transpiration efficiency” and it is estimated from the measures of leaf gas exchange or by using carbon isotope discrimination. Higher WUE in turn lowers photosynthetic rate due to reduced rate of transpiration and consequently slows the rate of plant growth (Condon et al., 2004). Currently, agricultural sectors are slowly moving towards use of genotypes with increased WUE and improved agronomic practices (Pereira et al., 2006).

Leaf water potential (LWP) is a measure of whole plant water status and has long been recognized as an indicator of dehydration avoidance (Pantuwan et al., 2002a). When water deficit in leaf goes beyond a certain threshold level, the stomata closes as a mechanism of lowering the rate of transpiration. Stomatas help to regulate water loss when the tissue water status becomes too low, thereby minimizing the severity of water deficiency in plants. Thus, higher LWP is maintained by stomatal closure and varietal differences in stomatal response to water status have been reported (Jongdee et al., 1998). Genotypes possessing stay-green trait maintain high photosynthetic activity and often protects the plants from premature senescence during the onset of stress. It is reported that stay-green plants assimilate more nitrogen and retain high level of nitrogen content in the leaf, thereby retaining photosynthetic capacity under water limited conditions (Borrell et al., 2001).

Molecular responses to drought stress: As soon as the

stress is detected by plant receptors, a coordinated series of cellular responses is established. In fact, the physiological and morphological reactions are based on these coordinated cellular responses which induce the expression of a large number of genes. In rice, more than 5,000 genes are up-regulated and more than 6,000 are down-regulated by drought stress (Maruyama et al., 2014). Wang et al. (2011) conducted genome-wide gene expression profiling and detected 5,284 genes which were differentially expressed under drought stress, among which were under temporal and spatial regulation. Recently, it has been shown that a CO-like gene, Ghd2 (grain number, plant height, and heading date2), which can increase the yield potential under normal growth condition just like its homologue Ghd7, is involved in the regulation of leaf senescence and drought resistance. This gene is down regulated under drought conditions. Overexpression of Ghd2 resulted in significantly reduced drought resistance, while its knockout mutant showed the opposite phenotype (Liu et al., 2016).

Regulatory transcription factors involved in the response of drought stress have been extensively investigated. This allowed the discovery of two important signaling pathways of transcriptional networks under abiotic stress conditions. One involves a hormone called abscissic acid (ABA) produced when a plant undergoes water stress. Abscissic acid will initiate, at the cellular level, a cascade of signaling involving transcription factors named ABA Responsive Element Binding (AREB) (Abe et al., 1997; Uno et al., 2000). The second pathway is independent of this hormone, and involves other transcription factors, drought responsive element binding (DREB) (Yamaguchi-Shinozaki and Shinozaki, 2005). Many signaling details of ABA have been well elucidated and reviewed (Jiang and Zhang, 2002; Salazar et al., 2015; Sah et al., 2016). ABA is an important messenger that acts as the signaling mediator for regulating the adaptive response of plants to different environmental stress conditions (Sah et al., 2016).

DETECTION OF QUANTITATIVE TRAIT LOCI (QTLs) FOR USEFUL DROUGHT TOLERANCE TRAITS

The recent development of high-density linkage maps has provided the tools for dissecting the genetic basis underlying complex traits such as drought resistance into individual components (Yue et al., 2006). Although, complex traits such as yield are routinely dissected into their component traits namely grain size, test weight and number of productive tillers per plant in rice, sometimes resulting in the development of functional markers, the same is not true in drought stress research (Prakash et al., 2016). Earlier molecular genetic analyses identified several QTLs of secondary traits important to drought tolerance such as root architecture, leaf water status, panicle water potential, osmotic adjustment and relative

water content.

Genes/QTL underlying drought secondary traits

In rice, a number of physio-morphological putative traits have been suggested to confer drought tolerance (Deivanai et al., 2010). Root system architecture plays a primary constitutive role in acquisition of water and nutrient from the soil and maintains appropriate plant water status (Nguyen et al., 1997; Lafitte et al., 2001; Kato et al., 2006). Various root architecture traits among them, rooting depth, root density, root thickness and root distribution pattern (Pantuwan et al., 1996; Wade et al., 1996; Lilley and Fukai, 1994; Fukai and Cooper, 1995) enhance plant water uptake, thereby avoiding dehydration. QTLs for morphology and the index of root penetration have been identified in several rice populations (Champoux et al., 1995; Ray et al., 1996; Zhang et al., 2001; Kijoji et al., 2014; Henry et al., 2014). Liu et al. (2009) identified and cloned a gene named OsDHODH1 which encodes a putative cytosolic dihydroorotate dehydrogenase (DHODH) in rice. Overexpression of the OsDHODH1 gene in rice increased the DHODH activity and enhanced plant tolerance to salt and drought stresses.

Deep rooting is a very important trait for plants drought avoidance mechanism and it is usually represented by the ratio of deep rooting (RDR). The root growth angle (RGA) is another important trait in drought tolerance, which determines the direction of root elongation in the soil and affects the area in which roots capture water and nutrients. Courtois et al. (2009) conducted a meta-analysis of QTLs in 12 populations and detected 675 root trait QTLs. Although, many QTLs for root trait have been mapped, only 5 major QTLs for deep rooting have been reported (Kitomi et al., 2015; Uga et al., 2015, 2011) and only the DRO1 gene has been cloned (Uga et al. 2013a). DRO1 has been detected on chromosome 9 in recombinant inbred lines (IK-RILs) derived from a cross between the shallow-rooting cultivar IR64 and the deep-rooting cultivar Kinandang Patong (Uga et al., 2011). This QTL has subsequently been cloned. It has been shown that the functional allele of DRO1 introduced from Kinandang Patong (Dro1-NIL) had a significantly larger RGA and higher grain yield than the parental variety IR64, which had a non-functional allele of DRO1. The DRO1 is the first gene associated with root system architecture (RSA) that has been shown to improve the ability to avoid drought. Another major QTL for RGA named DRO2 has been identified on chromosome 4 in three F2 populations derived from crosses between each of three shallow-rooting cultivars (ARC5955, Pinulupot1 and Tupa729) and Kinandang Patong (Uga et al., 2013b).

A new QTL for RGA was recently identified on the long arm of chromosome 7. This QTL named DRO3 is involved in the DRO1 genetic pathway as its effect on

RGA in plants have been detected only with a functional DRO1 allele (Uga et al., 2015). The Phosphorus Uptake 1 (PUP1) is a QTL that contributes to phosphorus (P) uptake in low P content soils. The gene underlying the QTL, later termed Phosphorus-Starvation Tolerance 1 (PSTOL1), was cloned and appeared to encode a receptor-like cytoplasmic kinase (Gamuyao et al., 2012). Recently, a novel gene, OsAHL1, was identified through genome-wide profiling and analysis of mRNAs. Analysis showed that OsAHL1 has both drought avoidance and drought tolerance mechanisms and when overexpressed, it enhances multiple stress tolerances in rice plants during both seedling and panicle development stages. Functional studies revealed that OsAHL1 regulates root development under drought condition to enhance drought avoidance, participates in oxidative stress response and also regulates the chlorophyll content in rice leaves (Zhou et al., 2016). Two QTLs for the root gravitropic response, and 4 QTLs for seminal root morphology (SRM) have been reported (Norton and Price, 2009). These 2 traits are well known to be important components of RGA. The QTL designed, quantitative trait locus for Soil Surface Rooting 1 (qSOR1) has been fine-mapped on chromosome 7, using 124 recombinant inbred lines (RILs) derived from a cross between Gemdjah Beton, an Indonesian lowland rice cultivar with soil-surface roots, and Sasanishiki, a Japanese lowland rice cultivar without soil-surface roots (Uga et al., 2012).

Liu et al. (2005) identified 2 and 6 main effect QTLs for canopy temperature and leaf water potential respectively in RILs (F9) from a cross between Zhenshan97B and IRAT109. Recently, 6 QTLs for RDR were identified using 1 019 883 single-nucleotide polymorphisms (SNPs) (Lou et al., 2015). Prince et al. (2015) identified two QTLs for canopy temperature, 1 QTL for leaf drying and 1 for SPAD under managed stress and in a rainfed target drought stress environment, respectively. The introduction of traits that contribute to drought avoidance or tolerance should improve resistance of rice to drought and this strategy therefore has considerable potential to increase rice production in areas prone to drought (Fukai and Cooper, 1995; Nguyen et al., 1997). For rice, considerable research effort has been devoted to mapping QTL for osmotic adjustment (Lilley et al., 1996), but only a few loci with major effects have been identified.

QTL for yield and yield related-traits under drought

Several studies using different mapping populations have identified QTLs for traits related to drought tolerance (Khowaja and Price, 2008). Bernier et al. (2007) identified large-effect QTLs for grain yield under drought stress. If confirmed, these identified QTLs have to be fine mapped for use in breeding programs. A drought experiment conducted by Lanceras et al. (2004) using 154 doubled haploid lines derived from a cross between two rice

cultivars, CT9993-510 and IR62266-42, allowed identification of 77 QTLs for grain yield and its components under various drought intensities. Among them were 7 for grain yield, 8 for biological yield, 6 for harvest index, 5 for days to flowering, 10 for total spikelet number, 7 for percent spikelet sterility, 23 for panicle number and 11 for plant height. A recombinant inbred population obtained from a cross between high-yielding lowland rice IR64 and Cabacu was used to identify 10 QTLs for grain yield and component traits under reproductive-stage drought stress (Trijatmiko et al., 2014). The qDTY12.1 is the first reported large-effect QTL for grain yield under severe upland reproductive-stage drought conditions and was identified in a population of 436 F3-derived lines from a cross between Vandana and Way Rarem (Bernier et al., 2007). Two other large-effect QTLs, qDTY2.1 and qDTY3.1, well known to affect grain yield under lowland reproductive-stage drought, were identified in a back cross inbred line (BIL) population derived from a cross between Swarna and Apo. Both QTLs showed a very high effect ($R^2 = 16.3$ and 30.7%) under severe lowland reproductive-stage drought. These QTLs also showed pleiotropic effects on other traits such as DTF and PHT (Venuprasad et al., 2009). Another QTL, qDTY6.1 had strong effect on yield in aerobic drought stress conditions (Venuprasad et al., 2012b).

A large-effect QTL qDTY1.1 has been identified as having an effect on grain yield under severe lowland reproductive-stage drought across F3-derived populations developed from a cross between N22 and Swarna, N22 and IR64 and N22 and MTU1010 (Vikram et al., 2011). This QTL has also been reported in CT9993-5-10-1-M/IR62266-42-6-2 and Apo/IR64 populations (Kumar et al., 2007; Venuprasad et al., 2012a).

In the same way, qDTY2.2, qDTY4.1, qDTY9.1 and qDTY10.1 were identified to have a large effect on grain yield in BIL population from a cross between Aday Sel and IR64 (Swamy et al., 2013). Table 1 presents a summary of large effect QTLs for grain yield reported in rice.

MITIGATION AGAINST DROUGHT

Mitigating drought and climate change requires robust, well-planned and informed strategies in order to enhance agricultural sustainability and ensure that human livelihood is not negatively affected. Improved rice technologies that help reduce losses from drought can play an important role in long-term drought mitigation.

Important scientific progress is being made in understanding the physiological mechanisms that impart tolerance to drought (Blum, 2005; Lafitte et al., 2006). Similarly, progress is being made in developing drought-tolerant rice germplasm through conventional breeding and the use of molecular tools (Korres et al., 2017). Improving the resilience of rice production systems to

climate change requires the development and dissemination of appropriate combinations of improved stress-tolerant rice germplasm, natural resource management strategies and creation of appropriate policy environments to help increase and stabilize yields in variable cultivation conditions.

Breeding for drought tolerance and adaptation

One of the main strategies in confronting drought is breeding for drought tolerance which helps to deliver adapted genotypes. These breeding efforts will require characterization and evaluation of diverse germplasm with the aim of identifying genotypes possessing traits that are important in enhancing drought tolerance. The replacement of diverse and adapted traditional rice varieties with genetically narrow based genotypes has significantly increased the vulnerability of the agricultural production systems. The use of a wide range of genetic resources is critical in the development of varieties that are adapted to drought. Crop wild relatives are particularly useful sources of genes for adapting crops to drought. There exists a variety of physiological traits that are associated with drought tolerance. Some of these traits include root traits, early flowering, water use efficiency, amount of water transpired, transpiration efficiency, osmotic adjustment and stay green. Breeding for increased yields under drought tolerance will require proper understanding of the various traits that are associated with yield (Pandey et al., 2015). The exact trait to target in a breeding programme in order to obtain the best response in terms of drought tolerance may not always be clear to a breeder.

Africa Rice has been spearheading efforts aimed at delivering rice varieties that are tolerant to drought. This has involved screening of a wide range of genetic resources including indigenous Africa species such as *O. glaberrima* and *Oryza barthii*. A key goal of the breeding programme has been to develop a rice variety that can escape terminal drought that frequently occurs at the end of the wet season through its short growth duration. Short duration varieties are also preferred to avoid late season fungus diseases (Jones et al., 1997). Several upland interspecific *O. sativa* × *O. glaberrima* (NERICA) varieties were evaluated at AfricaRice and it was observed that they have potential for escaping drought due to their short growth duration. The capacity of NERICA varieties to maintain growth under mild drought, their survival under severe drought, recovery from drought and their water use efficiency need to be incorporated into breeding programs (Futakuchi et al., 2011).

Exploitation of drought tolerance traits in African rice in rice breeding

African rice is one of the two independently domesticated

Table 1. Large effect QTLs reported for grain yield under drought stress conditions.

| QTL name | Chrom | Interval | Population | Ecosystem | R ² | References |
|----------|-------|-----------------------|--|-----------|----------------|---------------------------|
| qDTY1.1 | 1 | RM11943–RM12091 | N22/Swarna | Lowland | 13 | Vikram et al. (2011) |
| qDTY1.1 | 1 | RM11943–RM12091 | N22/IR64 | Lowland | 17 | Vikram et al. (2011) |
| qDTY1.1 | 1 | RM11943–RM12091 | N22/MTU1010 | Lowland | 13 | Vikram et al. (2011) |
| qDTY1.1 | 1 | RM486–RM472 | Apo/IR64 | Upland | 58 | Venuprasad et al. (2012a) |
| qDTY1.2 | 1 | RM259–RM315 | Kali Aus/MTU1010 | Upland | 7 | Sandhu et al. (2014) |
| qDTY 1.3 | 1 | RM488–RM315 | Kali Aus /IR64 | Upland | 5 | Verma et al. (2014) |
| qDTY2.1 | 2 | RM327–RM262 | Apo/Swarna | Lowland | 16 | Venuprasad et al. (2009) |
| qDTY2.2 | 2 | RM236–RM279 | Aday Sel./ IR64 | Lowland | 11 | Swamy et al. (2013) |
| qDTY2.2 | 2 | RM236–RM555 | Aday Sel./ IR64 | Lowland | 3 | Swamy et al. (2013) |
| qDTY2.2 | 2 | RM236–RM555 | Aday Sel./ IR64 | Lowland | 9 | Swamy et al. (2013) |
| qDTY2.2 | 2 | RM211–RM263 | Kali Aus/ MTU1010 | Upland | 6 | Sandhu et al. (2014) |
| qDTY2.2 | 2 | RM211–233A | Kali Aus/ MTU1010 | Lowland | 16 | Palanog et al. (2014) |
| qDTY3.1 | 3 | RM520–RM16030 | Apo/Swarna | Lowland | 31 | Venuprasad et al. (2009) |
| qDTY3.1 | 3 | RM168–RM468 | IR55419-04/TDK1 | Lowland | 8 | Dixit et al. (2014) |
| qDTY3.1 | 3 | RM168–RM468 | IR55419-04/TDK1 | Upland | 15 | Dixit et al. (2014) |
| qDTY 3.2 | 3 | RM569–RM517 | Aday Sel./ Sabitri | Lowland | 23 | Yadaw et al. (2013) |
| qDTY 3.2 | 3 | RM60–RM22 | N22/Swarna | Lowland | 19 | Vikram et al. (2011) |
| qDTY 3.2 | 3 | id3000019–id3000946 | Moroberekan/Swarna | Lowland | 8 | Dixit et al. (2014b) |
| qDTY 3.2 | 3 | id3000019–id3000946 | Moroberekan /Swarna | Upland | 19 | Dixit et al. (2014b) |
| qDTY 4.1 | 4 | RM551–RM16368 | Aday Sel./IR64 | Lowland | 11 | Swamy et al. (2013) |
| qDTY6.1 | 6 | RM589–RM204 | Vandana/IR72 | Upland | 40 | Venuprasad et al. (2012b) |
| qDTY6.1 | 6 | RM589–RM204 | Apo/IR72 | Upland | 63 | Venuprasad et al. (2012b) |
| qDTY6.1 | 6 | RM586–RM217 | IR55419-04/TDK1 | Lowland | 9 | Dixit et al. (2014) |
| qDTY6.1 | 6 | RM586–RM217 | IR55419-04/TDK1 | Upland | 36 | Dixit et al. (2014) |
| qDTY6.2 | 6 | RM121–RM541 | IR55419-04/TDK1 | Lowland | 9 | Dixit et al. (2014) |
| qDTY6.2 | 6 | RM121–RM541 | IR55419-04/TDK1 | Upland | 20 | Dixit et al. (2014) |
| qGY8.1 | 8 | RM38–RM331 | MASARB25 / Pusa Basmati; HKR47/ MAS26 | Upland | 34 | Sandhu et al. (2014) |
| qDTY10.1 | 10 | MTU1010/N22 | RM216–RM304 | Lowland | 5 | Vikram et al. (2011) |
| qDTY10.2 | 10 | Aday Sed./IR64 | RM269–G2155 | Lowland | 17 | Swamy et al. (2013) |
| qDTY11.1 | 11 | id11002304-id11006765 | Moroberekan- Swarna | Upland | 25 | Dixit et al. (2014b) |
| qDTY12.1 | 12 | RM28166–RM28199 | IR74371-46-1-1 / Sabitri | Lowland | 24 | Mishra et al. (2013) |
| qDTY12.1 | 12 | RM28048 -RM511 | Way Rarem/ Vandana | Upland | 33 | Bernier et al. (2007) |

rice species, with its distribution being limited to West Africa. Its genetic potential in terms of resistance to both biotic and abiotic stresses has been well documented and deployed in rice improvement (Wambugu et al., 2013). Its tolerance to drought is a particularly valuable trait during these periods that are characterized by increased occurrences of drought and erratic rainfall. Some alien introgression lines derived from an interspecific cross between *O. sativa* and *O. glaberrima* under drought conditions had higher yield than the parents (Bimpong et al., 2011b). This demonstrates the potential of transferring drought related traits from African rice to Asian rice. In this study, novel QTLs for drought related traits such as yield and yield components were identified with about 50% of the beneficial alleles being

contributed by African rice.

A total of 2000 African rice accessions conserved at AfricaRice genebank were evaluated by Shaibu et al. (2018) for drought tolerance in three locations in West Africa over a period of 3 years. Results of this screening showed that four *O. glaberrima* genotypes had significantly higher yields under both drought and rainfed conditions than the *O. glaberrima* check, CG14, which is considered a drought tolerant variety. Though, these genotypes were not significantly different from the *O. sativa* checks (Table 2), they will serve to widen the African rice gene pool that can be used for breeding for drought tolerance. African rice has several drought avoidance mechanisms such as early flowering. It has also been reported to have thin leaves which easily roll

Table 2. Grain yield (g/m²) of selected *Oryza glaberrima* accessions and standard checks under drought, rainfed and control conditions during 2013-14 at three locations in West Africa.

| Entries | Drought | | | Rainfed | | | Control | |
|---|---------|---------|---------|---------|---------|---------|---------|---------|
| | Ibadan | Ibadan | Ibadan | Badeggi | Cotonou | Ibadan | Ibadan | Cotonou |
| | DS 2013 | DS 2013 | DS 2014 | DS 2014 | WS 2014 | WS 2014 | WS 2014 | WS 2014 |
| No. of <i>O. glaberrima</i> genotypes evaluated | 200 | 285 | 74 | 74 | 30 | 30 | 30 | 30 |
| Selected <i>O. glaberrima</i> genotypes | | | | | | | | |
| TOG 7400 | - | 236 | 236 | 25 | 83 | 270 | 399 | 368 |
| TOG 6520 | 401 | - | 301 | 7 | - | 349 | 403 | 455 |
| TOG 6519-A | 393 | | 226 | 5 | 72 | 303 | 286 | 308 |
| TOG 7442-B | 327 | - | 152 | 7 | 69 | 251 | 292 | 443 |
| Checks | | | | | | | | |
| <i>O. glaberrima</i> check | | | | | | | | |
| CG 14 | 217 | 238 | 51 | 6 | 60 | 104 | 415 | 339 |
| <i>O. sativa</i> check | | | | | | | | |
| Apo | 432 | 397 | 472 | 9 | 48 | 242 | 255 | 401 |
| FARO 52 | 472 | 447 | 216 | 9 | - | 55 | - | 619 |
| IR 77298-14-1-2-B-10 | 363 | 451 | 298 | 12 | 56 | 263 | 418 | 285 |
| Trial mean | 246 | 161 | 122 | 6 | 51 | 171 | 241 | 310 |
| LSD _{0.05} | 142 | 142 | 103 | 5 | 43 | 130 | 119 | 149 |
| Heritability | 0.87 | 0.75 | 0.71 | 0.69 | 0.70 | 0.85 | 0.90 | 0.84 |

Adapted from Shaibu et al. (2018).

during drought to retain water, in addition to having small diameter roots which easily extract water from the soil (Dingkuhn et al., 1999). The phenological responses of African rice during times of drought have been found to be superior to those of traditional and improved *O. sativa* cultivars (Dingkuhn et al., 1999). African rice has also been found to possess the capacity to close stomata earlier in response to drought as compared to *O. sativa* (Bimpong et al., 2011c).

Challenges in breeding for drought tolerance

In most rice breeding programs, grain yield as an important trait of interest is widely used as an index for adaptation to drought stress. But several researchers have reported inconsistency in yield production by rice genotypes across environments and years (Fukai and Cooper, 1995; Pantuwan et al., 2002a, b, c). A genotype performing well in one type of drought environment may not perform well in other environments (Pantuwan et al., 2002a, c). It is unclear whether promising materials selected under drought condition will yield well in full irrigation/wet-season condition. This explains the large genotype-by-environment (GxE) interactions and the low heritability of grain yield of rainfed lowland rice under drought and the uncertainty in the selection of drought resistant genotypes (Fukai and Cooper, 1995). To

accommodate the effects of GxE interactions and improve selection efficiency, a large number of multi-location trials over years in various drought intensity conditions could be a solution (Nyquist and Baker, 1991; Fukai and Cooper, 1995). Unfortunately, such evaluation processes are costly and time-demanding for making selections in the breeding program. Therefore, it has become necessary to identify more efficient breeding options based on the use of indirect selection methodology (Falconer, 1989).

Even though there is extensive evidence that selection under target stresses may accelerate breeding gains for stress environments (Atlin and Frey, 1990; Ceccarelli et al., 1992; Ud-Din et al., 1992; Bänziger et al., 1997), the difficulty of choosing appropriate selection environments, given a highly variable target environment, may limit the identification of superior genotypes. While breeding programs in high-income countries may resort to real-time GIS information for adequately weighting information from METs (Podlich et al., 1999), these opportunities rarely exist in low-income countries as there is a lack of both real-time GIS information and resources for conducting a large number of METs. Progress in improving drought resistance has been slow. This is partly due to the complexity of the drought environment, the number of different mechanisms of drought resistance exploited by rice and the interaction between the two as well as the genetic complexity of most traits.

Other drought mitigation strategies

In addition to crop improvement and selection of drought tolerant genotypes, other strategies for mitigating against long term impacts of drought include development of irrigation facilities and water harvesting structures such as dams. Development of water resources is an important area of protection against drought that is emphasized in SSA. The large-scale development of irrigation schemes that was a hallmark of the green revolution is limited now by high costs and increasing environmental concerns (Rosegrant et al., 2002). Moreover, the rationale of establishing new large scale irrigation schemes may be questioned as many such schemes have and continue to stall. The collapse of these schemes, many of which have been established in partnership with various development partners, brings to the fore critical issues such as feasibility and sustainability of such projects. In some cases, the long term availability of water for these projects is usually not guaranteed. The technical and financial capacity to maintain these projects need to be explored before their establishment. However, there are still substantial opportunities to provide some protection from drought through small and minor irrigation schemes and through land-use approaches that generally enhance soil moisture and water retention (Shah, 2001; Moench, 2002). Public-sector support for further development, maintenance and rehabilitation of small and minor irrigation schemes could make them more effective in mitigating drought. Public-sector involvement, however, should be limited to the provision of technical assistance, while the actual management of these small-scale schemes is better left to local communities (Kerr et al., 2002). Hand dug shallow wells are another option for sourcing water resources particularly for small holder farmers.

Watershed-based approaches implemented in drought prone areas of India are providing opportunities to achieve long-term drought-proofing by improving overall moisture retention within watersheds (Rao, 2000). As already stated, one of the causes of drought in Africa is habitat destruction especially due to population pressure. Most habitats in many African countries are currently severely degraded and non-productive. Consequently, one of the ways to mitigate drought is through the rehabilitation of these degraded habitats through ecological restoration. Drought forecasting and timely provision of such advice to farmers is an important drought mitigation strategy that can help reduce the overall economic cost of drought. It also helps improve preparedness, thereby helping in managing the risk more effectively. Various indicators such as the Southern Oscillation Index (SOI) are routinely used to forecast drought in several countries (Wilhite, 2000; Meinke and Stone, 2005). Forecasting is especially important in assisting farmers make more informed decisions regarding the choice of crops and cropping practices.

CONCLUSION

Drought is one of the major climatic hazards even in the sub humid rice-growing areas of Asia and Africa. It is an event that reoccurs, affecting agriculture and the livelihoods of millions of farmers and agriculture laborers. The socio-economic impact of drought is enormous. It has huge economic costs, in terms of both actual economic losses during drought years and losses arising from foregone opportunities for economic gains. Drought contributes directly to an increase in the incidence and severity of poverty.

It is therefore critical that we establish effective strategies to mitigate the effects of drought in order to ensure agricultural productivity and environmental sustainability. Use of adapted genotypes and improvement in rice production technology are some of the components of an overall strategy for effective drought mitigation. Increased moisture availability to crops through water conservation and harvesting, and watershed development is an important component. Improvements in drought forecasting and efficient provision of such information to farmers can improve their decisions regarding crop choice and input use.

CONFLICT OF INTERESTS

The authors have not declared any conflict of interests.

ACKNOWLEDGEMENT

The authors thank the Generation Challenge Program (GCP) for funding drought related research in AfricaRice.

REFERENCES

- Abe H, Yamaguchi-Shinozaki T, Urao T, Iwasaki D, Hosokawa K (1997). Role of arabidopsis MYC and MYB homologs in drought- and abscisic acid-regulated gene expression. *Plant Cell* 9:1859-1868.
- AfricaRice (2009). AfricaRice Annual Report 2008: Responding to the rice crisis. Cotonou, Bénin.
- AfricaRice (2011). AfricaRice Annual Report 2010: Building African capacity on policy analysis and impact assessment. Cotonou, Bénin.
- Anjum SA, Xie X, Wang L, Saleem MF, Man C, Lei W (2011). Morphological, physiological and biochemical responses of plants to drought stress. *African Journal of Agricultural Research* 6:2026-2032.
- Atlin GN, Frey KJ (1990). Selecting Oat Lines for Yield in Low-Productivity Environments. *Crop Science* 30: 556-561.
- Bänziger M, Betran FJ, Lafitte HR (1997). Efficiency of high-nitrogen selection environments for improving maize for low-nitrogen target environments. *Crop Science* 37:1103-1109.
- Bates B, Kundzewicz ZW (2008). Climate change and water, IPCC Technical Paper 6.
- Bernier J, Atlin GN, Serraj R, Kumar A, Spaner D (2008). Breeding upland rice for drought resistance. *Journal of the Science of Food and Agriculture* 88:927-939.
- Bernier J, Kumar A, Ramaiah V, Spaner D, Atlin G (2007). A Large-Effect QTL for Grain Yield under Reproductive-Stage Drought Stress in Upland Rice. *Crop Science* 47:507.
- Bimpong K, Manneh B, Sander Z, Futakuchi K, Kumashiro T (2011a).

- Climate change: Impacts and strategies on rice production in Africa. Paper presented at the Developing Climate-Smart Crops for a 2030 World Workshop, Addis Ababa, Ethiopia.
- Bimpong IK, Serraj R, Chin JH, Ramos J, Mendoza EMT, Hernandez JE, Brar DS (2011b). Identification of QTLs for Drought-Related Traits in Alien Introgression Lines Derived from Crosses of Rice (*Oryza sativa* cv. IR64) × *O. glaberrima* under Lowland Moisture Stress. *Journal of Plant Biology* 54(4):237-250.
- Bimpong IK, Serraj R, Chin JH, Mendoza EMT, Hernandez JE, Mendiolo MS (2011c). Determination of genetic variability for physiological traits related to drought tolerance in African rice (*Oryza glaberrima*). *Journal of Plant Breeding and Crop Science* 3(4):60-67.
- Blum A (2005). Drought resistance, water-use efficiency, and yield potential—are they compatible, dissonant, or mutually exclusive? *Australian Journal of Agricultural Research* 56:1159.
- Bocco RM, Lorieux, Seck PA, Futakuchi K, Manneh B, Baimey H, Ndjiondjop MN (2012). Agro-morphological characterization of a population of introgression lines derived from crosses between IR 64 (*Oryza sativa indica*) and TOG 5681 (*Oryza glaberrima*) for drought tolerance. *Plant Science* 183:65-76.
- Borrell A, Hammer G, Oosterom E (2001). Stay-green: A consequence of the balance between supply and demand for nitrogen during grain filling? *Annals of Applied Biology* 138:91-95.
- Bouman BA, Hengsdijk H, Hardy B, Bindraban P, Tuong TP, Ladha J (2002). Water-wise rice production. Presented at the Proceedings of the International Workshop on Water-wise Rice Production, 8-11 April 2002, International Rice Research Institute, Los Baños, Philippines P 356.
- Boyer JS, Kramer PJ (1995). Water relations of plants and soils. Academic Press, Inc.
- Canning D, Raja S, Yazbeck AS (2015). Africa's Demographic Transition: Dividend or Disaster?. *Africa Development Forum*. Washington, DC: World Bank; and Agence Française de Développement. © World Bank. <https://openknowledge.worldbank.org/handle/10986/22036> License: CC BY 3.0 IGO."
- Ceccarelli S, Grando S, Hamblin J (1992). Relationship between barley grain yield measured in low- and high-yielding environments. *Euphytica* 64:49-58.
- Champoux MC, Wang G, Sarkarung S, Mackill DJ, O'Toole JC, Huang, McCouch SR (1995). Locating genes associated with root morphology and drought avoidance in rice via linkage to molecular markers. *Theoretical and Applied Genetics* P 90.
- Chaves MM (1991). Effects of Water Deficits on Carbon Assimilation. *Journal of Experimental Botany* 42:1-16.
- Chaves MM, Pereira JS, Maroco J, Rodrigues ML, Ricardo CPP, Osório ML, Carvalho I, Faria T, Pinheiro C (2002). How Plants Cope with Water Stress in the Field? Photosynthesis and Growth. *Annals of Botany* 89:907-916.
- Condon AG, Richards RA, Rebetzke GJ, Farquhar GD (2004). Breeding for high water-use efficiency. *J. Exp. Bot.* 55: 2447-2460.
- Cornic G (2000). Drought stress inhibits photosynthesis by decreasing stomatal aperture – not by affecting ATP synthesis. *Trends in Plant Science* 5:187-188.
- Cosgrove DJ (2005). Growth of the plant cell wall. *Nature Reviews Molecular Cell Biology* 6:850-861.
- Courtois, Ahmadi N, Khowaja F, Price AH, Rami JF, Frouin J, Hamelin C, Ruiz M (2009). Rice Root Genetic Architecture: Meta-analysis from a Drought QTL Database. *Rice* 2:115-128.
- Davies W, Zhang J (1991). Root Signals and the Regulation of Growth and Development of Plants in Drying Soil. *Annual Review of Plant Physiology and Plant Molecular Biology* 42:55-76.
- Deivanai S, Devi SS, Rengaswari PS (2010). Physiochemical traits as potential indicators for determining drought tolerance during active tillering stage in rice (*Oryza sativa* L.). *Pertanika Journal of Tropical Agricultural Science* 33.
- Dingkuhn M, Audebert AY, Jones MP, Etienne K, Sow A (1999). Control of stomatal conductance and leaf rolling in *O. sativa* and *O. glaberrima* upland rice. *Field Crops Research* 61(3):223-236.
- Dixit S, Singh A, Kumar A (2014). Rice Breeding for High Grain Yield under Drought: A Strategic Solution to a Complex Problem. *International Journal of Agronomy* e863683.
- Falconer DS (1989) Introduction to quantitative genetics. Longman, Scientific & Technical; Wiley, Burnt Mill, Harlow, Essex, England; New York.
- Food and Agriculture Organization (FAO) (2013). FAOSTAT. Food and Agricultural Organization of the United Nations (FAO), Rome. Retrieved from <http://faostat.fao.org>
- Food and Agriculture Organization/Platform for agrobiodiversity research (FAO/PAR) (2011). Biodiversity for food and agriculture: Contributing to food security and sustainability in a changing world. Food and Agriculture Organization of the United Nations (FAO)/Platform for Agrobiodiversity Research, Rome, Italy, P. 66
- Flexas J, Bota J, Loreto F, Cornic G, Sharkey TD (2004). Diffusive and metabolic limitations to photosynthesis under drought and salinity in C (3) plants. *Plant Biology (Stuttgart, Germany)* 6:269-279.
- Fukai S, Cooper M (2002). Field screening of adaptability in drought-prone rainfed lowland rice: ACIAR experience in Thailand and Laos, in: International Workshop on Field Screening for Drought Tolerance in Rice. Presented at the N.P. Saxena, J.C. O'Toole (Eds.), *Field Screening for Drought Tolerance in Crop Plants with Emphasis on Rice: Proceedings of an International Workshop on Field Screening for Drought Tolerance in Rice, 11–14 December 2000, Patancheru: International Crops Research Institute for the Semi-Arid Tropics (ICRISAT, Patancheru, India pp. 61-32.*
- Fukai S, Cooper M (1995). Development of drought-resistant cultivars using physiomorphological traits in rice. *Field Crops Research* 40:67-86.
- Fukai S, Pantuwan G, Jongdee B, Cooper M (1999). Screening for drought resistance in rainfed lowland rice. *Field Crops Res.* 64:61-74.
- Futakuchi K, Sie M, Wopereis MCS (2011). Rice Breeding strategy at africarice, in: Next Challenges in Rice Development for Africa: Workshop for New Collaboration between JIRCAS and AfricaRice. *Japan International Research Center for Agricultural Sciences* 70:1-14.
- Gamuyao R, Chin JH, Pariasca-Tanaka J, Pesaresi P, Catausan S, Dalid C, Slamet-Loedin I, Tecson-Mendoza EM, Wissuwa M, Heuer S (2012). The protein kinase Pstol1 from traditional rice confers tolerance of phosphorus deficiency. *Nature* 488:535-539.
- Garrity DP, O'Toole JC (1995). Selection for Reproductive Stage Drought Avoidance in Rice, Using Infrared Thermometry. *Agronomy J.* 87:773-779.
- Granier C, Inzé D, Tardieu F (2000). Spatial distribution of cell division rate can be deduced from that of p34(cdc2) kinase activity in maize leaves grown at contrasting temperatures and soil water conditions. *Plant Physiology* 124:1393–1402.
- Guan YS, Serraj R, Liu SH, Xu JL, Ali J, Wang WS (2010). Simultaneously improving yield under drought stress and non- stress conditions: A case study of rice (*Oryza sativa* L.). *Journal of Experimental Botany* 61(15):4145-56.
- Harris D, Tripathi RS, Joshi A (2002). On-farm seed priming to improve crop establishment and yield in dry direct-seeded rice. *Direct seeding: Research Strategies and Opportunities*, International Research Institute, Manila, Philippines pp. 231–240.
- Henderson S, Kamboonruang V, Cooper M (1995). Evaluation of a glasshouse screening method to select for drought resistance in rainfed lowland rice, in: Nfed Lowland Rice, in: *Fragile Lives in Fragile Ecosystems: Proceedings of the International Rice Research Conference, 13–17 February 1995. Presented at the Fragile Lives in Fragile Ecosystems, International Rice Research Institute (IRRI), Los Banos pp. 783-806.*
- Henry A, Dixit S, Mandal NP, Anantha MS, Torres R, Kumar A (2014). Grain yield and physiological traits of rice lines with the drought yield QTL *qDTY_{12.1}* showed different responses to drought and soil characteristics in upland environments. *Functional Plant Biology* 41:1066-1077.
- Jarvis A, Upadhyaya HD, Gowda CLL, Aggarwal PK, Fujisaka S, Anderson B (2009). Climate Change and its Effect on Conservation and Use of Plant Genetic Resources for Food and Agriculture and Associated Biodiversity for Food Security. <http://www.fao.org/docrep/013/i1500e/i1500e16.pdf>.
- Jiang M, Zhang J (2002). Water stress-induced abscisic acid accumulation triggers the increased generation of reactive oxygen species and up-regulates the activities of antioxidant enzymes in

- maize leaves. *Journal of Experimental Botany* 53:2401-2410.
- Jones HG (1992). *Plants and Microclimate: A Quantitative Approach to Environmental Plant Physiology*. Cambridge University Press.
- Jones MP, Dingkuhn M, Aluko GK, Semon M (1997). Interspecific *Oryza Sativa* L. X *O. Glaberrima* Steud. progenies in upland rice improvement. *Euphytica* 94:237-246.
- Jongdee B, Fukai S, Cooper M (1998). Genotypic variation for grain yield of rice under water-deficit conditions, in: Michalk DL, Pratley JE, Eds. *Agronomy, Growing a Greener Future*. Presented at the Proceedings of 9th Australian Agronomy Conference, Wagga Wagga pp. 403-406.
- Kato Y, Abe J, Kamoshita A, Yamagishi J (2006). Genotypic Variation in Root Growth Angle in Rice (*Oryza sativa* L.) and its Association with Deep Root Development in Upland Fields with Different Water Regimes. *Plant Soil* 287:117-129.
- Kerr J, Pangare G, Pangare V (2002). Watershed development projects in India: an evaluation, Research report. International Food Policy Research Institute, IFPRI, Washington, DC, USA.
- Khowaja FS, Price AH (2008). QTL mapping rolling, stomatal conductance and dimension traits of excised leaves in the Bala x Azucena recombinant inbred population of rice. *Field Crops Research* 106:248-257.
- Khush GS (1996). *Rice Genetics III: Proceedings of the Third International Rice Genetics Symposium, Manila, Philippines, 16-20 October 1995*. International Rice Research Institute.
- Kijoji AA, Nchimbi-Msolla S, Kanyeka ZL, Serraj R, Henry A (2014). Linking root traits and grain yield for rainfed rice in sub-Saharan Africa: Response of *Oryza sativa*x*Oryza glaberrima* introgression lines under drought. *Field Crops Research* 165:25-35.
- Kitomi Y, Kanno N, Kawai S, Mizubayashi T, Fukuoka S, Uga Y (2015). QTLs underlying natural variation of root growth angle among rice cultivars with the same functional allele of deeper rooting 1. *Rice* 8.
- Korres NE, Norsworthy JK, Burgos NR, Oosterhuis DM (2017). Temperature and drought impacts on rice production: An agronomic perspective regarding short- and long-term adaptation measures. *Water Resources and Rural Development* 9:12-27
- Kumar R, Venuprasad R, Atlin GN (2007). Genetic analysis of rainfed lowland rice drought tolerance under naturally-occurring stress in eastern India: Heritability and QTL effects. *Field Crops Research* 103:42-52.
- Lafitte H, Yongsheng G, Yan S, Li ZK (2006). Whole plant responses, key processes, and adaptation to drought stress: the case of rice. *Journal of Experimental Botany* 58:169-175.
- Lafitte HR, Champoux MC, McLaren G, O'Toole JC (2001). Rice root morphological traits are related to isozyme group and adaptation. *Field Crops Research* 71.
- Lanceras JC, Pantuwan G, Jongdee B, Toojinda T (2004). Quantitative Trait Loci Associated with Drought Tolerance at Reproductive Stage in Rice. *Plant Physiology* 135-384-399.
- Lauteri M, Haworth M, Serraj R, Monteverti MC, Centritto M (2014). Photosynthetic Diffusional Constraints Affect Yield in Drought Stressed Rice Cultivars during Flowering. *PLoS one* 9:e109054
- Lilley JM, Fukai S (1994). Effect of timing and severity of water deficit on four diverse rice cultivars III. Phenological development, crop growth and grain yield. *Field Crops Research* 37:225-234.
- Lilley JM, Ludlow MM, McCouch SR, O'Toole JC (1996). Locating QTL for osmotic adjustment and dehydration tolerance in rice. *Journal of Experimental Botany* 47:1427-1436.
- Lipiec J, Doussan C, Nosalewicz A, Kondracka K (2013). Effect of drought and heat stresses on plant growth and yield: a review. *International Agrophysics* 27:463-477.
- Lisar SYS, Motafakkerzad R, Hossain MM, Rahman IMM (2012) *Water Stress in Plants: Causes, Effects and Responses*. <https://www.intechopen.com/books/water-stress/water-stress-in-plants-causes-effects-and-responses>
- Liu H, Zou G, Liu G, Hu S, Li M, Yu X, Mei H, Luo L (2005). Correlation analysis and QTL identification for canopy temperature, leaf water potential and spikelet fertility in rice under contrasting moisture regimes. *Chinese Science Bulletin* 50:317-326.
- Liu J, Shen J, Xu Y, Li X, Xiao J, Xiong L (2016). Gh2, a CONSTANS-like gene, confers drought sensitivity through regulation of senescence in rice. *Journal of Experimental Botany* 67(19):5785-5798
- Liu JX, Liao DQ, Oane R, Estenor L, Yang XE, Li ZC, Bennett J (2006). Genetic variation in the sensitivity of anther dehiscence to drought stress in rice. *Field Crops Res.*, Preparing Rice for a Water-Limited Future: from Molecular to Regional Scale. International Rice Research Congress 97:87-100.
- Liu WY, Wang MM, Huang J, Tang HJ, Lan HX, Zhang HS (2009). The OsDHODH1 gene is involved in salt and drought tolerance in rice. *Journal of Integrative Plant Biology* 51:825-833.
- Lou Q, Chen L, Mei H, Wei H, Feng F, Wang P, Xia H, Li T, Luo L (2015). Quantitative trait locus mapping of deep rooting by linkage and association analysis in rice. *Journal of Experimental Botany* 66:4749-4757.
- Macleay J, Hardy B, Hettel G (2013) *Rice almanac: source book for the most important economic activities on Earth*, 4th edn. IRRI, Los Baños, Philippines.
- Maruyama K, Urano K, Yoshiwara K, Morishita Y, Sakurai N, Suzuki H, Kojima M, Sakakibara H, Shibata D, Saito K (2014). Integrated analysis of the effects of cold and dehydration on rice metabolites, phytohormones, and gene transcripts. *Plant Physiology* 164:1759-1771.
- Meinke H, Stone RC (2005). Seasonal and Inter-Annual Climate Forecasting: The New Tool for Increasing Preparedness to Climate Variability and Change in Agricultural Planning and Operations, *In: Salinger, J., M.V.K. Sivakumar, R.P. Motha (Eds.) Increasing Climate Variability and Change*. Springer Netherlands pp. 221-253.
- Mishra KK, Vikram P, Yadav RB, Swamy BM, Dixit S, Cruz MTS, Maturan P, Marker S, Kumar A (2013). qDTY12.1: a locus with a consistent effect on grain yield under drought in rice. *BMC Genetics* 14:12.
- Moench M (2002). Groundwater and poverty: exploring the connections, in: *Intensive Use of Groundwater Challenges and Opportunities*, Ed. R. Llamas and E. Custodio. Balkema, Abingdon, UK.
- Nasrin S, Bergman Lodin J, Jirström M, Holmquist B, Andersson Djurfeldt A, Djurfeldt G (2015). Drivers of rice production: evidence from five Sub-Saharan African countries. *Agriculture and Food Security* 4:12.
- Ndjiondjop MN, Seck PA, Lorieux M, Futakuchi K, Yao KN, Djedatin G, Sow ME, Bocco R, Cisse F and Fatondji B. (2012). Effect of drought on *Oryza glaberrima* rice accessions and *Oryza glaberrima* derived lines. *Asian Journal of Agricultural Research* 6 (4):144-157.
- Ndjiondjop MN, Cissé F, Futakuchi K, Lorieux M, Manneh B, Bocco R, Fatondji B (2010a). Effect of drought on rice (*Oryza* spp.) genotypes according to their drought tolerance level, *In: Second Africa Rice Congress, Bamako, Mali, 22–26 March 2010: Innovation and Partnerships to Realize Africa's Rice Potential*. Africarice, Bamako, Mali pp. 5-7.
- Nguyen HT, Babu RC, Blum A (1997). Breeding for Drought Resistance in Rice: Physiology and Molecular Genetics Considerations. *Crop Science* 37:1426-1434.
- Norton GJ, Price AH (2009). Mapping of quantitative trait loci for seminal root morphology and gravitropic response in rice. *Euphytica* 166:229-237.
- Nyquist WE, Baker RJ (1991). Estimation of heritability and prediction of selection response in plant populations. *Critical Reviews in Plant Sciences* 10:235-322.
- O'Toole JC (2004). *Rice and Water: The Final Frontier*. Presented at the The First International Conference on Rice for the Future, Bangkok, Thailand.
- Palanog AD, Swamy BPM, Shamsudin NAA, Dixit S, Hernandez JE, Boromeo TH, Cruz PCS, Kumar A (2014). Grain yield QTLs with consistent-effect under reproductive-stage drought stress in rice'. *Field Crops Research* 161:46-54.
- Pandey V, Shukla A (2015). Acclimation and Tolerance Strategies of Rice under Drought Stress. *Rice Science* 22:147-161
- Pantuwan G, Fukai S, Cooper M, Rajatasereekul S, O'Toole JC (2002a). Yield response of rice (*Oryza sativa* L.) genotypes to drought under rainfed lowlands: 2. Selection of drought resistant genotypes. *Field Crops Research* 73:169-180.
- Pantuwan G, Fukai S, Cooper M, Rajatasereekul S, O'Toole JC (2002b). Yield response of rice (*Oryza sativa* L.) genotypes to different types of drought under rainfed lowlands: Part 1. Grain yield and yield components. *Field Crops Research* 73:153-168.

- Pantuwan G, Fukai S, Cooper M, Rajatasereekul S, O'Toole JC (2002c). Yield response of rice (*Oryza sativa* L.) genotypes to drought under rainfed lowland: 3. Plant factors contributing to drought resistance. *Field Crops Research* 73:181-200.
- Pantuwan G, Ingram K, Sharma P (1996). Rice root system development under rainfed conditions, Proceedings of the Thematic Conference on Stress Physiology, Rainfed Lowland Rice Research Consortium. International Rice Research Centre, Manila, Philippines, Lucknow, India pp. 198-206.
- Passioura JB (1996). Drought and drought tolerance. *Plant Growth Regulation* 20:79-83.
- Pereira JS, Chaves MM, Caldeira MC, Correia AV (2006). Water Availability and Productivity, in: Morison, J.I.L., Morecroft, M.D. (Eds.), *Plant Growth and Climate Change*. Blackwell Publishing Ltd, Oxford, UK pp. 118-145.
- Podlich DW, Cooper M, Basford K, Geiger HH (1999). Computer simulation of a selection strategy to accommodate genotype environment interactions in a wheat recurrent selection programme. *Plant Breeding* 118:17-28.
- Prakash C, Mithra SVA, Singh PK, Mohapatra T, Singh NK (2016). Unraveling the molecular basis of oxidative stress management in a drought tolerant rice genotype Nagina 22. *BMC Genomics* 17:774.
- Prince SJ, Beena R, Gomez SM, Senthivel S, Babu RC (2015). Mapping Consistent Rice (*Oryza sativa* L.) Yield QTLs under Drought Stress in Target Rainfed Environments. *Rice* 8:1-13.
- Rang ZW, Jagadish SVK, Zhou QM, Craufurd PQ, Heuer S (2011). Effect of high temperature and water stress on pollen germination and spikelet fertility in rice. *Environmental and Experimental Botany* 70:58-65.
- Rao CHH (2000). Watershed Development in India: Recent Experience and Emerging Issues. *Economic and Political Weekly* 35:3943-3947.
- Ravallion M (2012). Benchmarking global poverty reduction. Policy Research Working Paper 6205. The World Bank, Washington, DC.
- Ray JD, Yu L, McCouch SR, Champoux MC, Wang G, Nguyen HT (1996). Mapping quantitative trait loci associated with root penetration ability in rice (*Oryza sativa* L.). *Theoretical and Applied Genetics* 92:627-636.
- Reynolds TW, Waddington SR, Anderson CL, Chew A, True Z, Cullen A (2015). Environmental impacts and constraints associated with the production of major food crops in Sub-Saharan Africa and South Asia. *Food Security* 7:795-822.
- Ricepedia (2011). The global staple. <http://ricepedia.org/rice-as-food/the-global-staple-rice-consumers>
- Rosegrant MW, Cai X, Cline SA (2002). *World water and food to 2025: dealing with scarcity*. Washington, D.C.: International Food Policy Research Institute, Colombo, Sri Lanka: International Water Management Institute.
- Sah SK, Reddy KR, Li J (2016). Abscisic Acid and Abiotic Stress Tolerance in Crop Plants. *Front. Plant Sci.* 7.
- Salazar C, Hernández C, Pino MT (2015). Plant water stress: Associations between ethylene and abscisic acid response. *Chilean Journal of Agricultural Research* 75:71-79.
- Sandhu N, Singh A, Dixit S, Sta Cruz MT, Maturan PC, Jain RK, Kumar A (2014). Identification and mapping of stable QTL with main and epistasis effect on rice grain yield under upland drought stress. *BMC Genetics* 15:63.
- Shah T (2001). Wells and Welfare in the Ganga Basin: Public Policy and Private Initiative in Eastern Uttar Pradesh, India. IWMI.
- Shaibu AA, Uguru MI, Sow M, Maji AT, Ndjioudjop MN, Venuprasad R (2018). Screening African Rice (*Oryza glaberrima*) for Tolerance to Abiotic Stresses: II. Lowland Drought. *Crop Science* 58:133-142.
- Sié M, Futakuchi K, Mande H, Manneh B, Ndjioudjop MN, Efisue A, Ogunbayo SA, Moussa M, Tsunematsu H, Samejima H (2008). Droughtresearch at WARDA: current situation and prospects. *In: Serraj, R., Bennett, J., Hardy, B. (Eds.), Drought Frontiers in Rice: Crop Improvement for Increased Rainfed Production*. World Scientific Publishing, International Rice Research Institute, Los Baños, Singapore/Philippines.
- Singh AK, Mall AK, Singh PK, Verma OP (2010). Interrelationship of genetics parameters for quantitative and physiological traits in rice under irrigated and drought conditions. *Oryza - An International Journal on Rice* 47:142-147.
- Singh CM, Kumar B, Mehandi S, Chandra K (2012) Effect of drought stress in rice: a review on morphological and physiological characteristics. *BioScience Trends* 5:261-265.
- Staatz JM, Dembele MN (2007). *Agriculture for development in Sub-Saharan Africa* (No. 41378). The World Bank.
- Swain P, Mall A, Bose L, Baig M, Singh D (2010). Drought susceptibility index as a parameter to identify drought tolerant rice genotypes for rainfed uplands. National Symposium on "Sustainable Rice Production System under Changed Climate", ARRW, Cuttack.
- Swamy BPM, Ahmed HU, Henry A, Mauleon R, Dixit S, Vikram P, Tilatto R, S Verulkar SB, Perraju P, Mandal NP (2013). Genetic, physiological, and gene expression analyses reveal that multiple QTL enhance yield of rice mega-variety IR64 under drought. *PLoS One* 8, e62795.
- Tardieu F (2005). Plant tolerance to water deficit: physical limits and possibilities for progress. *Comptes Rendus Geosci.* 337:57-67.
- Tardieu F (2003). Virtual plants: modelling as a tool for the genomics of tolerance to water deficit. *Trends in Plant Science* 8:9-14.
- Tezara W, Mitchell V, Driscoll SP, Lawlor DW (2002). Effects of water deficit and its interaction with CO₂ supply on the biochemistry and physiology of photosynthesis in sunflower. *Journal of Experimental Botany* 53:1781-1791.
- Todaka D, Shinozaki K, Yamaguchi-Shinozaki (2015). Recent advances in the dissection of drought-stress regulatory networks and strategies for development of drought-tolerant transgenic rice plants. *Frontiers in Plant Science* 6.
- Trijatmiko K, Supriyanta R, Prasetyono J, Thomson MJ, Vera Cruz CM, Moeljopawiro S, Pereira A (2014). Meta-analysis of quantitative trait loci for grain yield and component traits under reproductive-stage drought stress in an upland rice population. *Molecular Breeding* 34:283-295.
- Turner MG, Gardner RH, O'Neill RV (2001). *Landscape ecology in theory and practice: pattern and process*. Springer, New York, NY.
- Ud-Din N, Carver BF, Clutter AC (1992). Genetic analysis and selection for wheat yield in drought-stressed and irrigated environments. *Euphytica* 62:89-96.
- Uga Y, Hanzawa E, Nagai S, Sasaki K, Yano M, Sato T (2012). Identification of qSOR1, a major rice QTL involved in soil-surface rooting in paddy fields. *Theoretical and Applied Genetics* 124:75-86.
- Uga Y, Kitomi Y, Yamamoto E, Kanno N, Kawai S, Mizubayashi T, Fukuoka S (2015). A QTL for root growth angle on rice chromosome 7 is involved in the genetic pathway of deeper rooting 1. *Rice* 8:8.
- Uga Y, Okuno K, Yano M (2011). Dro1, a major QTL involved in deep rooting of rice under upland field conditions. *Journal of Experimental Botany* 62:2485-2494.
- Uga Y, Sugimoto K, Ogawa S, Rane J, Ishitani M, Hara N, Kitomi Y, Inukai Y, Ono K, Kanno N (2013a). Control of root system architecture by deeper rooting 1 increases rice yield under drought conditions. *Nature Genetics* 45:1097-1102.
- Uga Y, Yamamoto E, Kanno N, Kawai S, Mizubayashi T, Fukuoka S (2013b). A major QTL controlling deep rooting on rice chromosome 4. *Scientific reports* 3: 3:3040.
- Uno Y, Furihata T, Abe H, Yoshida R, Shinozaki K, Yamaguchi-Shinozaki K (2000). Arabidopsis basic leucine zipper transcription factors involved in an abscisic acid-dependent signal transduction pathway under drought and high-salinity conditions. *Proceedings of the National Academy of Sciences of the United States of America* 97:11632-11637.
- Venuprasad R, Bool ME, Quiatchon L, Atlin GN (2012a). A QTL for rice grain yield in aerobic environments with large effects in three genetic backgrounds. *Theoretical and Applied Genetics* 124:323-332.
- Venuprasad R, Bool ME, Quiatchon L, Cruz MTS, Amante M, Atlin GN (2012b). A large-effect QTL for rice grain yield under upland drought stress on chromosome 1. *Molecular Breeding* 30:535-547.
- Venuprasad R, Dalid CO, Del Valle M, Zhao D, Espiritu M, Sta Cruz MT, Amante M, Kumar A, Atlin GN (2009). Identification and characterization of large-effect quantitative trait loci for grain yield under lowland drought stress in rice using bulk-segregant analysis. *Theoretical and Applied Genetics* 120:177-190.
- Verma SK, Saxena RR, Saxena RR, Xalxo MS, Verulkar SB (2014). QTL for grain yield under water stress and non-stress conditions over years in rice (*Oryza sativa* L.). *Australian Journal of Crop Science*

- 8(6):916-926.
- Vikram P, Swamy B, Dixit S, Ahmed H, Teresa Sta Cruz M, Singh A, Kumar A (2011). qDTY1.1, a major QTL for rice grain yield under reproductive-stage drought stress with a consistent effect in multiple elite genetic backgrounds. *BMC Genetics* 12:89.
- Wade LJ, McLaren C, Regmi K, Sarkarung S (1996). The importance of site characterization for understanding genotype by environment interactions, *In: M Cooper, GL Hammer, (Eds.) Plant Adaptation and Crop Improvement*. CABI, Wallingford, UK pp. 549-562.
- Wambugu P, Furtado A, Waters D, Nyamongo D, Henry, R (2013). Conservation and utilization of African *Oryza* genetic resources. *Rice (NY)* 6:29
- Wang D, Pan Y, Zhao X, Zhu L, Fu B, Li Z (2011). Genome-wide temporal-spatial gene expression profiling of drought responsiveness in rice. *BMC Genomics* 12:149.
- Wilhite DA (2000). Drought as a Natural Hazard: Concepts and Definitions, *In: Drought: A Global Assessment*, Ed. D.A. Wilhite. Routledge, London pp. 3-18.
- Wopereis MCS, Kropff MJ, Maligaya AR, Tuong TP (1996). Drought-stress responses of two lowland rice cultivars to soil water status. *Field Crops Research* 46:21-39.
- Yadaw RB, Dixit S, Raman A, Mishra KK, Vikram P, Swamy BPM, Cruz MTS, Maturan PT, Pandey M, Kumar A (2013). A QTL for high grain yield under lowland drought in the background of popular rice variety Sabitri from Nepal. *Field Crops Research* 144:281-287
- Yamaguchi-Shinozaki K, and Shinozaki K (2005). Organization of cis-acting regulatory elements in osmotic- and cold-stress-responsive promoters. *Trends in Plant Science* 10:88-94.
- You, Liang Zhi (2008). Africa: Irrigation investment Needs in Sub-Saharan Africa. Africa infrastructure country diagnostic background paper; no. 9. Washington, DC, World Bank. © World Bank. <https://openknowledge.worldbank.org/handle/10986/7870> License: CC BY 3.0 IGO."
- Yue B, Xue W, Xiong L, Yu X, Luo L, Cui K, Jin D, Xing Y, Zhang Q (2006). Genetic Basis of Drought Resistance at Reproductive Stage in Rice: Separation of Drought Tolerance from Drought Avoidance. *Genetics* 172:1213-1228.
- Zhang J, Zheng HG, Aarti A, Pantuwan G, Nguyen TT, Tripathy JN, Sarial AK, Robin S, Babu RC, Nguyen BD (2001). Locating genomic regions associated with components of drought resistance in rice: comparative mapping within and across species. *Theoretical and Applied Genetics* 103:19-29.
- Zhou L, Liu Z, Liu Y, Kong D, Li T, Yu S, Mei H, Xu X, Liu H, Chen L (2016). A novel gene OsAHL1 improves both drought avoidance and drought tolerance in rice. *Scientific Reports* 6:30264.
- Zinolabedin TS, Hemmatollah P, Seyed AMM, Hamidreza B (2008). Study of water stress effects in different growth stages on yield and yield components of different rice (*Oryza sativa* L.) cultivars. *Pakistan Journal of Biological Sciences* 11:1303-1309.

Review

Integrating crop and livestock in smallholder production systems for food security and poverty reduction in sub-Saharan Africa

Asrat Guja Amejo^{1,2*}, Yoseph Mekasha Gebere³ and Habtemariam Kassa⁴

¹Animal and Range Sciences School, Agricultural and Environment Sciences College, Haramaya University, Dire Dawa 138, Ethiopia.

²Department of Animal Science, Agricultural Sciences College, Arba Minch University, Arba Minch, 21, Ethiopia.

³Livestock Programs, Agricultural Transformation Agency, Addis Ababa, 708, Ethiopia.

⁴Center for International Forestry Research, Ethiopia Office, Addis Ababa, 5689, Ethiopia.

Received 27 January, 2018; Accepted 24 May, 2018

The resource base that ensures food supply and the socio-economic component which depends on this resource base are the two major components that make up the food system in sub-Saharan Africa. The sequence of the food system is organized in a spatial flow framework of biomass base. The components of rural production system consist of food production biomass at homestead and farm level, and often at the communal base non-food production lands. The degree of integration between these resources base determines flows such as material cycle, energy, food and cash, and influences how the entire production system needs to be managed. The management system influences resource use efficiency and economic returns at different levels, at individual household, communities, and national levels. Efforts to developing agriculture and reducing poverty remained sectoral and focused mainly on a specific crop or individual animal level, failed to see interconnections among sub-systems and across space and time. The concept of the integrated food system has not been adequately adopted, in many sub-Saharan African countries and the agricultural system in the region continues to exhibit a low level of productivity and resource use efficiency. Hence, food insecurity and poverty remained high among smallholder farming communities producing crop and livestock despite the availability of arable land and abundance of another natural resource. This review focuses on the significance of integrated crop-livestock system in the tropics and suggests a framework to begin understanding and addressing complex problems in smallholders' production system.

Key words: Biomass production, food security, crop-livestock systems, poverty, smallholder production systems, sub-Saharan Africa.

INTRODUCTION

Agriculture is the largest single occupation in the world, employing 40% of the global population and contributing substantially to the health and well-being of rural populations (United Nations, 2015). Approximately, more than 950 million people are found in Africa, with 60% between 15 and 24 years (Koira, 2014). The majority of the population in sub-Saharan Africa (SSA) resides in

rural areas, and up to 80% are smallholder farmers (Senbet and Simbanegavi, 2017) directly or indirectly dependent on agriculture for their livelihood. Africa presents a paradox of hungry and malnourished farming families; the continent continues to be a global hotspot for food and nutrition insecurity and is home to some of the world's poorest populations; and food aid has virtually

become a perennial feature, particularly in SSA (Gliessman and Tiftonell, 2015). More critically, in contrast to other continents, agricultural productivity in Africa has continued to decline (van Ittersum et al., 2013). The agricultural production practices and value chains remain underdeveloped as a result engaging in agriculture in the region remains less attractive to the young generation (Ströh de Martínez et al., 2016).

The variety of resources, productive and non-productive, as well as livelihood specific assets like land and livestock including various phases of production to the consumption of food through distribution and processing in the food system, consists of a more complex adaptive system. Despite the complexity of biophysical and socio-economic components of the food system, attempts to understand and improve its efficiency in SSA remained sectoral, fragmented and simplistic, and hence have thus far been less successful. Population pressure has continued to increase, and the resources base are depleting. The challenge is compounded by climate variability and change, under development of infrastructure and markets that continue to affect people and agriculture in SSA.

The various components of the resource base (soils, crops, livestock, weather, etc.) and socio-economic elements (culture, farm management practices, knowledge systems, non-farm and off-farm income generating activities) and many other factors interact in complicated ways to influence agricultural productivity and sustainability of production systems. The development and adoption of sustainable farming systems require a better understanding of the ecology of farming systems, the socio-economic aspects of the communities managing the production systems, and capacity to identify and use options for sustainable intensification and to overcome barriers to adopt good practices. According to Tilman et al. (2002), fundamental shifts in policies, incentives, and institutions will be required in the search for, extensive adoption of sustainable agricultural practices; that search must be an on-going and adaptive process.

Most of the agricultural researches being conducted to benefit the poor in SSA are hampered by the historical lack of cross-disciplinary linkages and cross-sectoral approaches (Lenné and Thomas, 2006). Failure to address challenges in an integrated manner continues to limit adoption and use of most agricultural research results by smallholders. As a result, many continue to poorly understand and address interactions that contribute to poverty alleviation, food security, and sustainable resource use by smallholders in SSA (Mortimore, 1991; Kristjanson and Thornton, 2001).

Working at an integrated level in crop-livestock systems

provide opportunities for the improvement of the two production components of sub-systems at the same time (FAO, 2010). It allows improvements in the workforce, the stability of production and reducing production related risks; greater chances of producers reaching their socio-cultural aspirations; and greater food security to meet the needs of consumers regarding the diversity and quality of products they may get at a given point in time. A high level of biodiversity (Mores et al., 2014) is maintained that further supports the sustainable agricultural systems, ensure food availability while also reducing environmental degradation and assisting agriculture to adapt to climate change.

By definition, therefore, a complex adaptive system is a system composed of many heterogeneous pieces whose interactions drive system behavior (National Research Council, 2015). Ignoring these characteristics can distort our picture of how these systems work, causing policies to be less effective or even counter-productive (Levin et al., 2013). This result in situations where research recommendations do promote either intensive cropping or livestock production in cases where farmers' objectives and resources would have supported further integration (Kassa et al., 2011). As a result, most recommendations fail to be adopted by smallholder farmers. On the other hand, Endashew (2017) description of food and nutritional security along with hunger alleviation on a global scale can only be within reach if technological innovations are accepted, promoted and implemented particularly at smallholder farm level.

The poor performance of the agricultural production that leads to food insecurity, persistent poverty, low-income levels and declining environment multi-functionality of production systems is not a mere effect of technical and financial scarcity. It is related to the lack of adequate information on area-specific resources and how the agricultural system evolves at local, regional and national levels. This is particularly true in a region where extension packages are designed and promoted assuming that smallholder farming systems are uniform and mixed farming systems need to specialize in crop or livestock production systems. That is, not only the extension systems, but the policy direction also fails to take into account the reality of the existing integrated crop-livestock production systems (Kassa et al., 2011) in many developing countries.

Most researchers and policymakers fail to realize the the available land use, biomass base, labor, draft power and manure that are utilized in a way to meet subsistence interaction levels between crop and livestock production systems in energy and nutrient links, which complement food and cash needs of the farm households.

*Corresponding author. E-mail: gujasrat@gmail.com. Tel: +251911071200.

Author(s) agree that this article remain permanently open access under the terms of the [Creative Commons Attribution License 4.0 International License](https://creativecommons.org/licenses/by/4.0/)

It is also true that all interaction effects are not always positive. Smallholders are facing food insecurity, high capital shortage, and high risks associated with agricultural production. Unless the innovation works under the real circumstances of the smallholder systems, its adoption will naturally be slow. Given the challenges, they continue to minimize risk and optimize total farm productivity than maximize a specific crop or livestock productivity. Thus, it is important to think through how their efforts can be assisted to increase productivity through increased efficiency of use of resources available (or can be made available) to them.

Smallholder farmers operating crop-livestock production systems in SSA often manage fragmented holdings and face annual and intra-seasonal variability of climate factors; they depend mainly on family labor with poor access to transport services and the market, limited availability of extension and credit services. As a result, smallholder producers hardly benefit from the growing national and global demand for agricultural products.

Designing development interventions and devising an agricultural policy that works for smallholder farmers in SSA calls for better understanding of the production objectives of smallholders and the functional and organizational structure of mixed crop-livestock farming systems. This review focuses on the description and importance of integrated biomass base crop-livestock production system building on cases and identifies entry points to enhance food security and reduce poverty amongst smallholder farmers in the SSA.

INTEGRATED CROP-LIVESTOCK PRODUCTION IN THE FOOD SYSTEM

Biomass base of integrated crop-livestock systems

In integrated systems, biomass base is defined as nutrient flows, linking crops, livestock and human components of agriculture, whereas the land is a spatial framework of the flow path. The spatial dimension is key to the concept of material cycles and energy flows, and management of integrated systems and flow paths connect a point of origin to an end by displaying a spatial distance (Poccard-Chapuis et al., 2014). The rural production system consists of a spatial structure and social scale in the concept of biomass base that integrates system components in complex ways and with interdependence. Spatial structure can matter by directly shaping the local context experienced by actors, but it also can shape impacts at a distance and affect changes in the environment over time (National Research Council, 2015). Development and adaptation of integrated systems analysis, therefore, must include different technical, social, demographic and environmental functional relations that are defined simultaneously on different hierarchical levels to gain a better framework of the complex problems

of our society.

Agro-systems are complex systems of topographical sequences which usually contain a variety of distinct pathways. For instance, in Southern Mali, the landscape relief determines the soil type and its potential for production whether native or cultivated (Kante, 2001). The upland portions have more fragile soils with a coarse texture and low fertility than the lowland sections. Where in latter, soils were deeper with a higher percentage of clay and were fertile due to water flows in the watershed (Riou, 1990), and from a sociological view, village residents were sorted along the topography by inhabiting the uplands (Dufumier, 2004). Native vegetation areas are community property where the pastures and forests are used communally during the non-growing period, whereas cultivated land is managed privately during the crop production season (Poccard-Chapuis et al., 2007). A village leader defines rules for common use of the areas (Hardin, 1968). Farming practices based on the cut and burn system continued to be practiced up to the first half of the 20th century, with regeneration cycles of about 20 years as indicated in Mali situation. At that time the upland portions of the topography were preferentially cultivated due to finer vegetation that was easier to clear (Riou, 1995). The return of ashes from burned forests was compensated for such soils common low chemical fertility, which was also a technique to make the land to work easier (Poccard-Chapuis et al., 2007). As such, fields are spread across the topography, allocated by traditional authorities and family heritage management takes into account the spatial structure of the property. Thus, each property has a unique spatial distribution among the three types of fields (non-manured fields, manured fields, and bushland) and size of cattle herds (Lemaire et al., 2011).

With consolidation of permanent fields, the limited quantities of chemical fertilizers distributed by agribusiness became insufficient to correctly manage the soil; farmers were interested to utilize manure from cattle herds to improve fertility of cropland soil, such that cropland became an essential part of the fodder calendar, especially in the dry season, whereby cow dung from the grazing cattle was deposited directly on cropland (Poccard-Chapuis et al., 2007). Biomass recycling is the linchpin of maintaining and enhancing animal and plant productivity along with investment in capital and labour use.

Optimizing crop and livestock component within biomass base

According to Kumaraswamy (2012), environmental sociology is increasingly becoming indispensable in the restoration of ecological functions. Hughes (1995) and Cooke and Kothari (2001) defined environmental sociology as complex symbolic and non-symbolic

reciprocal interactions between society and environment that are influenced by the cultural and social behavior while interacting with the physical and biological elements. The rural landscapes have inherent physical and functional characteristics that determine to some extent necessary spatial structure (Poccard-Chapuis et al., 2014). The functional improvements in rural landscapes can occur over time with consideration of crop and livestock components in space (Poccard-Chapuis et al., 2011). Rangeland (non-food production) biomass with native vegetations and grasses in many rural regions are still larger than the food production biomass base. Substantial refinement has not been done yet on spatial arrangements. A case study shows that the productivity gains are potentially high through the recovery of degraded pastures and formation of eco-efficient from arrangements of various components of the landscapes (Poccard-Chapuis et al., 2014). Herrero et al. (2013) reported that grasslands are sometimes considered either underused or seen as an ecosystem warranting judicious management because of their importance for protecting key regulating ecosystems services (carbon, biodiversity, and water). Farmers will need to identify characteristically different parts of their farmland, such as hill sides, plains, wetlands, river banks, etc., in order to develop an efficient spatial arrangement of land use and appropriate management practices for optimizing production and use of biomass and water. In the grassland areas, the arrangement should be thought of as a process of progressive pasture reform, with occasional diversification into other uses, and with a greater appreciation of where animal manure is deposited (that is, on pasture for temporal rotation with cropland or in the corral for collecting and spreading manure on cropland).

Integrated management and role of institutions

Two specific cases have been cited by Poccard-Chapuis et al. (2014) to compare the productive performance of the integrated systems of Mali and the Brazilian Cerrado while also highlighting similarities from an ecological but differences from a social point of view. Management by the agribusiness company in the Brazilian Cerrado certainly facilitated several factors that Mali farmers lacked: access to finance equipment technical support, quality inputs, training and complementarity among specialized components. Food production in Brazil foods mobilized public policies to support efforts, particularly public funding for infrastructure development. Attempts to enhance an integrated system of food production was facilitated through direct control of livestock and cultivated areas (e.g., prices paid to farmers) so that complementarity of feed production, meat production and other components were ensured. The company, known as Brazil Foods, could monitor and adjust crops and

livestock in the territory, as well as biomass circulation in the integrated system. Even transportation is managed by the company with a fleet of trucks and a dense network of passable country roads. Brazil foods were responsible for balancing this system, economically and agronomically. However, this integrated structure was faced with the risk that farmers wanted to invest in other production systems, such as sugarcane as this had attractive prices in neighboring regions. It is possible that a decline in grain supply would lead Brazil Foods to forego the territory and move its activities to another region. This is one limiting factor in managing a large corporation: it can optimize integrated crop-livestock systems, but it can also change strategy and withdraw, compromising the development direction of the territory. Therefore, the social system may be influenced by an uncontrollable external agent, affecting the viability of integrated crop-livestock systems.

In the case of Mali where the large company and support services and policies do not exist, management was left to the farmers themselves and traditional authorities. Biomass production, transport, and transformations are limited due to limitations in investment in technology, low level of capital to process plants and to buy inputs such as quality seeds and fertilizers. Public policies are poorly aligned with the needs of poor producers limiting the impact on the agrarian system. Moreover, traditional management has been facing difficulties in promoting new innovations and in enforcing certain management practices such as controlling grazing on communal land. This comparison clearly shows the role of managers in innovation, public policy to mobilize resources and support efforts to promote integrated management. The social system in villages combined with the former slash and burn and forest succession system can be considered hindrances to optimizing integrated systems at a regional scale. The trend is that farmers, as seen in the Amazon case, end up developing integration individually without the collective mobilization of potentially shared resources and biomass.

Attempts are being made in Africa so that agricultural research and knowledge generation strategies involve multiple-stakeholders and promote sustainable and equitable agricultural development. The Forum for Agricultural Research in Africa (FARA) supports efforts towards integrated agricultural research for development (Adekunle et al., 2012).

Farm level integrated crop-livestock production systems

The coexistence of crop-livestock production systems in many different forms at a global scale is evidenced (Seré et al., 1995; Dixon et al., 2001). As a group of farms, they are assumed to be operating in a similar environment that

provides a useful scheme for the description and analysis of crop and livestock development opportunities and constraints (Otte and Chilonda, 2002). Investment in agriculture to have a sustainable impact on food security and poverty and decisions have to be made with respect to smallholder farmers and their biophysical environment and socio-economic and cultural setting (Notenbaert et al., 2009); future scenarios modeling could be amenable ideally for these systems.

The impacts of agricultural production on the natural environment strongly depend on specific local conditions. Changes in water or nutrient cycles, for example, are related to soil conditions, terrain type and local climate condition (Lotze-Campen et al., 2005). In crop-livestock systems, the feed supply is defined to a large extent by the biomass produced on grazing lands and by crops that could be available for use as livestock feed (Fernández-Rivera et al., 2004). Estimations of feed surplus and deficit areas linked to potential stocking capacity can give an indication of current and probable future pressure on the natural resource base (Notenbaert et al., 2009). Other assessments include manure calculations, nutrient cycle, and land degradation. The value of animal traction for purposes of cultivation can legitimately be included as one of the potential assessment but information is rarely available even in countries where animal traction is predominantly used in crop production: cultivation, weeding, threshing, transport, etc. It was estimated that in Ethiopia, the annual production of crop residues has increased from 6.3 million tons in 1980 to about 31 million tons due to the expansion of cultivated land and increased crop productivity (CSA, 2008). However, the use of crop residue varies from place to place in the country. A study by Amejo et al. (2017) reported that in smallholder crop-livestock systems, the feed source from grazing/browsing and from crop by products accounted for 92 and 8%, the total annual supply of livestock feed. The same study concluded that feed from rangelands biomass accounts for about 82% of the feed for livestock in the lowland areas of that study.

Earlier studies in Ethiopia indicated that about 80% of farmers use animal traction to plough their farm fields. In the Ethiopian highlands, the area under cultivation is positively associated with cattle ownership (Gryseels, 1988; Mergia et al., 2005; Bogale et al., 2009). Ploughing with cattle also increases crop output per hectare. In Oromia regional state of Ethiopia, farmers who used oxen or a combination of oxen and hand cultivation obtained higher yields of both teff and maize as compared to farmers using hand cultivation alone (Mergia et al., 2005). Assessment of livestock productivity in the mixed farming systems in Southern Ethiopia shows that cattle manure (dry matter produced in kg/year) and draught animal power accounted for 29% of the gross household income from the livestock sector (Amejo et al., 2018).

The Livestock Policy Initiative of the Intergovernmental Authority on Development (IGAD) reported that the mean

weight of cow dung used for fuel by households in Ethiopia was equivalent to 293 kg per year per cattle (Behnke, 2010). The conventional methods used for agricultural GDP calculations fail to capture a wide range of economic benefits provided by livestock to the Ethiopian national economy. The IGAD policy brief recommendation asserted that in the interest of supporting more informed policies for livestock development, the Ministry of Finance and Economy Development and the Ministry of Agriculture should collaborate to supplement the standard national accounts with periodic estimations of the value of livestock goods and services that are underestimated in national accounts (Behnke and Metaferia, 2013).

Another comparative system analysis in three countries showed the net income issues from agriculture activities: the US \$40 in Vihiga (Kenya), \$284 in Upper West (Ghana) and \$4,368 in Kandy (Sri Lanka). It demonstrated that the low incomes and the high reliance on off-farm income (92%) in Vihiga could be explained by small farm size and that the high proportion income obtained from sale of milk, on the other livestock could be a vehicle for intensifying systems without the associated effects of land-based intensification (Herrero et al., 2007).

Role of production system characterization for integrated management

Integrated crop-livestock systems are organized to maximise synergies and minimize trade-offs between crops and livestock sub-systems through the production of crops and livestock on the same area, concurrently or sequentially in rotation or succession (Moraes et al., 2014). The result of an integrated system is that the whole is greater than the sum of its parts and resulting in having emergent properties (Anghinoni et al., 2013). These integrated crop-livestock systems are produced with minimal supply of inputs and technologies (Moraes et al., 2014).

The role of research and development in integrated crop-livestock systems

Because of various constraints that smallholder farmers managing integrated crop-livestock production systems face, they have not been benefiting from research and development efforts to the extent expected. Infrastructural limitations and poor market access made farmers benefit little from growing demands for food in SSA and in the world at large. Thus research directions and development interventions need to focus on improving the policy and institutional aspects that enable farmers to increase total farm productivity and household income through improved links to technologies and services and better links to markets. More research and policy instruments are

needed to improve resource use efficiency of integrated farming systems.

Efforts to improve crop-livestock systems therefore necessitate a detailed analysis of farmers' circumstances and practices of the components of production systems and their operation from various regions. White (1998) reported that opportunities for and constraints to improving the productivity, sustainability and viability of integrated farming systems are often specific to particular agro-ecological zones and socio-economic settings. Understanding the subsystem is an essential part of the bio-economic foundations of rural livelihood systems (Thornton and Herrero, 2001), which requires accounting for its component stocks, resource flows and interactions (Ashley and Carney, 1999).

FOOD SECURITY AND POVERTY IN SMALLHOLDER PRODUCTION

The concept of food security is multidimensional in nature and includes food access, availability, use, stability and even entitlement to food. The analysis of food insecurity as a social and political construct has been growing in importance (Devereux, 2000). Poverty and food insecurity continue to be highly concentrated in SSA.

Reducing hunger and poverty calls for improvements in economic conditions of households and infrastructure, the organization of food production, the provision of social services, political and institutional stability, among others (FAO, 2013). In terms of natural resources, most of SSA countries have relatively abundant agricultural land. For example, in 2008, SSA allocated 29 million ha of agricultural land (about two-thirds of global demand), for foreign investment (Deininger and Byerlee, 2011). Gomiero (2016) emphasized the greatest potential for croplands in tropical Africa given current climatic conditions (560 million ha) followed by North and South America (470 million ha). Yet currently cultivated land in SSA is under smallholders with low productivity levels and managing less than 1 ha of landholdings (Deininger and Byerlee, 2011).

In Ethiopia, total land area cultivated for grain in 2016 was 14,934,373 ha and a total of 2,998,828 tonnes of grain was produced (CSA, 2016). Smallholder farmers accounted for 95.5% of the area cultivated, whereas commercial farmers accounted for 4.2% of the area cultivated and 0.3% of small-scale irrigation user. The same is true for livestock production where 98.59% of cattle population are local breeds (CSA, 2016). Livestock products supply chain is dominated by smallholders and pastoralists except very few per-urban farmers engaged in dairy and poultry.

The existing yield gap in productivity, the growing demand for food products and shortage and in some cases, absence of large-scale competitive commercial farmers in the agriculture sector provide opportunity for

market oriented agricultural development that would raise smallholder productivity in many SSA countries (Deininger and Byerlee, 2011). Given the widespread rural poverty and small-scale farming in Africa, the conventional wisdom supports a strong role for agriculture in African development (Diao et al., 2010). However, emphasis to developing the agricultural sector and enhancing its contribution in rural development in SSA remain limited due to policy distortions against agriculture and narrow focus toward higher value export crops.

In low-income countries with high dependence on agriculture, strategies that promote agricultural productivity and link producers to markets are most appropriate for making progress in poverty reduction and, by implication, improving food security (Mellor, 1995; de Janvry and Sadoulet, 2001). The links between increased production and improved food consumption of poor and food-insecure persons are mediated through complex institutional and socio-economic relations, thus one should not just think of production increases alone to positively impact food security and poverty. As undernourishment handicaps, the efforts to improve food production, feedback effects between food production and consumption should be considered. A recent sustainable development agenda recognizes the need for eradicating poverty in all its forms and dimensions. This is the greatest global challenge and an indispensable requirement for sustainable development (Resolution, 2015). Thus, reduction and ultimate eradication of poverty and hunger are the most urgent tasks facing national governments in SSA. This necessitates significant public interventions to develop the agricultural sector, supporting rapid income growth that translates to increased capacity to produce or purchase food (FAO, 2013). Agricultural development, coupled with the expansion of rural non-farm activities are the most effective means of promoting income growth.

The term undernourishment is used to describe the status of persons whose food intake does not provide enough calories to meet their physiological requirements on a continuing basis (FAO, 1999a). As recommended by FAO/WHO, the body mass index (BMI) measure (the ratio of body weight in kg to the square of height in meters) is commonly used in adults group, and the considered range for healthy adults is between 18.5 and 25. The BMI can clearly vary over an adult's lifetime, but physical stature is determined by the time an individual reaches adulthood. It is critical to note that poor anthropometric status is the outcome not only of insufficient food intake but also of sickness spells.

The economic costs of malnutrition and undernutrition, often translated to poor anthropometric status of individuals. First, this limits physical strength of an individual and his/her ability to do sustained work often required among rural communities that are dependent on agriculture which requires much manual labour. This in turn limits capacity to generate more income. Poor

nutritional status leaves people more susceptible to illness. Poor nutritional status is associated with a risk of intergenerational transmission. For instance, women who suffer from poor nutrition are more likely to give birth to underweight babies. These babies thus start out with a nutritional handicap. Poor nutrition is associated with poor school performance in school-age children as prolonged and severe malnutrition are known to impair the cognitive ability of the child. People who live on the edge of deprivation do follow a policy of safety rather than to invest in agriculture. Finally, the macroeconomic performance of the whole economy will continue to suffer from the cumulative impact of all these effects.

Several studies reported that increased BMI had a significant impact on output and wages. For example, Croppenstedt and Muller (2000) found that in rural Ethiopia, an increase of 1% in BMI increased farm output by about 2.3% and wages by 2.7%. Thomas and Strauss (1997) found that a 1% increase in BMI in their sample from urban Brazil was associated with a 2.2% increase in wages. Strauss and Thomas (1998) presented a succinct and illuminating review of the impact of adult stature and BMI on productivity through an analysis of two data sets from the United States and Brazil. They found that adult stature is positively correlated with wages in both countries, but the effect is strong in Brazil and weak in the United States. The implications of the findings are profound. The loss of income to those suffering from undernutrition can be large. Thus, it appears that in Brazil, people with BMIs of 26 earn wages that are considerably higher than wages earned by those with a BMI of 22. Furthermore, people with BMIs of 26 are far more likely to find work than people with BMIs of 22.

A significant impact of increased calorie consumption on farm output and wages has also been reported. For example, a study by Thomas and Strauss (1997) found that an increase of 1% in calorie intakes increased wages by about 1.6% at calorie intake levels of around 1700 calories per day, but that this effect ceased to operate after calorie consumption levels reached around 1950 calories per day. Increased attention is being given to the role of micronutrient deficiencies in reducing labour productivity. Iron deficiency that causes anaemia was associated with a 17% loss of productivity in heavy manual labour and 5% in light blue-collar work.

The importance of subclinical vitamin A deficiency in child mortality has been recognized through meta-analysis of clinical studies (Horton, 1999). The relative risk of mortality for a child with subclinical vitamin A deficiency is 1.75 times than that for a child who does not suffer from this deficiency. Horton (1999) has provided a measure of the overall economic costs of malnutrition as a percentage of GDP for selected Asian countries. An FAO report (Arcand, 2001) has indicated a strong relationship between economic growth and nutritional factors, as measured by either the prevalence of food inadequacy or gap in the dietary energy supply per

capita. The impact of nutrition on economic growth appears to be strong to operate directly, through the impact of nutrition on labour productivity and indirectly through improvements in life expectancy.

According to Fogel (1994), improvements in nutrition and health explain half the economic growth in the United Kingdom and France in the eighteenth and nineteenth centuries. An accounting approach with concepts from demography, nutrition and health sciences by the same author has stressed the physiological contribution to economic growth over the long term. A change in diet, clothing and shelter together with a reduction in the incidence of infectious diseases, increased the efficiency with which food energy was converted into work output and translated into higher economic growth.

Private income growth alone does not guarantee improvement in nutritional status. Nutritional status is the resultant of food intakes and health inputs. Thus, the solution to undernutrition is increased intakes of calories as well as improvement in micronutrients, better health and sanitation, safe drinking water, better functioning markets, etc. (FAO, 2013).

Rural poverty

Rural poverty remains entrenched among smallholders managing integrated crop-livestock production systems. More need to be done to enhance our understanding of what works in terms of reducing poverty reduction and enhancing food security. In particular, the focus should partly shift from the pursuit of win-win policies towards policy options that involve managing trade-offs and maximizing synergies between crop and livestock production systems on one hand and between agriculture and non-agricultural income generating activities on the other hand with which policymakers are more often confronted (FAO, 2013).

Smallholder farmers in SSA are engaged in largely subsistence farming and are dependent on often disconnected local food markets (Ströh de Martinez et al., 2016). The defining characteristic of most goods and services of smallholders is that they are effectively less tradable due to their marketable quality and/or volume. Most produces of smallholders are found in less accessible locations. The growth of smallholder produce is conditioned by the growth of demand in the local rural market. Devising strategy for agricultural growth that promotes productivity and income of smallholder and hence allows for greater participation of the poor is central to reducing poverty and promoting rural development in SSA (Diao et al., 2010).

PATHWAYS TO DEVELOP INTEGRATED CROP-LIVESTOCK SYSTEMS IN SUB-SAHARAN AFRICA

Co-existence of crop and livestock in traditionally

integrated crop-livestock production systems has evolved from age-old practices that attempt to use available inputs and increase total farm productivity. Smallholder farmers are experienced in adapting their systems and methods of production to different circumstances, albeit slowly and with only a limited success as they have not been systematically supported by governments in terms of adoptable innovations, supportive policy instruments and market links. As circumstance change to alter one or more of the constraining factors, farmers may adopt their systems of operations.

A study in Tanzania showed that though limitations in farm size, capital and technological development and market access remain challenging, there exist means to increase agricultural production via improving technical efficiency (Hepelwa, 2010) and to use appropriate extension and other support services to better understand obstacles for scaling up (Nijbroek and Andelman, 2016).

Swanepoel et al. (2010) suggested that the institutional, market and policy-related constraints that undermine productivity and income levels of smallholder farmers in SSA need to be identified and addressed in a coordinated manner. Transportation, infrastructure, markets and institutions are critical for establishing efficient markets but are often severely lacking in livestock-raising areas (PicaCiamarra, 2005). According to Moraes et al. (2014), integrated crop-livestock systems can support efforts for the sustainable intensification of agriculture. Promoting increased production of foods, fibres and energy, associated with the promotion of ecosystem services is assisted by supporting further intensification through integration of crop-livestock systems. Crop-livestock systems in SSA vary (Ruthenberg, 1971) arising from the combination of parts that have different operational features. Though a number of constraining factors limits a range and balance of resource and enterprise combinations that are found in any specific farming system, these production systems continue to adapt to and respond to demands of markets (Swanepoel et al., 2010). Focus on market-orientated smallholder production systems helps intensification that help to significantly close yield gaps in crop and livestock production, and bring about efficiency gains by reducing opportunity costs for, among others, land (Naylor et al., 2005).

It is necessary to properly consider agro-ecological, technical, social, demographic and environmental factors as attempt is made to develop integrated crop-livestock production systems on which most smallholder farmers in SSA depend for their livelihoods. Agro-ecology offers technical and organizational innovations to promote a restorative, adaptable, inclusive and resource use-efficient agricultural model at global scale, however, there are several challenges ahead. It is assumed that scaling up agro-ecology from successful isolated examples of pioneer farmers to broad-scale dissemination will be next

major challenge. Investing in institutional and policy innovation will be at least as important as investing in generating new scientific knowledge on agro-ecology. The social-change aspect of agro-ecology was strongly voiced by the organizations supporting and promoting the rights and needs of food insecure and malnourished communities (Gliessman and Tittonell, 2015).

For example, policies that set the rules of the game by internalizing the environmental externalities in production costs, through preferential allocation of subsidies to low environmental impact farming; through the protection of family farmers' rights to access agro-biodiversity, which is increasingly being restricted by patents and unethical claims on property rights; and through the promotion of short commercialization circuits and local food systems, including processing, that can guarantee quality and safe food for the poorest. Policies that set the rules of the game to make agro-ecological farming as competitive and economically viable as industrial farming will be able to better inform the development of public policies to support the rural poor transition rather than policies that compel farmers to embrace agro-ecology.

Small farms could play a more significant role by complementing and reinforcing diets through the production of a large diversity of nutritious crops, rather than focusing on producing only calorie-rich crops in a context of rapidly increasing population and dwindling farm sizes. The case of smallholder rural families may constitute an exception in many situations. The average diet of people in rural areas that are well connected to markets and urban hubs, or that have access to mass communication media, is increasingly determined by demand. Yet, in regions that are less connected to markets or to mass media, or where poverty prevents people from affording external foods, the relationship between landscape and nutritional diversity is a much stronger one. The functional biodiversity that is necessary to sustain agro-ecological processes and functions also results in a greater diversity of crops and animal products that can improve the diet of farming families, aforementioned as in the case of Brazil.

It was evidenced that currently, global food production is short of vegetables by 11%, fruits by 34%, fresh milk by 50% and nuts and seeds by 58%. These nutritional gaps indicate that there is a need to diversify production through, e.g. intensive vegetable rotations and associations, crop-livestock integration, or fruit tree agroforestry, all practices that are common in agro-ecology.

Efforts should be directed towards the design of nutrition-sensitive landscapes by means of diversification. The good intention of increasing the yield of a few world commodities to reduce poverty and hunger has already shown its limitations. Particularly in smallholder family agriculture, when land sizes are as small as one acre or less, increasing the yield of staple crops will not result in families rising out of poverty. Given their small size, the

Table 1. The four dimensions of any food system and their effects.

| Dimensions | Domains | | |
|--------------|---|---|---|
| | Health | Environment | Social and Economic |
| Quantity | All households to an extent meet their food requirements in terms of energy (and protein), without malnutrition | Increased system productivity of biomass based values | Rising disposable income of poor household |
| Quality | Availability of food with adequate micro-nutrients | Rehabilitate and maintain biodiversity of natural environment and traditional agriculture scenes | Variety of affordable food for households with different income levels |
| Distribution | Access to a variety of food for all groups in population at all seasons | All weather condition accessible infrastructure and communication across agro-ecology, topography and river boundary | Affordable cost to move smallholders on-, off-farm supply; appropriate prices to the supply and their demand at all seasons |
| Resilience | Quality and healthy food in recovery of wasting, stunting and underweight | Sustainable interconnection and communication of community across agro-ecology, topography and river boundary, as well as secure access to communal resources | Community retains viability after loss either endogenic or exogenous economic source |

Source: National Research Council (2015).

total income they may receive from selling their harvest, even if they produce at potential yield levels, will still be meagre. The result is that a large number of farmers in SSA regions are currently part-time farmers who are unable to pay enough attention to their farms and their landscapes. This trend will be exacerbated for future generations of family farmers unless something is done about it. It is time for agr-oecology.

Gliessman (2015) said that agro-ecology must integrate science, technology and practice, and movements for social change help to re-connect the people who grow the food and the people who eat the food in a relationship that benefits both. Food system interventions are more likely to succeed if they are informed by an understanding of the intrinsic dynamics associated with production systems, public health, environmental, and social and economic outcomes with an appreciation that their interactions are non-linear and not always readily predicted (National Research Council, 2015). Along these important dimension, Table 1 shows a summarized presentation of a conceptual framework adapted from the National Research Council (2015) to measure the effects of these important dimensions on food systems. Within an agro-ecological food system perspective with focus on localized units and from an agro-ecological standpoint, clearly the definition of system boundaries can be made explicit.

For example, integrated system analysis to ensure the roles, extents and potential demand of the resource base can confer certainty of the long-term impact of increased efficiency for food production and sufficiently high

economic return in line with land capability. This approach can help planners and smallholders set future directions, and make decisions as to how to reallocate the resources without affecting existing economic and ecological basis of food production and non-food production biomass. It gives efforts to improve the efficiency of food availability, enhances resources use efficiency and attains food security without substantially degrading the natural resource base.

Government policy is an important factor that governs the development and evolution of farming systems. Government efforts also include efforts to establish and strengthen research institution and development actors at large to support rural economic development. The support provided by non-governmental organizations to help the community improve its productivity and income cannot be underestimated. The role of the private sector however remains limited. The central role of the government in coordinating development efforts to develop smallholder integrated crop-livestock systems in SSA remains central. Technical and institutional options to enhance the role of this production system to reduce poverty and food and nutritional insecurity which promote interaction of the two sub-systems, crop and livestock should be adopted, rather than attempting to increase productivity of only crops or only livestock in SSA.

CONFLICT OF INTERESTS

The authors have not declared any conflict of interests.

REFERENCES

- Adekunle AA, Ellis-Jones J, Ajibefun I, Nyikal RA, Bangali S, Fatunbi O, Ange A (2012). Agricultural innovation in sub-Saharan Africa: experiences from multiple-stakeholder approaches. Forum for Agricultural Research in Africa (FARA), Accra, Ghana.
- Amejo AG, Gebere YM, Dickoefer U, Kassa H, Tana T (2017). Implications of normalized difference vegetation index derived dry matter intake from grazing sources as livestock feed in crop-livestock systems in southwestern Ethiopia. Biodiversity and Risk of Infectious Diseases, Conference Paper, Booklet of Abstract, Addis Ababa.
- Amejo AG, Gebere YM, Dickoefer U, Kassa H, Tana T, Lawrence P (2018). Herd dynamics and productivity performance modeling of livestock in smallholder crop-livestock systems in Southwestern Ethiopia. International Journal of Veterinary Sciences Animal Husbandry 3(1):17-24.
- Anghinoni I, Carvalho PCF, Costa S (2013). Abordagem sistêmica do solo em sistemas integrados de produção agrícola e pecuária no subtropical brasileiro. In: Eds. Araújo AP; Avelar BJR. Tópicos Ci. Solo, 8. ed., Viçosa-MG UFV, pp. 221-278.
- Arcand JL (2001). Undernourishment and economic growth: the efficiency cost of hunger (No. 147). FAO.
- Ashley C, Carney D (1999). Sustainable livelihoods: Lessons from early experience. DFID. London.
- Behnke RH (2010). The contribution of livestock to the economies of IGAD member states: study findings, application of the methodology in Ethiopia and recommendations for further work.
- Behnke RH, Metaferia F (2013). The contribution of livestock to the Ethiopian economy. Nairobi: IGAD Centre for Pastoral Areas and Livestock Development.
- Bogale S, Melaku SS, Yami A (2009). The interdependence of crop-livestock production sectors: the case of Sinana Dinsho district in Bale highlands of Ethiopia. Agricultura Tropica et Subtropical 42(2):65-71.
- Cooke B, Kothari U (2001). Participation: The new tyranny? Zed books.
- Croppenstedt A, Muller C (2000). The impact of farmers' health and nutritional status on their productivity and efficiency: Evidence from Ethiopia. Economic Development Cultural Change, 48(3):475-502.
- Central statistical agency (CSA) (2008). Statistical bulletin for crop production forecast sample survey. Report (2005/06-2007/08, CSA, Addis Ababa, Ethiopia
- Central statistical agency (CSA) (2016). Federal democratic republic of Ethiopia. Central statistical agency. Key findings of the 2015/2016 (2008 E.C) agricultural sample surveys, country summary. Addis Ababa, July, 2016 pdf
- De Janvry A, Sadoulet E (2001). Income strategies among rural households in Mexico: The role of off-farm activities. World Development 29(3):467-480.
- Deininger K, Byerlee D (2011). Rising global interest in farmland: can it yield sustainable and equitable benefits? World Bank Publications.
- Devereux S (2000). Famine in the twentieth century.
- Diao X, Hazell P, Thurlow J (2010). The role of agriculture in African development. World Development 38(10):1375-1383.
- Dixon JA, Gibbon DP, Gulliver A (2001). Farming systems and poverty: improving farmers' livelihoods in a changing world. FAO.
- Dufumier M (2004). Agricultures et paysanneries des Tiers mondes. Karthala Editions.
- Endashew B (2017). Convergence of emerging technologies in sustaining food and nutrition security: the case of developing countries. Abstract booklet, Wollega University, Ethiopia.
- Food and Agriculture Organization (FAO) (1999a). The state of food insecurity in the world 1999. Rome.
- Food and Agriculture Organization (FAO) (2010). An international consultation on integrated crop-livestock systems for development. The way forward for sustainable production intensification. Integrated Crop Management P.13.
- Food and Agriculture Organization (FAO) (2013). The state of food insecurity in the world 2013. The multiple dimensions of food security. Rome, Italy: FAO.
- Fernández-Rivera S, Okike I, Manyong V, Williams TO, Kruska RL, Tarawali SA (2004). Classification and description of the major farming systems incorporating ruminant livestock in West Africa. In Sustainable crop-livestock production for improved livelihoods and natural resources management in West Africa. Proceedings of an international conference held at IITA, Ibadan, Nigeria.
- Fogel RW (1994). Economic growth, population theory, and physiology: the bearing of long-term processes on the making of economic policy (No. w4638). National Bureau of Economic Research.
- Gliessman S (2015). Agroecology: a global movement for food security and sovereignty. In FAO. Agroecology for Food Security and Nutrition: Proceedings of the FAO International Symposium. Rome, FAO.
- Gliessman S, Tittonell P (2015). Agroecology for food security and nutrition. In: Proceedings of the FAO International Symposium. https://www.researchgate.net/profile/Jane_Kahia/publication/284609506_Agroforestry_realizing_the_promise_of_an_agroecological_approach/links/565b03fd08ae4988a7ba6a93/Agroforestry-realizing-the-promise-of-an-agroecological-approach.pdf
- Gomiero T (2016). Soil degradation, land scarcity and food security: Reviewing a complex challenge. Sustainability, 8(3):281.
- Gryseels G (1988). The role of livestock in the generation of smallholder farm income in two Vertisol areas of the central Ethiopian highlands. In Management of Vertisols in sub-Saharan Africa. Proceedings of a conference held at the International Livestock Centre for Africa (ILCA), Addis Ababa, Ethiopia 31:345-358.
- Hardin G (1968). The tragedy of the commons. Science 162:1243-1248.
- Hepelwa A (2010). Environmental and socioeconomic factors influencing crop cultivation. An application of Multivariate Discriminant Analysis (MDA) model in Sigi catchment, Tanzania.
- Herrero M, González-Estrada E, Thornton PK, Quiros C, Waitaha MM, Ruiz R, Hoogenboom G (2007). IMPACT: Generic household-level databases and diagnostics tools for integrated crop-livestock systems analysis. Agricultural Systems 92(1):240-265
- Herrero M, Havlík P, Valin H, Notenbaert A, Rufino MC, Thornton PK, Obersteiner M (2013). Biomass use, production, feed efficiencies, and greenhouse gas emissions from global livestock systems. Proceedings of the National Academy of Sciences 110(52):20888-20893.
- Horton S (1999). Opportunities for investments in nutrition in low-income Asia. <https://pdfs.semanticscholar.org/2a1a/b227f0fe442d440a774e4546fd a3673c8c24.pdf>
- Hughes DE (1995). Environmental Sociology: a distinct field of inquiry. Environmental Sociology: Theory and Practice Captus Press. Canada pp. 61-82.
- Kante S (2006). Gestion de la Fertilité des Sols par Classe d'Exploitation au Malisud. Wageningen University, Wageningen, the Netherlands.
- Kassa H, Blake RW, Nicholson CF (2011). The crop-livestock subsystem and livelihood dynamics in the Harar Highlands of Ethiopia. <https://pdfs.semanticscholar.org/61f6/3e6cb030cc1aca5e48fdd95f70929344a698.pdf>
- Koira AK (2014). Agribusiness in sub-Saharan Africa: Pathways for developing innovative programs for youth and the rural poor. The MasterCard Foundation P. 23.
- Kristjanson PM, Thornton PK (2001). Methodological challenges in evaluating impact of crop-livestock interventions. In Proceedings of the International Conference on Sustainable Crop-Livestock Production for Improved Livelihoods and Natural Resource Management in West Africa, Ibadan, Nigeria.
- Kumaraswamy S (2012). Sustainability issues in agro-ecology: Socio-ecological perspective. Agricultural Sciences 3(02):153.
- Lemaire G, Hodgson J, Chabbi A (2011). Grassland productivity and ecosystem services. CABI. Hardback 312 pp.
- Lenné JM, Thomas D (2006). Integrating Crop-Livestock Research and Development in Sub-Saharan Africa: Option, Imperative or Impossible? Outlook on Agriculture 35(3):167-175.
- Levin S, Xepapadeas T, Crépin AS, Norberg J, De Zeeuw A, Folke C, Ehrlich P (2013). Social-ecological systems as complex adaptive systems: modeling and policy implications. Environment and Development Economics 18(2):111-132.
- Lotze-Campen H, Lucht W, Müller C, Bondeau A, Smith P (2005). How tight are the limits to land and water use? - Combined impacts of food demand and climate change. Advances in Geosciences 4:23-28.

- Mellor JW (1995). *Agriculture on the Road to Industrialization*. Published for the International Food Policy Research Institute, Johns Hopkins University Press.
- Mergia T, Legesse A, Robinson I (2005). The effect of ownership of oxen, method of cultivation and gender on crop area and yield in Oromia Region. In *Workshop on the Ethiopian Agricultural Sample*, Addis Abeba (Ethiopia), 6 Jun 2005. Centre for Arid Zone Studies.
- Moraes AD, Carvalho PCDF, Lustosa SBC, Lang CR, Deiss L (2014). Research on integrated crop-livestock systems in Brazil. *Revista Ciência Agronômica* 45(5SPE):1024-1031 <https://www.scielo.br>
- Mortimore M (1991). A review of mixed farming systems in the semi-arid zone of sub-Saharan Africa. Working Document No. 17 <https://cgspace.cgiar.org/bitstream/handle/10568/4397/wp17.pdf?sequence=1>
- National Research Council (2015). *A framework for assessing effects of the food system*. Washington, DC: The National Academies Press.
- Naylor R, Steinfeld H, Falcon W, Galloway J, Smil V, Bradford E, Mooney H (2005). Losing the links between livestock and land. *Science* 310:1621-1622.
- Nijbroek RP, Andelman SJ (2016). Regional suitability for agricultural intensification: a spatial analysis of the Southern Agricultural Growth Corridor of Tanzania. *International Journal of Agricultural Sustainability* 14(2):231-247. Retrieved from <http://www.tandfonline.com/loi/tags20>
- Notenbaert AMO, Herrero M, Kruska RL, You L, Wood S, Thornton PK, Omolo A (2009). Classifying livestock production systems for targeting agricultural research and development in a rapidly changing world. ILRI Discussion Paper 19. ILRI (International Livestock Research Institute), Nairobi, Kenya. 41 pp. <https://cgspace.cgiar.org/handle/10568/589>
- Otte MJ, Chilonda P (2002). Cattle and small ruminant production systems in sub-Saharan Africa. A systematic review.
- Pica-Ciamarra U (2005). *Livestock policies for poverty alleviation: Theory and practical evidence from Africa, Asia and Latin America*. FAO Pro-Poor Livestock Policy Initiative. FAO, Rome, Italy. <http://www.fao.org/3/a-bp207e.pdf>
- Poccard-Chapuis R, Coulibaly D, Ba A, Sissoko S, Bengaly M, Coulibaly J (2007). *Analyse affinée des pratiques et des stratégies paysannes*. Rapport technique final, Mali.
- Poccard-Chapuis R, Bonaudo T, Tourrand JF, Lossouarn J (2011). Élevage, filières et territoires en régions chaudes. *Productions Animales* 24(1):129.
- Poccard-Chapuis R, Alves LN, Grise MM, Bâ A, Coulibaly D, Ferreira LA, Lecomte P (2014). Landscape characterization of integrated crop-livestock systems in three case studies of the tropics. *Renewable Agriculture and Food Systems* 29(3):218-229.
- Resolution A (2015). *Transforming our world: the 2030 agenda for sustainable development*. Seventieth United Nations General Assembly, New York P. 25.
- Riou G (1990). *L'eau et les Sols dans les Géosystèmes Tropicaux*. Masson-Collection Géographie, Paris, France.
- Riou G (1995). *Savanes. L'herbe, l'Arbre et l'Homme en Terres Tropicales*. Masson/Arman Colin, Paris, France.
- Séré C, Steinfeld H, Groenewold J (1995). World livestock production systems: current status, issues and trends. In *Consultation on Global Agenda for Livestock Research*, Nairobi (Kenya), 18-20 Jan 1995. ILRI.
- Senbet LW, Simbanegavi W (2017). Agriculture and Structural Transformation in Africa: An Overview. *Journal of African Economies*, 26(1):i3-i10.
- Strauss J, Thomas D (1998). Health, nutrition, and economic development. *Journal of Economic Literature* 36(2):766-817.
- Ströh de Martínez C, Feddersen M, Speicher A (2016). Food security in sub-Saharan Africa: A fresh look on agricultural mechanisation; how adapted financial solutions can make a difference (171 pp.). Bonn: Deutsches Institut für Entwicklungspolitik (DIE).
- Swanepoel F, Stroebele A, Moyo S (2010). *The role of livestock in developing communities: enhancing multifunctionality*. University of the Free State and Technical Centre for Agricultural and Rural Cooperation, Cape Town.
- Thomas D, Strauss J (1997). Health and wages: Evidence on men and women in urban Brazil. *Journal of Econometrics* 77(1):159-185.
- Thornton PK, Herrero M (2001). Integrated crop-livestock simulation models for scenario analysis and impact assessment. *Agricultural Systems* 70(2):581-602.
- Tilman D, Cassman KG, Matson PA, Naylor R, Polasky S (2002). Agricultural sustainability and intensive production practices. *Nature* 418(6898):671-677.
- United Nations (2015). Sustainable development goal fact sheet the United Nation www.un.org/sustainabledevelopment/wp-content/uploads/2015/.../Factsheet_Summit
- van Ittersum MK, Cassman KG, Grassini P, Wolf J, Tittonell P, Hochman Z (2013). Yield gap analysis with local to global relevance – a review. *Field Crop Research* 143:4-17.
- White DH (1998). A global agro-climatic analysis of the distribution and production of livestock commodities (P 74). ACIAR.

Full Length Research Paper

Evaluation of new papaya hybrids

Adriel Lima Nascimento^{1*}, Alan de Lima Nascimento², Omar Schimldt³, Karina Tiemi Hassuda dos Santos³, Renan Garcia Malikouski³, Rodrigo Sobreira Alexandre⁴, Laercio Francisco Cattaneo⁵, José Augusto Teixeira do Amaral¹, Márcio Paulo Czepak⁶, Geraldo Antônio Ferregueti⁷ and Edilson Romais Schimldt³

¹Postgraduate Program in Genetics and Breeding, Department of Agronomy, Center of Exact Sciences and Engineering, Federal University of Espírito Santo, Alegre, Brazil.

²Federal Institute of Education, Science and Technology of Espírito Santo, Montanha Campus, Montanha, Brazil

³Laboratory of Plant Breeding, Federal University of Espírito Santo, São Mateus, Brazil.

⁴Department of Forest and Wood Science, Center of Exact Sciences and Engineering, Federal University of Espírito Santo, Jerônimo Monteiro, Brazil.

⁵Integrated Faculties of Espírito Santo, Sooretama, Brazil.

⁶Department of Agrarian and Biological Sciences, University Center North of Espírito Santo, Federal University of Espírito Santo, São Mateus, Brazil.

⁷Caliman Agrícola S.A., Linhares, Brazil.

Received 3 April, 2018; Accepted 16 May, 2018

To augment a narrow genetic base in papaya, this study aimed to evaluate the performance of new hybrids produced by Caliman Agrícola® S.A. The experiment was carried out in a randomized complete block design, with 12 treatments four replications and ten plants per plot. The treatments were the variety THB and the hybrids were CR1 × São Mateus, CR1 × 72/12, CR2 × São Mateus, CR3 × São Mateus, CR1 × Maradol, CR2 × Sekati, CR3 × Maradol, CR1 × UENF/Caliman 01, CR3 × Sekati, CR1 × SSAM and Baixinho (dwarf) × Peciolo Curto (short petiole). Ten hermaphroditic plants per plot were evaluated at 8 and 12 months after transplanting. Data were recorded for sixteen characteristics related to morphology of plants and biometry of fruits harvested at maturity stage II (fruit with up to 25% yellow skin). The analysis of variance and the subsequent Scott-Knott's mean clustering test showed significant differences between cultivars for all the characteristics. The characteristic soluble solids grouped the variety THB and five medium-sized hybrids with potential for exploitation: CP1 × UENF/Caliman 01, Baixinho × Peciolo Curto, CP1 × 72/12, CP1 × SSAM, and CP1 × São Mateus. The estimated average yield of marketable fruits in 12 months grouped the two hybrids with the highest averages: CP3 × Sekati and CP2 × Sekati. The analysis of the new hybrids revealed interesting productivity and fruit quality characteristics, suggesting that they should undergo value for cultivation and use (VCU) testing for future release as commercial hybrids.

Key words: *Carica papaya* L., plant breeding, genetic variability.

INTRODUCTION

Papaya (*Carica papaya* L.) is one of the most important and widely distributed crops in tropical and subtropical

countries. Brazil's production stood out among the world's largest in 2016 and was concentrated in an area of

30,372 ha, mainly distributed in southern Bahia, northern Espírito Santo, Ceará, and Rio Grande do Norte, with the first two considered the main producing regions (IBGE, 2016).

The crop has a narrow genetic base (Kim et al., 2002; Ma et al., 2004; Silva et al., 2008; Silva et al., 2017), which is one of the main threats to its sustainability. A feasible approach for increasing the number of commercial varieties and hybrids is to expand the genetic base of papaya by exploiting the variability existing in germplasm banks (Quintal et al., 2012; Vivas et al., 2015; Silva et al., 2017) and creating new hybrids in breeding programs (Pereira et al., 2002). Efforts should be made to broaden the genetic base and develop cultivars that meet the requirements of domestic and foreign markets and are less susceptible to pests and diseases and more resistant to biotic and abiotic stresses (Vivas et al., 2012, 2014, 2015).

Recent efforts in Brazil have studied hybrids of crosses between the groups Solo and Formosa (Silva et al., 2007; Ide et al., 2009; Dantas et al., 2015; Luz et al., 2015). In addition, Vivas et al. (2013) found variability and possible hybrid combinations within the Solo group.

New hybrids are also important to increase yield and production of fruits with potential to meet the domestic and international markets. The search for cultivars with good sensory qualities are expanding strongly with the purpose of stimulating papaya consumption (Santana et al., 2004), as well as to provide the farmers with new cultivars with commercial characteristics demanded by the market.

Therefore, the objective of this work was to carry out the agronomic evaluation of new hybrids of papaya from Caliman Agrícola SA, for the purpose of selecting superior genotypes to be included into the papaya production system in Brazil.

MATERIALS AND METHODS

The study was carried out at Santa Terezinha Farm (Caliman Agrícola SA), 19° 11' 49" S latitude and 40° 05' 52" W longitude, 30 m altitude in the municipality of Linhares, Espírito Santo, between July 2012 and July 2013. The climate of the region is type AWi (tropical humid), with rainy summer and dry winter (Rolim et al., 1999).

The experiment was arranged in a randomized block design, with 12 treatments consisting of 11 new hybrids and one commercial variety (THB) in four replicates of 10 plants per genotype. The hybrids derive from crosses between parents from the germplasm bank of Caliman Agrícola SA (CP1, CP2, and CP3) and cultivars already exploited and adapted to the conditions of northern Espírito Santo and with characteristics of interest to domestic and

international markets: CP1 × São Mateus; CP1 × 72/12; CP2 × São Mateus; CP3 × São Mateus; CP1 × Maradol; CP2 × Sekati; CP3 × Maradol; CP1 × UENF/Caliman 01; CP3 × Sekati; CP1 × SSAM; and Baixinho × Peciolo Curto. Cultivars São Mateus, 72/12, Baixinho, and Peciolo Curto belong to the "Solo" group.

The hybrid seeds were obtained from crosses performed by collecting hermaphroditic flowers before anthesis and transferring pollen manually to the stigma of female flowers, also before anthesis. The plants, previously labeled, and their flowers were individually protected with waterproof paper bags to prevent contamination with undesirable pollen and crosses were identified with plastic labels. Fruits were harvested at 135 to 150 days after pollination at maturation stage 1 (1/4 of the fruit was yellow) and stored for 7 to 10 days at room temperature, according to Martins et al. (2006) and Aroucha et al. (2005), with enough time to allow seeds to reach the point of total physiological maturity and maximum germination and vigor.

Seedling production was carried out in a nursery covered with polyolefin screens (50% shade). Seeds were sown, 2 seeds per cell, in 96-cell plug trays (50 cm³) filled with Bioplant[®] substrate fortified with 10 kg of Basacot mini 3M[®] per m³ of substrate, according to Paixão et al. (2012).

After acclimatization, about 40 days after sowing, seedlings (12 to 15 cm in height) were transplanted to the field, in July. Three seedlings were planted per hole to ensure a greater number of hermaphrodite plants. For each treatment, holes were spaced 3.6 m between rows and 1.5 m within rows. The soil of the experimental area is classified as red-yellow podzolic with clay-sandy texture. Sexing of the papaya trees was initiated three months after transplanting, and one seedling was maintained per hole, preferably a hermaphroditic plant.

The evaluations were performed at 8 and 12 months after transplanting, using 10 hermaphrodite plants per plot. At 8 months, the following variables were evaluated: plant height in cm (PH) from ground level to the insertion point of the newest leaf; first fruit insertion height in cm (FFIH) from ground level to the peduncle of the first fruit; and stem diameter in cm (SD) taken at 20 cm from ground level using a caliper. The following characteristics were measured at 8 and 12 months: total number of marketable fruits (TNMF), the sum of all fruits complying with marketing standard per plant at 8 and 12 months; fruit mass in grams (FRM), measured on precision scale with three decimal places; fruit length in cm (FRL); fruit equatorial diameter in cm (FRD); smallest thickness of fruit in cm (STP); greatest thickness of fruit in cm (GTP); equatorial diameter of the fruit cavity in cm (DFC), measured on a cross section of the fruit in the central region; soluble solids in °Brix at 8 months (SS-8) and at 12 months (SS-12) measured at maturation stage II (fruits with up to 25% yellow skin) by bench refractometer; internal fruit firmness in kg cm⁻² at 8 months (FIRM-8) and at 12 months (FIRM-12), determined by cross-sectioning the fruit and measuring the resistance of the pulp at three points spaced equidistantly around the circumference using a penetrometer (Instrutherm, model PTR-100) with a 7.9 mm diameter tip. All fruit-related characteristics (FRM, FRL, FRD, STP, GTP, DFC, SS and FIRM) were derived from measurements of ten fruits, taking one fruit from each of 10 plants per plot. Subsequently, the products of TNMF and FRM were used and stand to obtain the estimated average yield of marketable fruits in t ha⁻¹ during 12 months of production (YIELD).

*Corresponding author. E-mail: adriel_aln@outlook.com

Table 1. Analysis of variance of the characteristics evaluated with the respective means and coefficient of variation (CV) for 12 cultivars of *Carica papaya* L.

| Characteristics ¹ | Mean square | | | Overall mean | CV (%) |
|------------------------------|-------------|--------------|----------|--------------|--------|
| | Block | Cultivar | Error | | |
| PH | 463.97 | 1730.27** | 99.78 | 174.64 | 5.72 |
| FFIH | 284.98 | 534.64** | 47.88 | 80.11 | 8.64 |
| SD | 0.27 | 3.52** | 0.28 | 10.10 | 5.20 |
| TNMF | 56.90 | 2514.50** | 57.04 | 50.79 | 14.87 |
| FRM | 28514.22 | 2749993.24** | 61045.95 | 1558.85 | 15.84 |
| FRL | 0.50 | 113.61** | 1.69 | 21.89 | 5.94 |
| FRD | 0.62 | 15.48** | 0.35 | 11.39 | 5.20 |
| FRL/FRD | 0.01 | 0.20** | 0.01 | 1.91 | 5.95 |
| GTP | 0.053 | 0.75** | 0.03 | 3.18 | 5.75 |
| STP | 0.05 | 0.54** | 0.13 | 2.36 | 15.06 |
| DFC | 0.17 | 4.24** | 0.20 | 6.26 | 7.21 |
| SS-8 | 1.03 | 3.97** | 0.60 | 10.04 | 7.74 |
| FIRM-8 | 0.50 | 2.14** | 0.61 | 12.08 | 6.48 |
| SS-12 | 2.33 | 9.14** | 1.56 | 12.25 | 10.20 |
| FIRM-12 | 4.07 | 10.18** | 4.33 | 10.69 | 19.47 |
| YIELD | 310.04 | 2333.39** | 366.35 | 114.89 | 16.66 |

**Significant at 1% by the F test. Degree of freedom: Block = 3; Cultivar = 11; and Error = 33. ¹PH: Plant height, cm; FFIH: first fruit insertion height, cm; SD: stem diameter, cm; TNMF: total number of marketable fruits; FRM: fruit mass, grams; FRL: fruit length, cm; FRD: fruit diameter, cm; FRL/FRD: fruit length and fruit diameter ratio; GTP: greatest thickness of fruit, cm; STP: smallest thickness of fruit, cm; DFC: diameter of fruit cavity, cm; SS-8: soluble solids at 8 months, °Brix; FIRM-8: fruit firmness at 8 months, kg cm⁻²; SS-12: soluble solids at 12 months, °Brix; FIRM-12: fruit firmness at 12 months, kg cm⁻²; and YIELD: estimated average yield of marketable fruits in 12 months, t ha⁻¹.

Data were examined by analysis of variance followed by the Scott-Knott (1974) mean clustering test, at 5% probability. The analyses were performed using the computational resources of the Genes software program (Cruz, 2016).

RESULTS AND DISCUSSION

The analysis of variance of the characteristics showed significant differences between the means of the 12 cultivars evaluated at alpha level of 1% (Table 1). The coefficients of variation (CV) were between 5.20 and 19.47% and are considered low to medium for the variables (Ferreira et al., 2016).

The means of the characteristics were compared by the Scott-Knott test (Table 2). Four groups were formed for plant height (PH). The group with the lowest means was formed by the variety THB and the crosses CP3 × Sekati and CP3 × Maradol, ranging from 140.65 to 154.00 cm. The other cultivars had higher means, ranging from 167.15 to 209.60 cm. It is desirable that the plant grow with shortened internodes and less space between the fruits, resulting in a longer harvestable life and greater yield. Papaya breeding aims to decrease plant height by selecting shorter genotypes that maintain vigor (Marin et al., 2003).

The Scott-Knott method formed four groups for the characteristic FFIH, with means ranging from 58.05 cm

(CP3 × Maradol) to 94.10 cm (CP1 × 72/12). The low insertion height of the first fruit may be interesting because it can be associated with precocity (Storey, 1953; Dias et al., 2011), if flower initiation occurs earlier after production of fewer vegetative nodes. This allows a longer harvest season and, thus, a greater production per plant and the exploitation of longer cycles of the crop (Dantas and Lima, 2001). Therefore, the selection of cultivars that initiate the insertion of the first flower at a lower height is preferable (Alonso et al., 2008). In the selection of cultivars of the Solo group for the growing conditions of northern Espírito Santo, Marin et al. (1989) established the insertion height of the first flowers to be below 70 cm in the winter and up to 90 cm in the summer, with production capacity of over 80 perfect fruits per plant.

The characteristic SD had an overall mean of 10.11 cm, ranging from 8.37 to 11.29 cm and formed four groups, in agreement with the mean range found by Rodolfo Jr. et al. (2007) of 10.95 cm (Formosa) and 8.68 cm (Solo). Rodríguez and Rosell (2005) argued that this characteristic is positively correlated with vigor and is an important relationship to be considered in cultivar selection.

TNMF ranged from 27.36 to 112.75 and formed four groups. The hybrid Baixinho × Peciolo Curto (TNMF = 112.75), representing group "a" with the highest mean,

Table 2. Means of the characteristics evaluated in 12 cultivars of papaya (*Carica papaya* L.).

| Cultivar | Characteristic ¹ | | | | | |
|--------------------------|-----------------------------|--------------------|--------------------|---------------------|----------------------|-------------------|
| | PH | FFIH | SD | TNMF | FRM | |
| CP1 x São Mateus | 171.29 ^{c2} | 80.19 ^b | 11.29 ^a | 37.54 ^d | 1249.83 ^e | |
| CP1 x 72/12 | 207.00 ^a | 94.1 ^a | 10.90 ^a | 51.15 ^c | 1054.36 ^e | |
| CP2 x São Mateus | 178.90 ^c | 81.60 ^b | 11.12 ^a | 35.35 ^d | 1644.36 ^d | |
| CP3 x São Mateus | 175.35 ^c | 79.15 ^b | 10.50 ^b | 42.70 ^d | 1585.38 ^d | |
| CP1 x Maradol | 173.19 ^c | 87.19 ^b | 9.59 ^c | 34.21 ^d | 2434.61 ^b | |
| CP2 x Sekati | 167.15 ^c | 71.35 ^c | 10.22 ^b | 28.80 ^d | 2572.25 ^b | |
| CP3 x Maradol | 140.65 ^d | 58.05 ^d | 8.57 ^d | 27.36 ^d | 3056.40 ^a | |
| CP1 x UENF/Caliman 01 | 191.54 ^a | 85.61 ^b | 10.75 ^a | 52.49 ^c | 1100.77 ^e | |
| CP3 x Sekati | 151.55 ^d | 67.25 ^c | 8.37 ^d | 41.80 ^d | 2072.25 ^c | |
| CP1 x SSAM | 209.60 ^a | 98.7 ^a | 10.22 ^b | 61.69 ^c | 998.91 ^e | |
| Baixinho x Pecíolo Curto | 175.52 ^c | 86.64 ^b | 10.24 ^b | 112.75 ^a | 497.83 ^f | |
| THB | 154.00 ^d | 71.50 ^c | 9.47 ^c | 83.75 ^b | 438.76 ^f | |
| | FRL | FRD | FRL/FRD | GTP | STP | DFC |
| CP1 x São Mateus | 19.69 ^d | 11.22 ^d | 1.76 ^c | 3.24 ^c | 2.35 ^a | 6.31 ^b |
| CP1 x 72/12 | 19.06 ^d | 10.90 ^d | 1.75 ^c | 2.94 ^d | 2.03 ^b | 6.51 ^b |
| CP2 x São Mateus | 24.27 ^c | 11.58 ^c | 2.10 ^b | 3.27 ^c | 2.52 ^a | 6.22 ^b |
| CP3 x São Mateus | 21.65 ^d | 11.81 ^c | 1.83 ^c | 3.37 ^c | 2.50 ^a | 6.43 ^b |
| CP1 x Maradol | 25.77 ^c | 14.11 ^a | 1.82 ^c | 3.58 ^b | 2.79 ^a | 7.76 ^a |
| CP2 x Sekati | 30.26 ^a | 12.88 ^b | 2.35 ^a | 4.02 ^a | 2.86 ^a | 7.13 ^a |
| CP3 x Maradol | 27.76 ^b | 14.47 ^a | 1.92 ^c | 3.20 ^c | 2.67 ^a | 7.27 ^a |
| CP1 x UENF/Caliman 01 | 20.41 ^d | 11.00 ^d | 1.87 ^c | 2.85 ^d | 2.17 ^b | 6.23 ^b |
| CP3 x Sekati | 27.24 ^b | 11.94 ^c | 2.28 ^a | 3.61 ^b | 2.50 ^a | 5.97 ^b |
| CP1 x SSAM | 19.41 ^d | 10.63 ^d | 1.82 ^c | 2.93 ^d | 2.44 ^a | 6.64 ^b |
| Baixinho x Pecíolo Curto | 13.27 ^e | 8.24 ^e | 1.61 ^c | 2.56 ^e | 1.75 ^b | 4.33 ^c |
| THB | 13.96 ^e | 7.91 ^e | 1.76 ^c | 2.59 ^e | 1.74 ^b | 4.37 ^c |
| | SS-8 | FIRM-8 | SS-12 | FIRM-12 | YIELD | |
| CP1 x São Mateus | 10.27 ^a | 11.07 ^b | 13.75 ^a | 10.50 ^a | 89.91 ^c | |
| CP1 x 72/12 | 10.88 ^a | 10.50 ^b | 14.25 ^a | 9.25 ^b | 99.41 ^c | |
| CP2 x São Mateus | 9.09 ^b | 12.65 ^a | 12.50 ^a | 12.67 ^a | 110.84 ^c | |
| CP3 x São Mateus | 9.44 ^b | 12.45 ^a | 11.50 ^b | 8.00 ^b | 112.50 ^c | |
| CP1 x Maradol | 9.12 ^b | 12.08 ^a | 13.00 ^a | 11.75 ^a | 130.22 ^b | |
| CP2 x Sekati | 8.85 ^b | 12.26 ^a | 9.75 ^b | 11.75 ^a | 146.67 ^a | |
| CP3 x Maradol | 8.86 ^b | 12.33 ^a | 9.75 ^b | 11.75 ^a | 124.54 ^b | |
| CP1 x UENF/Caliman 01 | 11.73 ^a | 11.55 ^b | 12.75 ^a | 9.05 ^b | 101.06 ^c | |
| CP3 x Sekati | 9.74 ^b | 12.58 ^a | 11.00 ^b | 11.00 ^a | 159.34 ^a | |
| CP1 x SSAM | 10.88 ^a | 12.14 ^a | 14.00 ^a | 11.35 ^a | 125.42 ^b | |
| Baixinho x Pecíolo Curto | 11.29 ^a | 12.12 ^a | 12.75 ^a | 8.60 ^b | 106.97 ^c | |
| THB | 10.29 ^a | 13.21 ^a | 12.00 ^a | 12.67 ^a | 71.85 ^c | |

¹PH: Plant height, cm; FFIH: first fruit insertion height, cm; SD: stem diameter, cm; TNMF: total number of marketable fruits; FRM: fruit mass, grams; FRL: fruit length, cm; FRD: fruit diameter, cm; FRL/FRD: fruit length and fruit diameter ratio; GTP: greatest thickness of fruit, cm; STP: smallest thickness of fruit, cm; DFC: diameter of fruit cavity, cm; SS-8: soluble solids at 8 months, °Brix; FIRM-8: fruit firmness at 8 months, kg cm⁻²; SS-12: soluble solids at 12 months, °Brix; FIRM-12: fruit firmness at 12 months, kg cm⁻²; and YIELD: estimated average yield of marketable fruits in 12 months, t ha⁻¹. ²Means followed by equal letter in the column are not significantly different by the Scott-Knott test at 5% probability.

was followed by variety THB (TNMF = 83.75), representing group "b". These cultivars belong to the Solo group, which shows high TNMF and low FRM. Groups "c"

and "d" comprise more than 80% of the cultivars evaluated, belonging to the Formosa group, with TNMF ranging from 27.36 to 61.69. Papaya cultivars in Brazil

are divided into two groups based on the average fruit weight: the Formosa group, weighing from 800 to 1,100 g and the Solo group from 350 to 600 g (Dantas et al., 2002).

FRM varied from 438.76 to 3056.40 g, with more than 80% of the cultivars weighing between 998.91 and 3056.40 g. The small fruit size means of hybrid Baixinho x Peciolo Curto and the variety THB were not statistically different, and at least for Baixinho x Peciolo Curto, was somewhat compensated for by a greater number of fruits per plant. FRM has variable classification standards, and the "optimum fruit" will also depend on its shape, which must facilitate packaging and transportation, and ultimately on consumer acceptance.

According to Dias et al. (2011), fruit mass between 800 and 1500 g serves the domestic Brazilian market, while the international markets still require fruit mass around 500 g. Dantas and Lima (2001) reported mean fruit mass from 280 to 850 g in genotypes of the Solo group and 710 to 2200 g in the Formosa group. These results point out the market expectations for commercializing new hybrids in the domestic and international markets.

In Latin America, there is a strong preference in domestic markets for large fruits (Ferregueti, 2003). Alonso et al. (2009) evaluated papaya hybrids in Cuba and found mean weight with low variability, ranging from 1456.7 to 1682.4 g.

Ferregueti (2003) observed that the consumer market for Formosa papayas was growing significantly. One example of this is that there is substantial growth in sales of these fruits in Europe, Canada, and the United States, with cultivar Maradol accounting for about 75% of papaya consumption. Therefore, the development of new resistant genotypes with commercial characteristics required by the market is important (Esquivel et al., 2008; Vivas et al., 2013). In this context, CP2 x Sekati, CP2 x Sekati, CP1 x Maradol and CP3 x Maradol hybrids may become interesting, since, in addition to high productivity (Table 2), they use the Sekati or Maradol genotypes as one of the parents, which, according to Vivas et al. (2013) are promising in relation to phoma spot resistance.

The characteristics FRL and FRD ranged from 13.27 to 30.26 cm and 7.91 to 14.47 cm, respectively. Variety THB and hybrid Baixinho x Peciolo Curto showed the lowest means for FRL (13.96 and 7.91 cm, respectively) and FRD (13.27 and 8.24 cm, respectively), which is typical of Solo papayas.

The FRL/FRD ratio formed three groups, with more than 70% of the hybrids comprising the group with the lowest means, ranging from 1.61 to 1.92. The group with the highest means consists of the two hybrids CP2 x Sekati (2.35) and CP3 x Sekati (2.28), and the group of intermediate means consisted only of the hybrid CP2 x São Mateus. The FRL/FRD ratio is useful as an approximate indication of fruit shape.

GTP and STP ranged from 2.56 to 4.02 and 1.74 to

2.86 cm, respectively, between the evaluated cultivars, with means close to 2.0 cm (Table 2), which is the thickness considered ideal for commercialization (Martins et al., 2006). Oliveira et al. (2010) observed a significant and positive correlation, although low (0.42), between pulp thickness and fruit firmness.

DFC ranged from 4.33 to 7.76 cm, yielding three groups: 'a' group with the highest means formed by the hybrids CP1 x Maradol (8.08 cm), CP3 x Maradol (7.92 cm) and CP2 x Sekati (7.13 cm); 'b' group consisted of 58% of the cultivars evaluated; and 'c' group with the lowest means formed by the variety THB and the hybrid Baixinho x Peciolo Curto. Fioravanço et al. (1992) and Dias et al. (2011) suggested that DFC is related to fruit quality, since fruits with smaller cavity diameter generally have a greater percentage of their total volume composed of edible pulp and are more resistant to postharvest damage during transport to distant markets.

The cultivars showed SS-8 and SS-12 ranging from 8.85 to 11.73 and 9.75 to 14.25 °Brix, respectively. The Scott-Knott analysis separated two groups of cultivars within the variables SS-8 and SS-12. The cultivars in the high SS-8 group, consisting of CP1 x UEN/Caliman 01, Baixinho x Peciolo Curto, CP1 x SSAM, CP1 x 72/12, THB, and CP1 x São Mateus, all appeared in the high SS-12 group, as well. The results found in this study are consistent with the characteristics of Solo fruits required by the market, around 11.5 °Brix (Fagundes and Yamanishi, 2001). Variability of soluble solids content in papaya fruits was also verified in the evaluation of different new genotypes obtained by breeding work (Marin et al., 2006; Oliveira et al., 2010; Dias et al., 2011).

FIRM-8 and FIRM-12 varied from 10.50 to 13.21 kgf cm⁻² and 8.00 to 12.67 kgf cm⁻², respectively, and Scott-Knott analysis revealed two groups. The groups of the highest means for the characteristics FIRM-8 and FIRM-12 comprised 75 and 63% of the cultivars with means ranging from 12.08 to 13.21 kgf cm⁻² and 10.50 to 12.67 kgf cm⁻², respectively, indicating that the fruits met a good firmness standard. Evaluating improved papaya genotypes, Viana et al. (2015) found a satisfactory result with maximum firmness of 8.35 kgf cm⁻². Less firm fruits require greater care, being less resistant to transportation, storage, and handling damage (Fagundes and Yamanishi, 2001; Morais et al., 2007).

The characteristic YIELD ranged from 71.85 to 159.34 t ha⁻¹ and Scott-Knott analysis revealed three groups. Group 'a' with the highest means comprises the hybrids CP3 x Sekati (159.34 t ha⁻¹) and CP2 x Sekati (146.67 t ha⁻¹) which, although categorized in the lowest TNMF group, showed the highest YIELD means, because of large individual fruit size. Group "b" with intermediate means comprises the hybrids CP1 x Maradol (130.22 t ha⁻¹), CP1 x SSAM (125.42 t ha⁻¹), and CP3 x Maradol (124.54 t ha⁻¹). The remaining 58% of the evaluated

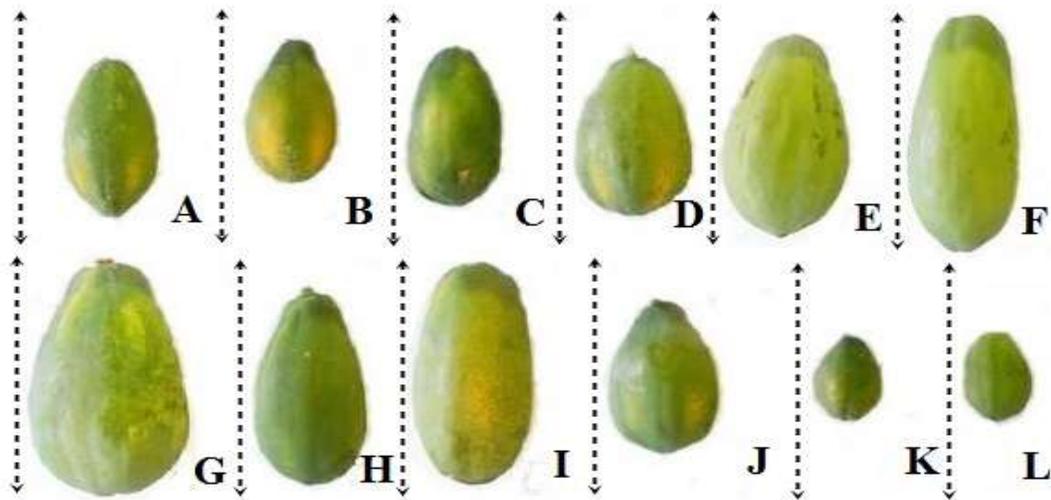


Figure 1. Fruits of 12 cultivars of papaya (*Carica papaya* L.) at 1/4 maturation stage. (A) CP1 × São Mateus; (B) CP1 × 72/12; (C) CP2 × São Mateus; (D) CP3 × São Mateus; (E) CP1 × Maradol; (F) CP2 × Sekati; (G) CP3 × Maradol; (H) CP1 × UENF/Caliman 01; I – CP3 × Sekati; (J) CP1 × SSAM; (K) Baixinho × Peciolo Curto; (L) THB. *The dotted arrow to the left of the photo represents 40 cm in length.

cultivars belong to group "c", with the lowest YIELD means, ranging from 71.85 to 112.50 t ha⁻¹. Among the hybrids with the highest productivity, CR3 × Sekati shows promise with a fruit weight of around 2 kg, small internal cavity, good pulp thickness and good firmness of the pulp, besides presenting smooth peel fruits with good visual appearance (Figure 1). Because it has large fruits, this hybrid is not suitable for export, but it is a good option for the domestic market to serve the pulp processing market.

In contrast, even though the hybrid Baixinho × Peciolo Curto and the variety THB had the highest TNMF means, they were grouped with the cultivars of the lowest YIELD means. This result is due to the low FRM means of both cultivars, which characterize them as belonging to the Solo group. However, the hybrid Baixinho × Peciolo Curto should be studied further because it has important characteristics to be explored, such as fruits with mass around 0.5 kg and smaller diameter of the internal cavity of all hybrids evaluated (Figure 2), good soluble solids content, characteristics sought for *in natura* consumption, internal and external market.

The YIELD of the 12 cultivars evaluated was very satisfactory when compared with other hybrids with similar fruit sizes such as Tainung 01. This cultivar showed, in response to irrigation depths and soil covers, yield varying from 138.1 to 175.7 ton ha⁻¹, with each plant producing, on average, 55.6 fruits throughout the cycle (Gomes Filho et al., 2008).

Marin et al. (2003) stated that the growers' preference is for hermaphroditic plants with pear-shaped and/or

elongated fruits, small fruit cavity and greater pulp thickness. This set of characteristics gives greater commercial value to the fruit in the market. Photographs of fruit phenotypes of the twelve evaluated cultivars are provided in Figures 1 and 2.

The shape of the ovarian cavity depends on the carpel formation. Ruggiero (1988) discussed that a small cavity is preferred, as it provides a greater amount of pulp and the seeds are easy to remove. An example among the hybrids we evaluated is Baixinho × Peciolo Curto, with a very small ovarian cavity (Figure 2).

Overall, the most new hybrids studied have phenotypic characteristics that are acceptable to the domestic and international consumer market of papaya. The results of this study indicate that we can use the papaya cultivars as alternatives with potential to meet the demands of both consumers and producers. Further research is indicated to check the resistance to diseases that affect the crop.

Conclusions

Among the hybrids evaluated, characteristics of fruit production and fruit quality of interest were found suggesting that they should undergo value for cultivation and use testing for future release as commercial hybrids.

The hybrid CP3 × Sekati was shown to be promising because of the highest estimated average yield of marketable fruits in 12 months, which is directly related to production and sustainability of the papaya crop. It is also

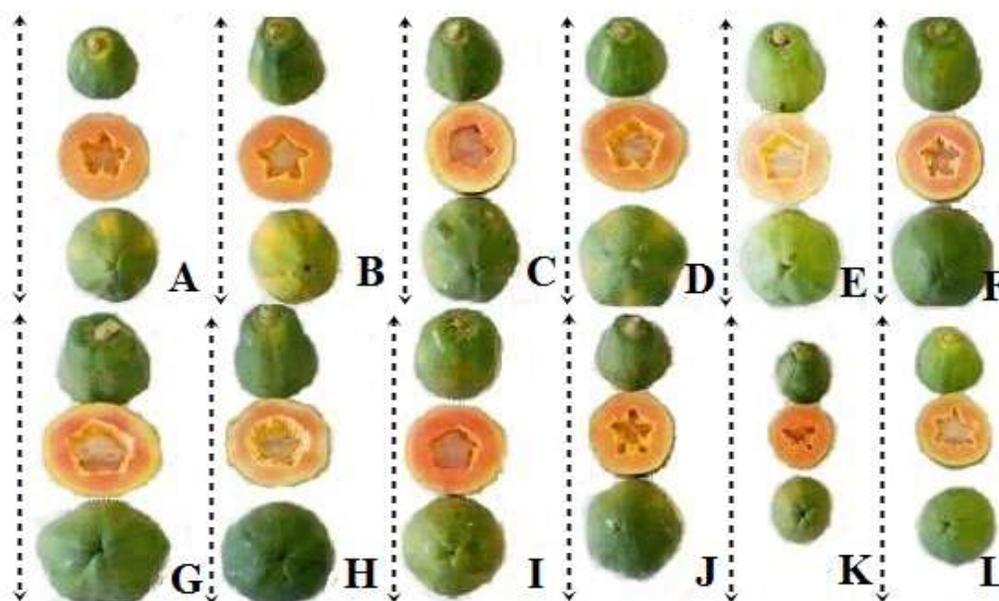


Figure 2. Fruits representation, in cut, of the 3/4 maturation stage of the 11 papaya hybrids (*Carica papaya* L.). (A) CP1 x São Mateus; (B) CP1 x 72/12; (C) CP2 x São Mateus; (D) CP3 x São Mateus; (E) CP1 x Maradol; (F) CP2 x Sekati; (G) CP3 x Maradol; (H) CP1 x UENF/Caliman 01; I – CP3 x Sekati; (J) CP1 x SSAM; (K) Baixinho x Peciolo Curto; (L) THB. *The dotted arrow to the left of the photo represents 40 cm in length.

indicated that the hybrid Baixinho x Peciolo Curto, that presents fruits with quality acceptable for the internal and external market. Another four hybrids merit attention in new studies, because they present fruits around 1 kg and good content of soluble solids: CP1 x UENF/Caliman 01, CP1 x 72/12, CP1 x SSAM, and CP1 x São Mateus.

CONFLICT OF INTERESTS

The authors have not declared any conflict of interests.

REFERENCES

- Alonso M, Tornet Y, Ramos R, Farrés E, Castro J, Rodríguez MC (2008). Evaluación de tres cultivares de papaya del Grupo Solo basada em caracteres de crecimiento y productividad. *Cultivos Tropicales* 29:59-64.
- Alonso M, Tornet Y, Ramos R, Farrés E, Rodríguez D (2009). Evaluación de dos híbridos de papaya introducidos en Cuba. *Agronomía Costarricense* 33:267-274.
- Aroucha EMM, Silva RF, Oliveira JG, Pio Viana A, Pereira MG (2005). Época de colheita e período de repouso de frutos de mamão (*Carica papaya* L.) cv. Golden na qualidade fisiológica das sementes. *Ciência Rural* 35:537-543.
- Cruz CD (2016). Genes Software - extended and integrated with the R, Matlab and Selegen. *Acta Scientiarum. Agronomy* 38:547-552.
- Dantas JLL, Dantas ACVL, Lima JF (2002). De. Mamoeiro. In: Bruckner, C. H. Melhoramento de fruteiras tropicais. Viçosa: UFV 309-349.
- Dantas JLL, Lucena RS, Boas SAV (2015). Avaliação agrônômica de linhagens e híbridos de mamoeiro. *Revista Brasileira de Fruticultura* 37:138-148.
- Dantas JLL, Lima JF (2001). Seleção e recomendação de variedades de mamoeiro - avaliação de linhagens e híbridos. *Revista Brasileira de Fruticultura* 23:617-662.
- Dias NLP, Oliveira EJ, Dantas JLL (2011). Avaliação de genótipos de mamoeiro com uso de descritores agrônômicos e estimação de parâmetros genéticos. *Pesquisa Agropecuária Brasileira* 46:71-1479.
- Esquivel MA, Quintana YT, Ramírez RR, Armenteros EF, González MA, Martínez DR (2008). Caracterización y evaluación de dos híbridos de papaya en cuba. *Agricultura Técnica en México* 34:333-339.
- Fagundes GR, Yamanishi OK (2001). Características físicas e químicas de frutos de mamoeiro do grupo 'Solo' comercializados em quatro estabelecimentos de Brasília- DF. *Revista Brasileira de Fruticultura* 23:541-545.
- Ferregueti GA (2003). CALIMAN 01- O primeiro híbrido de mamão Formosa Brasileiro. In: Martins DS. (eds). *Papaya Brasil: qualidade do mamão para mercado interno*. Vitoria, ES: Incaper 211p.
- Ferreira JP, Schimdt ER, Schimdt O, Cattaneo LF, Alexandre RS, Cruz CD (2016). Comparison of methods for classification of the coefficient of variation in papaya. *Revista Ceres* 63:138-144.
- Fioravango JC, Paiva MC, Carvalho RIN, Manica I (1992). Qualidade do mamão solo comercializado em Porto Alegre de outubro/91 a junho/92. *Revista Ciência Agrônômica* 23:1-5.
- Gomes Filho A, Oliveira JG, Viana AP, Pereira MG (2008). Mancha fisiológica e produtividade do mamão Tainung 01: efeito da lâmina de irrigação e cobertura do solo. *Ciência e Agrotecnologia* 32:1161-1167.
- IBGE – Instituto Brasileiro de Geografia e Estatística (2016). Banco de dados agregados: culturas permanentes: mamão. Disponível em: <<https://sidra.ibge.gov.br/tabela/5457#resultado>> Acesso em: 03 mai. 2018.
- Ide CD, Pereira MG, Viana AP, Pereira TNS (2009). Use of testes for combining ability and selection of papaya hybrids. *Crop Breed. Crop Breeding and Applied Biotechnology* 9:60-66.

- Kim MS, Moore PH, Zee F, Fitch MMM, Steiger D, Manshardt R, Paul R, Drew R, Sekioka T, Ming R (2002). Genetic diversity of *Carica papaya* as revealed by AFLP markers. *Genome* 45:503-512.
- Luz LN, Pereira MG, Barros FR, Barros GB, Ferreguetti GA (2015). Novos híbridos de mamoeiro avaliados nas condições de cultivo tradicional e no semiárido brasileiro. *Revista Brasileira de Fruticultura* 37:159-171.
- Ma H, Moore PH, Liu Z, Kim MS, Yu Q, Fitch MMM (2004). High density linkage mapping revealed suppression of recombination loco in papaya. *Genetic* 166:419-436.
- Marin LSD, Yamanishi KO, Martelleto LA, Ide CD (2003). Hibridação de mamão. In: MARTINS, D. S. (eds). *Papaya Brasil: qualidade do mamão para mercado interno*. Vitória, ES: Incaper pp.173-188.
- Marin SLD, Gomes JÁ, Alves FL (1989). Introdução, avaliação e seleção do mamoeiro cv. Improved Sunrise Solo Line 72/12 no Estado do Espírito Santo. Vitória: EMCAPA 13p.
- Marin SLD, Pereira MG, Amaral Junior AT, Martelleto LAP, Ide CD (2006). Heterosisi in papaya híbridos from partial diallel of "Solo" and "Formosa" parents. *Crop Breeding and Applied Biotechnology*, 6:24-29.
- Martins GN, Silva RF, Pereira MG, Araújo EF, Posse SCP (2006). Influência do repouso pós-colheita de frutos na qualidade fisiológica de sementes de mamão. *Revista Brasileira de Sementes* 28:142-146.
- Morais PLD, Silva GG, Menezes JB, Maia FEN, Dantas DJ, Sales JR (2007). Pós-colheita de mamão o UENF/Caliman 01 cultivado no Rio Grande do Norte. *Revista Brasileira de Fruticultura* 29:666-670.
- Oliveira EJ, Lima DS, Lucena RS, Motta TBN, Dantas JLL (2010). Correlações genéticas e análise de trilha para número de frutos comerciais por planta em mamoeiro. *Pesquisa Agropecuária Brasileira* 45:855-862.
- Paixão MVS, Schimldt ER, Mattiello HN, Ferreguetti GA, Alexandre RS (2012). Frações orgânicas e mineral da produção de mudas de mamoeiro. *Revista Brasileira de Fruticultura* 34:1105-1112.
- Pereira MG, Marin SLD, Martelleto LAP, Ide CD, Martins SP, Pereira TNS (2002). Melhoramento genético do mamoeiro (*Carica papaya* L.): comportamento de híbridos no Norte do Estado do Rio de Janeiro. In: XVIII CONGRESSO BRASILEIRO DE FRUTICULTURA, 17. Belém. Anais... Belém: Sociedade Brasileira de Fruticultura. 1 CD-ROM.
- Quintal SSR, Viana AP, Gonçalves LSA, Pereira MG, Amaral Júnior AT (2012). Divergência genética entre acessos de mamoeiro por meio de variáveis morfoagronômicas. *Semina: Ciências Agrárias* 33:131-142.
- Rodolfo Júnior F, Torres Lbv, Campos VB, Lima AR, Oliveira AD, Mota JKM (2007). Caracterização físico-química de frutos de mamoeiro comercializados na Empasa de Campina Grande-PB. *Revista Brasileira de Produtos Agroindustriais* 9(1):53-58.
- Rodríguez MC, Rosell P (2005). Productividad y características fenológicas de los cultivares de papaya Sunrise y Baixinho de Santa Amalia en invernadero de malla en la zona suroeste de la isla de Tenerife. *Actas Portuguesas de Horticultura* 6:245-249.
- Rolim SG, Couto HTZ, Jesus RM (1999). Mortalidade e recrutamento de árvores na Floresta Atlântica de Linhares (ES). *Scientia Forestalis* 55:49-69.
- Ruggiero C (1988). Situação da cultura do mamoeiro no Brasil. In: SIMPÓSIO BRASILEIRO SOBRE A CULTURA DO MAMOEIRO, 2º, Jaboticabal, 25 a 28 janeiro, 1988, Jaboticabal. Anais... Jaboticabal, FCAV/UNESP pp. 5-18.
- Santana LRR, Matsuura FCA, Cardoso RL (2004). Genótipos melhorados de mamão (*Carica papaya* L.): avaliação sensorial e físico-química dos frutos. *Ciência e Tecnologia de Alimentos* 24:217-222.
- Scott AJ, Knott MA (1974). Clusters analysis method for grouping means in the analysis of variance. *Biometrics* 30:507-512.
- Silva CA, Nascimento AL, Ferreira JP, Schimldt O, Malikouski RG, Alexandre RS, Ferreguetti GA, Schimldt ER (2017). Genetic diversity among papaya accessions. *African Journal of Agricultural Research* 12:2041-2048.
- Silva FF, Pereira MG, Campos WF, Damasceno Junior PC, Pereira TNS, Souza Filho GA, Ramos HCC, Viana AP, Ferreguetti GA (2007). DNA marker-assisted sex conversion in elite papaya genotype (*Carica papaya* L.). *Crop Breeding and Applied Biotechnology* 7:52-58.
- Silva FF, Pereira MG, Ramos HC, Damasceno Junior PC, Pereira TNS, Viana AP, Daher RF, Ferreguetti GA (2008). Estimation of genetic parameters related to morpho-agronomic and fruit quality traits of papaya. *Crop Breeding and Applied Biotechnology* 8:65-73.
- Storey WB (1953). Genetics of papaya. *Journal of Heredity*, 44:70-78.
- Viana ES, Reis RC, Silva SCS, Neves TT, Jesus JL (2015). Avaliação físico-química sensorial de frutos de genótipos melhorados de mamoeiro. *Pesquisa Agropecuária Tropical* 45:297-303.
- Vivas M, Silveira SF, Pereira MG, Cardoso DL, Ferreguetti GA (2013). Análise dialélica em mamoeiro para resistência a mancha-de-phoma. *Ciência Rural* 43:945-950.
- Vivas M, Silveira SF, Viana AP, Amaral Junior AT, Ferreguetti GA, Pereira MG (2015). Resistance to multiple foliar diseases in papaya genotypes in Brazil. *Crop Protection* 71:138-143.
- Vivas M, Silveira SF, Vivas JMS, Pereira MG (2012). Patometria, parâmetros genéticos e reação de progênies de mamoeiro à pinta-preta. *Bragantia* 71:235-238.
- Vivas M, Silveira SF, Vivas JMS, Viana AP, Amaral Junior AT, Pereira MG (2014). Seleção de progênies femininas de mamoeiro para resistência a mancha-de-phoma via modelos mistos. *Bragantia* 73:446-450.

Full Length Research Paper

Patterns of pre-weaning piglet mortality and economic losses in field condition

Roy R.^{1*}, Mondal T.² and Moktan M. W.¹

¹Darjeeling Krishi Vigyan Kendra, Uttar Banga Krishi Viswavidyalaya, Kalimpong-734301, Darjeeling, West Bengal, India.

²Poultry Multiplication Centre, Animal Resource Development Department, Government of West Bengal, Kalimpong-734301, Darjeeling, West Bengal, India.

Received 30 June, 2015; Accepted 16 October, 2015

The study was purposively taken up with the objective to find out the patterns of pre-weaning piglet mortality and economic losses in field condition. The study was purposively taken up in Gorkhaland Territorial Administration area where pig farming is a common practice. The study shows that overall pre-weaning piglet mortality was 15.62% where it was slightly higher in exotic than indigenous breed. Highest pre-weaning mortality was recorded among 0 to 15 days age group piglets mainly during winter months and in third parity of dam. The major reasons of pre-weaning piglet mortality found in the study area were chilling, piglet anemia and scouring. Overall economic losses due to pre-weaning piglet mortality were around \$18696 during the last 3 years in which it was around \$5453 in indigenous breed and around \$13243 in exotic breeds. Economic losses due to pre-weaning piglet mortality was recorded highest for scouring, followed by chilling and low birth weight in indigenous breed, whereas it was highest for piglet anemia followed by chilling and scouring in exotic breed. Therefore, proper healthcare programme and management practices must be undertaken to avoid these huge economic losses under field condition.

Key words: Piglet mortality, indigenous breed, exotic breed, piglet anemia.

INTRODUCTION

Pig production, particularly in the tropical Indian condition, has high potentials for optimum profit making. The profitability of swine industry largely depends on the survival of piglets/litters up to weaning besides other closely related factors such as litter size and weight of piglets at birth. The overall mortality as well as morbidity

of pigs depends on pre-weaning care, management, litter size, weight of litter, age, season and effective health care. Causes of mortality and morbidity may be multi factorial, including lack of awareness among the farmers and pig breeders regarding management practices, disease prevention and control measures, and above all,

*Corresponding author. E-mail: rakeshvetext@yahoo.co.in.

Author(s) agree that this article remain permanently open access under the terms of the [Creative Commons Attribution License 4.0 International License](https://creativecommons.org/licenses/by/4.0/)

a high incidence of fatal diseases (Mondal et al., 2012). Several studies had attributed neonatal mortality in pigs to be of multifactor causes including diseases; other factors were low viability, chilling, maternal overlay and poor management practices (Hrupka et al., 1998; John, 2004; Damron, 2009). Frazer (1990) defined neonatal mortality in pig as death that occurs in piglets within few days of life. In agreement, Hughes (1993) noted that 50% of all pre-weaning death occurs within the first three days of life and that 90% of all were within one week of parturition. Accordingly various researchers recorded neonatal mortality, such as Pathiraja et al. (1987) who noted it to be as high as 50%; Kumar et al. (1990) reported 28.14%; Grissom et al. (1990) reported between 12.2 and 24.2%; Boe (1994) reported 14.4%; Vaillancourt et al. (1994) reported between 10 and 15%; Varley (1995) reported 13% among cross breed; Tuchscherer et al. (2000) reported between 10 and 20%; Nandakumar et al. (2004) reported 31.36% among indigenous breed and 10.49% among crossed-bred; Wabacha et al. (2004) reported 18.70%; Dutta and Rahman (2006) reported 30.62%; Kliebenstein et al. (2007) reported 26.40%; Li et al. (2010) reported 23 and 27% and Pedersen et al. (2011) had reported 19%.

Further, the mortality pattern and occurrence of different diseases and disorders may also vary with different genetic groups of pigs (Gupta et al., 2001; Nandakumar et al., 2004). Not all the factors associated with mortality can be controlled, but understanding them will assist the farmers and producers in minimizing death loss (Holyoake et al., 1995). Retrospective study on mortality may play a role in forecasting the future occurrence of disease in a particular geographical area (Basumatary et al., 2010). Although a few studies have been conducted in a scattered way on mortality incidence of piglet in organized swine farm under tropical condition but mortality pattern needs to be documented in field condition. Therefore, the present study was undertaken to document the pre-weaning piglet mortality patterns and economic losses in field condition.

MATERIALS AND METHODS

Study location

The study was carried out in backyard pig farms located within Gorkhaland Territorial Administration (GTA) area. GTA is a semi-autonomous administrative body for Darjeeling hills in West Bengal, India. GTA replaced Darjeeling Gorkha Hill Council which was formed in 1988 and administered Darjeeling hills for 23 years (Anonymous, 2011; Dutta, 2011). GTA presently has three hill Sub-divisions Darjeeling, Kalimpong, Kurseong and some areas of Siliguri Sub-division under its authority. It has an area of 3,149 square kilometers. Annual mean maximum and minimum temperature at administrative headquarter of GTA (that is, Darjeeling town) is 14.9 and 8.9°C, respectively. Average rainfall is 2831.9 mm and average numbers of rainy days are 106 days (Anonymous, 2012). The altitude of Darjeeling town is 2134 m above

sea level.

Study design

An ex post facto study was designed to analyze the patterns of pre-weaning piglet mortality and economic losses in field condition. A cross sectional field survey on backyard pig farms was conducted by stratified purposive sampling methods. The respondents selected for the study were those who maintain a breeding stock of at least 2 sows. One community block each was randomly selected from 3 sub-divisions to collect data for recording the parameters such as sex, age, season, dam, causes of mortality and economic losses due to mortality. From each community block, 2 villages were purposely selected on the basis of large numbers of pig breeders. From each village, 5 pig breeder of indigenous and exotic breed were selected randomly for data collection through simple random sampling, thus forming a sample size of 60 respondents' compound, 30 indigenous pig breeders and 30 exotic pig breeders. Data presented in the study were collected through personal interview schedule from the respondents for last 3 years from 2012 to 2014. Since backyard farmers did not keep record of their farm; data were collected from the respondents on recall basis. Pre-weaning mortality was calculated from the percent ratio of the piglet dead pre-weaning to piglet born alive. The pig mortality was again divided into five seasons (that is, Spring = March to April, Summer = May to July, Rainy = June to August, Autumn = September to November and Winter = December to February). The parity of dam was determined as first (Pty-1), second (Pty-2), third (Pty-3), fourth (Pty-4) and fifth onwards (Pty-5).

Data analysis

Data was coded and entered into excel spreadsheets and simple statistical analysis such as frequency, percentage, chi-square test was performed using SPSS 20.0 software.

RESULTS AND DISCUSSION

The study was conducted to record the pre-weaning piglet mortality only in the study area. Though still born mortality was also recorded. Stillborn mortality was 78 in indigenous breed (Male 37, Female 41) and 434 in exotic breed (Male 195, Female 239) during the last 3 years. The rate of stillborn is reported to be greatest in high parity sows (Li et al., 2010), probably due to dystocia caused by fatness or poor uterine muscle tone (Kirkden et al., 2013).

The average pre-weaning piglet mortality was 15.62%. The study further shows that mortality in exotic breeds was slightly higher (15.81%) than indigenous breed (15.26%). Mortality in female piglet was found higher in both indigenous and exotic breeds but chi-square test shows no significant difference in piglet mortality between male and female piglet among indigenous and exotic breeds. The chi-square test also shows no significant difference in piglet mortality between indigenous and exotic breeds (Table 1).

Mortality was found highest (6.94%) among piglets in the age group of 0 to 15 days. Piglet mortality reduced

Table 1. Sex-wise piglet mortality in indigenous and exotic breed in different years.

| Year | Indigenous | | | | | | Exotic | | | | | | Total Mortality | | |
|--------------|-------------|------------|-------------|---------------------|-------------------|--------------------|---------------------|-------------|-------------|---------------------|--------------------|--------------------|-----------------|-------------|---------------|
| | No of birth | | | No. of death (%) | | | No of birth | | | No. of death (%) | | | No of birth | No of death | Mortality (%) |
| | M | F | Total | M | F | Total | M | F | Total | M | F | Total | | | |
| 2012 | 153 | 205 | 358 | 22 (14.38) | 28 (13.66) | 50 (13.97) | 371 | 344 | 715 | 54 (14.56) | 59(17.15) | 113 (15.80) | 1073 | 163 | 15.19 |
| 2013 | 165 | 162 | 327 | 28 (16.97) | 31 (19.14) | 59 (18.04) | 343 | 296 | 639 | 59 (17.20) | 67 (22.64) | 126 (19.72) | 966 | 185 | 19.15 |
| 2014 | 204 | 186 | 390 | 19 (9.31) | 36 (19.35) | 55 (14.10) | 356 | 384 | 740 | 41 (11.52) | 51(13.28) | 92 (12.43) | 1130 | 147 | 13.01 |
| Total | 522 | 553 | 1075 | 69 (13.22) | 95 (17.18) | 164 (15.26) | 1070 | 1024 | 2094 | 154 (14.39) | 177 (17.29) | 331 (15.81) | 3169 | 495 | 15.62 |
| χ^2 | -- | -- | -- | 2.154 ^{NS} | | | -- | -- | -- | 0.232 ^{NS} | | | -- | -- | -- |
| | -- | -- | -- | | | | 0.350 ^{NS} | | | -- | -- | -- | -- | -- | -- |

Figures in parenthesis indicate percentage; M= Male, F= Female, NS= Non significant.

Table 2. Age and sex-wise piglet mortality in indigenous and exotic breed.

| Age (days) | Indigenous | | | Exotic | | | Total Mortality | |
|------------------|---------------------|-----------|-----------|---------------------|---------------------|------------|-----------------|---------------|
| | No. of death (%) | | | No. of death (%) | | | No of death | Mortality (%) |
| | M | F | Total | M | F | Total | | |
| 0-15 | 27 (5.17) | 46 (8.32) | 73 (6.79) | 64 (5.98) | 83 (8.11) | 147 (7.02) | 220 | 6.94 |
| 16-30 | 15 (2.87) | 28 (5.06) | 43 (4.00) | 43 (4.00) | 47 (4.59) | 90 (4.30) | 133 | 4.20 |
| 31-45 | 10 (1.92) | 17 (3.07) | 27 (2.51) | 26 (2.43) | 32 (3.13) | 58 (2.77) | 85 | 2.68 |
| 45 up to weaning | 17(3.26) | 4 (0.72) | 21 (1.95) | 21 (1.96) | 15 (1.47) | 36 (1.72) | 57 | 1.80 |
| χ^2 | 1.893 ^{NS} | | | -- | 0.646 ^{NS} | | -- | -- |
| | | | | 0.000 ^{NS} | | | -- | -- |

Figures in parenthesis indicate percentage; M= Male, F= Female, NS= Non significant.

the age of the piglet increased. Mortality in female piglet was found higher in both indigenous and exotic breeds but chi-square test showed no significant difference in piglet mortality between male and female piglet among indigenous and exotic breeds due to age factors. The chi-square test also showed no significant difference in piglet mortality between indigenous and exotic breeds due to age factors (Table 2).

Highest mortality (33.16%) was recorded in

winter seasons followed by rainy (19.57%) and spring seasons (10.46%). Similarly, mortality of both indigenous and exotic breed of piglet was recorded highest in winter followed by rainy and spring seasons. Mortality of female piglets was found higher in both indigenous and exotic breeds. Chi-square test shows highly significant difference in piglet mortality between male and female piglet in exotic breeds due to the effect of seasons but shows no significant difference in

piglet mortality between male and female piglet in indigenous breeds due to the effect of seasons. The chi-square test also shows significant difference in piglet mortality between indigenous and exotic piglets due to the effect of seasons (Table 3). Kabuga and Annor (1991) also reported that the pre-weaning piglet mortality was highest at cold and rainy months.

Table 4 reveals that highest (17.96%) mortality was recorded in Pty-3 followed by Pty-1 (17.59%)

Table 3. Piglet mortality in indigenous and exotic breed according to season of death.

| Season | Indigenous | | | | | | Exotic | | | | | | Total Mortality | | |
|----------|--------------|-----|-------|---------------------|------------|------------|----------------------|-----|-------|----------------------|------------|------------|-----------------|-------------|---------------|
| | No. of birth | | | No. of death (%) | | | No of birth | | | No. of death (%) | | | No of birth | No of death | Mortality (%) |
| | M | F | Total | M | F | Total | M | F | Total | M | F | Total | | | |
| Spring | 124 | 128 | 252 | 12 (9.68) | 17 (13.28) | 29 (11.51) | 241 | 253 | 494 | 11 (4.56) | 38 (15.02) | 49 (9.92) | 746 | 78 | 10.46 |
| Summer | 96 | 85 | 181 | 3 (3.13) | 8 (9.41) | 11 (6.08) | 227 | 209 | 436 | 7 (3.08) | 22 (10.53) | 29 (6.65) | 617 | 40 | 6.483 |
| Rainy | 116 | 123 | 239 | 18 (15.52) | 26 (21.14) | 44 (18.41) | 204 | 201 | 405 | 46 (22.55) | 36 (17.91) | 82 (20.25) | 644 | 126 | 19.57 |
| Autumn | 84 | 120 | 204 | 7 (8.33) | 15 (12.5) | 22 (10.78) | 209 | 158 | 367 | 12 (5.74) | 21 (13.29) | 33 (8.99) | 571 | 55 | 9.632 |
| Winter | 102 | 97 | 199 | 29 (28.43) | 29 (29.9) | 58 (29.15) | 189 | 203 | 392 | 78 (41.27) | 60 (29.56) | 138 (35.2) | 591 | 196 | 33.16 |
| χ^2 | -- | -- | -- | 2.453 ^{NS} | | | -- | -- | -- | 14.015 ^{**} | | | -- | -- | -- |
| | -- | -- | -- | | | | 23.546 ^{**} | | | | | | -- | -- | -- |

Figures in parenthesis indicate percentage; M= male, F= female, NS= Non Significant, **p<0.01

Table 4. Piglet mortality in indigenous and exotic breed according to parity of dam.

| Parity | Indigenous | | | | | | Exotic | | | | | | Total Mortality | | |
|----------|--------------|-----|-------|---------------------|------------|------------|---------------------|-----|-------|---------------------|------------|------------|-----------------|-------------|---------------|
| | No. of birth | | | No. of death (%) | | | No of birth | | | Mortality (%) | | | No of birth | No of death | Mortality (%) |
| | M | F | Total | M | F | Total | M | F | Total | M | F | Total | | | |
| Pty-1 | 74 | 89 | 163 | 9 (12.16) | 15 (16.85) | 24 (14.72) | 102 | 116 | 218 | 15 (14.70) | 24 (20.69) | 39 (19.72) | 381 | 67 | 17.59 |
| Pty-2 | 89 | 101 | 190 | 8 (8.99) | 12 (11.88) | 20 (10.53) | 179 | 162 | 341 | 12 (6.70) | 25 (15.43) | 37 (11.44) | 531 | 59 | 11.11 |
| Pty-3 | 136 | 124 | 260 | 22 (16.18) | 24 (19.35) | 46 (17.69) | 249 | 215 | 464 | 24 (9.64) | 36 (16.74) | 60 (18.10) | 724 | 130 | 17.96 |
| Pty-4 | 121 | 137 | 258 | 18 (14.88) | 27 (19.71) | 45 (17.44) | 324 | 276 | 600 | 27 (9.78) | 53 (19.20) | 80 (15.67) | 858 | 139 | 16.2 |
| Pty-5 | 102 | 102 | 204 | 12 (11.76) | 17 (16.67) | 29 (14.22) | 216 | 255 | 471 | 17 (7.87) | 39 (15.29) | 56 (15.07) | 675 | 100 | 14.81 |
| χ^2 | -- | -- | -- | 0.168 ^{NS} | | | -- | -- | -- | 0.706 ^{NS} | | | -- | -- | -- |
| | -- | -- | -- | | | | 0.618 ^{NS} | | | | | | -- | -- | -- |

Figures in parenthesis indicate percentage; M= male, F= female, NS= Non Significant

and Pty-4 (16.2%). Lowest mortality was recorded in Pty-2. The study shows no trends of piglet mortality due to parity of dam as found in earlier studies. Mortality in female piglet was found higher in both indigenous and exotic breeds but chi-square test shows no significant difference in piglet mortality between male and female piglet among indigenous and exotic breeds due to parity of dam. The chi-square test also shows no

significant difference in piglet mortality between indigenous and exotic breeds due to parity of dam. The study contradicts the study of Daza et al. (1999) and Li et al. (2010) who had reported that piglet mortality rate increased by parity order. This study has previously stated that the average pre-weaning piglet mortality was 15.62%. Further the study revealed that chilling (2.87%), piglet anemia (2.71%) and scouring (2.62%) were the

major reasons for pre-weaning piglet mortality in the study area. The death due to scouring, individual low birth weight, starvation and gaining access to colostrums were comparatively higher in indigenous breeds of piglets than exotic piglets whereas death due to maternal overlay, piglet anemia were comparatively higher in exotic breeds of piglets than indigenous piglets (Table5).

Overall economic loss due to pre-weaning piglet

Table 5. Pre-weaning piglet mortality in indigenous and exotic breed according to causes.

| Causes | Indigenous | | | Exotic | | | Overall mortality |
|---|------------|-----------|-----------|-----------|-----------|-----------|-------------------|
| | M | F | Total | M | F | Total | |
| Maternal over lay | 2 (0.38) | 6 (1.08) | 8 (0.74) | 11 (1.03) | 22 (2.15) | 33 (1.58) | 41 (1.29) |
| Scouring | 15 (2.87) | 19 (3.44) | 34 (3.16) | 18 (1.68) | 31 (3.03) | 49 (2.34) | 83 (2.62) |
| Hypoglycemia | 6 (1.15) | 8 (1.45) | 14 (1.30) | 12 (1.12) | 15 (1.46) | 27 (1.29) | 41 (1.29) |
| Individual low birth weight | 10 (1.92) | 16 (2.89) | 26 (2.42) | 21 (1.96) | 15 (1.46) | 36 (1.72) | 62 (1.96) |
| Piglet anemia | 8 (1.53) | 16 (2.89) | 24 (2.23) | 34 (3.18) | 28 (2.73) | 62 (2.96) | 86 (2.71) |
| Cannibalism | 0 | 0 | 0 | 9 (0.84) | 3 (0.29) | 12 (0.57) | 12 (0.38) |
| Starvation and gaining access to colostrums | 9 (1.72) | 15 (2.71) | 24 (2.23) | 17 (1.59) | 19 (1.86) | 36 (1.72) | 60 (1.89) |
| Chilling | 17 (3.26) | 12 (2.17) | 29 (2.70) | 26 (2.43) | 36 (3.52) | 62 (2.96) | 91 (2.87) |
| Unknown causes | 2 (0.38) | 3 (0.54) | 5 (0.47) | 6 (0.56) | 8 (0.78) | 14 (0.67) | 19 (0.6) |

Figures in parenthesis indicate percentage; M= male, F= female.

Table 6. Economic losses due to piglet mortality in filed condition.

| Causes of Mortality | Indigenous | | | | | | Economic loss (\$) | Exotic | | | | | | Economic loss (\$) | Total economic losses (\$) |
|---|------------|------|------|-------------|------|------|--------------------|--------|------|------|--------------|------|------|--------------------|----------------------------|
| | Male | | | Female | | | | Male | | | Female | | | | |
| | 2012 | 2013 | 2014 | 2012 | 2013 | 2014 | | 2012 | 2013 | 2014 | 2012 | 2013 | 2014 | | |
| Maternal over lay | 1 | 0 | 1 | 2 | 3 | 1 | 246 | 2 | 5 | 4 | 6 | 8 | 8 | 1285 | 1531 |
| Scouring | 5 | 6 | 4 | 7 | 5 | 7 | 1099 | 12 | 3 | 3 | 9 | 13 | 9 | 1863 | 2962 |
| Hypoglycemia | 2 | 1 | 3 | 2 | 3 | 3 | 455 | 2 | 6 | 4 | 6 | 4 | 5 | 1053 | 1508 |
| Individual low birth weight | 2 | 6 | 2 | 6 | 6 | 4 | 837 | 7 | 9 | 5 | 3 | 5 | 7 | 1428 | 2265 |
| Piglet anemia | 6 | 1 | 1 | 2 | 6 | 8 | 756 | 15 | 12 | 7 | 14 | 8 | 6 | 2378 | 3134 |
| Cannibalism | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 3 | 2 | 1 | 0 | 2 | 478 | 478 |
| Starvation and gaining access to colostrums | 2 | 4 | 3 | 4 | 5 | 6 | 782 | 4 | 7 | 6 | 9 | 6 | 4 | 1393 | 2175 |
| Chilling | 3 | 9 | 5 | 4 | 3 | 5 | 982 | 8 | 12 | 6 | 11 | 16 | 9 | 2393 | 3375 |
| Unknown causes | 1 | 1 | 0 | 1 | 0 | 2 | 161 | 0 | 2 | 4 | 0 | 7 | 1 | 566 | 727 |
| Total economic losses | | | | 5318 | | | | | | | 12837 | | | | 18155 |

mortality was around \$18155 among the respondents during last 3 years. Economic losses due to pre-weaning piglet mortality in indigenous

breed were around \$5318 whereas the economic losses in exotic breed were around \$12837 during last 3 years (Table 6). Economic losses due to

pre-weaning piglet mortality was recorded highest for scouring followed by chilling and low birth weight in indigenous breed whereas it was highest

for piglet anemia followed by chilling and scouring in exotic breed.

Conclusion

The study shows that pre-weaning piglet mortality was a major problem among the pig farmers in the study area. As we know that all the factors associated with mortality cannot be controlled, but understanding them and taking proper healthcare, feeding and management practices will assist the farmers and producers in minimizing death loss. Therefore, proper healthcare programme and management practices must be undertaken in advance to avoid these huge economic losses under field condition. The extension workers in the study area also need to enrich knowledge of the pig breeders with scientific pig farming practices comprising breeding, feeding, healthcare and management practices so that pre-weaning mortality of the piglet can be reduced. Policy makers further need to take initiative to provide healthcare services to their doorstep without much time lag.

CONFLICT OF INTERESTS

The authors have not declared any conflict of interests.

REFERENCES

- Anonymous (2011). "Gorkhaland Territorial Administration Agreement signed". Outlook. 18 July 2011. Retrieved 16 March 2012.
- Anonymous (2012). Gorkhaland Territorial Administration Sabha Election – 2012. Information booklet. Government of West Bengal, Office of the Election Officer & District Magistrate, Darjeeling.
- Basumatary R, Naskar S, Kumaresan A, Khargharia G, Kadirvel G, Bardoloi RK (2010). Mortality in pigs under subtropical hill conditions of Meghalaya. *Indian Veterinary Journal* 87:824-825.
- Boe K (1994). Variation in maternal behaviour and production of sows in integrated loose housing systems in Norway. *Applied Animal Behaviour Science* 41:53-62.
- Damron WS (2009). Introduction to Animal Science: Global, Biological, Social and Industrial Perspective. 4th edn. Pearson Education Inc. Upper Saddle River, New Jersey, USA pp. 460-467.
- Daza A, Evangelista JNB, Gutierrez-Barquin MG (1999). The effect of maternal and litter factors on piglet mortality rate. *Annales de Zootechnie* 48(4):317-325.
- Dutta A (2011). "Pact signed for Gorkhaland Territorial Administration". The Hindu. 18 July 2011 (Chennai, India). Retrieved 16 March 2012.
- Dutta B, Rahman T (2006). Epidemiological studies on the preweaning mortality of piglets in the organized farms of Assam. *Indian Veterinary Journal* 83(4):376-378
- Frazer D (1990). Behavioural perspective on piglet survival. *Indian Veterinary Journal* 40:355-370.
- Grissom KK, Friend TH, Dellmeier GR, Knabe DA, Dahm PF (1990). Effect of various farrowing systems on piglet survivability. *Journal of Animal Science* 68:253.
- Gupta RK, Singh VP, Belsare VP (2001). Growth pattern and mortality in pre-weaning crossbred pigs. *Indian Journal of Animal Science* 35:96-99.
- Holyoake PK, Dial GD, Trigg T, King VL (1995). Reducing pig mortality through supervision during the perinatal period. *Journal of Animal Science* 73:3543-3551.
- Hrupka BJ, Leibbramelt VD, Greenshaw ID, Benevenga NJ (1998). The effects of farrowing crate, heat lamp location on sow and pig patterns of lying on piglet survival. *Journal of Animal Science* 76(12):2996-3002.
- Hughes PE (1993). Piglet management. In: Varley MA, Williams PEV and Lawrence TIJ (Eds). Neonatal survival and growth. Occasional Publication No. 18. The British Society of Animal Production Leeds UK. pp. 245-273.
- John G (2004). 1984-2004, the difference. *Pig progress* 20(9): 28.
- Kabuga JD, Annor SY (1991). Seasonal influence on the reproductive performance of swine in the humid zone of Ghana. *International Journal of Biometeorology* 35:208-213.
- Kirkden RD, Broom DM, Anderson IL (2013). Piglet mortality: Management solutions. *American Society of Animal Science* 91:3361-3389.
- Kliebenstein J, Stender D, Mabry J, Huber G (2007). "Costs, Returns, Production and Financial Efficiency of Niche Pork Production" Iowa Pork Industry Center.
- Kumar S, Singh SK, Singh RL, Sharma BD, Dubey CB, Verma SS (1990). Effect of genetic and non-genetic factors on body weight, efficiency of food utilization, reproductive performance and survivability in land race, Desi and their halfbreds. *Indian Journal of Animal Sciences* 60:1219-1223.
- Li Y, Johnston L, Hilbrands A. (2010). Pre-weaning mortality of piglets in a bedded group-farrowing system. *Journal of Swine Health and Production* 18(2):75-80.
- Mondal SK, De UK, Das GK, Powde AM, Verma AK (2012). Pattern of mortality of crossbred pigs in an organized swine production farm. *Journal of Livestock Science* 3:37-44.
- Nandakumar P, Rajan MR, Gangadharan P, Savitha BH, Mathews VR, Jeeva L (2004). Litter traits and mortality among Desi, Large White Yorkshire and their crosses under intensive production systems. *The Indian Journal of Animal Sciences* 74:447-449.
- Pathiraja N, Oyedipe EO, Alhasan WS (1987). Pig Production in Nigeria. *Pig News and Information*, 8(2):165-170.
- Pedersen LJ, Berg P, Jorgensen G, Andersen IL (2011). Neonatal piglet traits of importance for survival in crates and indoor pens. *Journal of Animal Science* 89:1207-1218.
- Tuchscherer M, Puppe B, Tuchscherer A, Tiemann U (2000). Early identification of neonates at risk: Traits of newborn piglets with respect to survival. *Theriogenology* 54:371-388.
- Vaillancourt JP, Marsh WE, Dial GD (1994). Perinatal mortality in 48 North American swineherds. *Journal of Swine Health and Production* 4:13-18.
- Varley MA (1995). Factors affecting mortality rates. In: Neonatal piglet survival and Development. CAB International Walling Ford Publishers UK. pp. 11-13.
- Wabacha JK, Maribei JM, Mulei CM, Kyule MN, Zessin KH, Oluoch-Kosura W (2004). Characterization of smallholder pig production in Kikuyu Division, central Kenya. *Preventive Veterinary Medicine* 63(3-4):183-195.

Full Length Research Paper

Performance of maize hybrids from a partial diallel in association with *Azospirillum*

Alessandra Koltun^{1*}, Alana Padia Cavalcante², Karla Bianca de Almeida Lopes³, Matheus Dalsente Krause⁴, Thiago Pablo Marino⁵, André Luiz Martinez de Oliveira⁶ and Josué Maldonado Ferreira²

¹Department of Agriculture, State University of Maringá, Brasil.

²Department of General Biology, Universidade Estadual de Londrina, Brasil.

³Department of Agriculture, Londrina State University, Londrina- PR, Brazil.

⁴Superior School of Agriculture Luiz de Queiroz, Piracicaba- SP, Brazil.

⁵Department of Crop and Soil Sciences, North Carolina State University, Raleigh- NC, USA.

⁶Department of Biochemistry and Biotechnology, Londrina State University, Londrina- PR, Brazil.

Received 23 February, 2018; Accepted 31 May, 2018

One of the most prominent strategies to increase maize grain yield with a higher benefit/cost ratio and a lower environmental impact is the inoculation of plant growth-promoting bacteria. Among other factors, the success of the interaction plant-microorganism depends on genetic traits, therefore, selection of plant genotypes compatible with this association is extremely important to the viability of this technology. This article presents an innovative study that investigates the interactions between *Azospirillum brasilense* Ab-V5 and 27 genotypes of maize, including 24 experimental hybrids from a partial diallel (denotated H_{ij} as a result of the crosses among the parental inbred lines L_i and the tester breeding lines T_j), the variety ST0509 from UEL and the commercial hybrids DKB390 and DKB390H from Monsanto. The plots consisted of treatments with or without inoculation in three replicates and the 27 maize genotypes were randomly distributed in the sub-plots. The inbred lines L_2 , L_3 , L_6 , L_{11} , T_2 and T_3 present the highest general combining ability, producing the best hybrid combinations. The additive effects of genes are more important than the non-additive effects for all traits evaluated. The most promising experimental hybrids are $H_{2\ 3'}$, $H_{3\ 2'}$, $H_{11\ 2'}$, $H_{11\ 3'}$ and $H_{12\ 3'}$. Significant effect for inoculum was not verified when performed at the seedling stage in the experimental conditions of this study.

Key words: *Zea mays* L., *Azospirillum brasilense*, inoculation, biological nitrogen fixation, combining ability.

INTRODUCTION

Maize (*Zea mays* L.) is one of the most important cereal crops for mankind due to the type and quantity of reserve substances of its grains, being used for human food and

animal feed, consumed *in natura* and in industrial forms (Pereira et al., 2009). This grass presents high productive potential as well as high demand for nutrients, especially

*Corresponding author. E-mail: kalkidanfikire@gmail.com.

Table 1. Incomplete partial diallel formed by simple hybrids (H) derived from the crosses among 12 elite breeding lines (L₁ and L₁₂) and three tester lines (T₁, T₂ and T₃).

| Lines | T ₁ | T ₂ | T ₃ |
|-----------------|--------------------|--------------------|--------------------|
| L ₁ | - | H _{1 2'} | H _{1 3'} |
| L ₂ | H _{2 1'} | - | H _{2 3'} |
| L ₃ | H _{3 1'} | H _{3 2'} | - |
| L ₄ | H _{4 1'} | H _{4 2'} | - |
| L ₅ | - | H _{5 2'} | H _{5 3'} |
| L ₆ | H _{6 1'} | - | H _{6 3'} |
| L ₇ | H _{7 1'} | H _{7 2'} | - |
| L ₈ | H _{8 1'} | - | H _{8 3'} |
| L ₉ | H _{9 1'} | H _{9 2'} | - |
| L ₁₀ | H _{10 1'} | - | H _{10 3'} |
| L ₁₁ | - | H _{11 2'} | H _{11 3'} |
| L ₁₂ | H _{12 1'} | - | H _{12 3'} |

(N), which directly influences grain yield components such as photosynthesis rate, ear size, mass, sanity, number and protein content of grains (Dechorgnat et al., 2011).

Despite the benefits of the use of nitrogen fertilizer, it represents up to 40% of the total cost of maize production, due to the facts that it is largely required to reach high yields and that the use efficiency of this nutrient by the crop is low (Rambo et al., 2007). In addition to the high cost of this input, it presents risks of environmental pollution associated with leaching, denitrification and volatilization (Vitousek et al., 2009), which may lead to acidification of soils, eutrophication and increase of greenhouse gases in the atmosphere (Galloway et al., 2008). Therefore, the importance of developing strategies to increase the nitrogen use efficiency (NUE) of crops and consequently decrease the economic and environmental impact on agricultural systems is evident.

One of the strategies to increase yield, with the best benefit/cost ratio considering the environmental impact, is the use of inoculation of plant growth-promoting bacteria (diazotrophic PGPB), representing a technology of low cost and simple implementation. The mechanisms of plant growth-promotion manifested by diazotrophic PGPB encompass direct processes such as biological nitrogen fixation (BNF), production of plant growth regulators, nutrient mineralization, inorganic phosphate solubilisation and increased uptake by roots; as well as indirect effects including biological control of phytopathogens, production of siderophores and induction of systemic resistance in plants (Oliveira et al., 2014).

A great number of studies have shown that plant growth-promoting bacteria (PGPB), including *Azospirillum*,

are able to promote growth and increase yield of numerous plant species (Fallik and Okon, 1996), such as wheat, rice, maize and sorghum, where the average increase in productivity was around 20 to 30 % (Kennedy et al., 2004, Morrissey et al., 2004, Andreotti et al., 2008).

Commercial inoculants formulated with the diazotrophic plant growth-promoting bacteria (PGPB) *Azospirillum brasilense* are available for use in Brazil; however, its application is not yet adopted as a routine practice for partial substitution of synthetic nitrogen fertilizers. Inconsistencies in the performance of the inoculation with diazotrophic PGPB in field studies are a major obstacle to its wide spread, resulting mainly from limitations in the process of plant colonization. Among the factors that hinder the establishment of the inoculated microorganisms are: the use of low quality formulations and/or improper practices during transport, storage and field application, and the occurrence of unfavorable edaphoclimatic conditions for the maintenance of a high population size of the inoculated bacteria within the plant (Bashan et al., 2014).

In addition, specific molecular interactions between the associative pair are crucial for plant colonization by PGPB, which depends on genetic factors (Drogue et al., 2012; Jha et al., 2013). In this regard, the identification of highly compatible plant genotypes for association with PGPB may enhance plant colonization, enabling a higher level of expression of genes related to the compatibility of the interaction and consequently maximizing growth promotion (Meneses et al., 2011; Alquéres et al., 2013; Beauregard et al., 2013).

Therefore, the selection of genotypes favorable to this association is a field of research to be explored in order to consolidate the inoculation technology with diazotrophic PGPB as a viable alternative to synthetic nitrogen fertilizers for maize production. In this context, diallel analysis is an essential tool to identify superior parents for hybrid or cultivar development related to several traits of interest (Patel et al., 1998). Thus, the objectives of this work were to determine, using partial diallel crossing, the general and specific combining ability of twelve elite inbred lines of maize with three tester lines and to verify their possible interactions with *A. brasilense* strain Ab-V5.

MATERIALS AND METHODS

The experimental hybrids used in these experiments were developed by the Maize Breeding Programme at the Department of Biology from the State University of Londrina (UEL), derived from partial diallel crosses among three tester lines (T₁, T₂ and T₃) and twelve elite breeding lines (L₁ to L₁₂) obtained from the synthetic cultivars (improved varieties) ST06 and ST20, respectively (Table 1).

A total of 27 genotypes were evaluated: 24 experimental hybrids from the partial diallel, the variety ST0509 developed at UEL and

the commercial hybrids DKB390 and DKB390H from Monsanto. The commercial hybrids were used as a performance standard for the comparison of the experimental hybrids. The variety was used for inoculation purposes, to test whether its rustic genotype would favor the association with the rhizobacteria.

The experiments were conducted at the State University of Londrina, located in the Northern region of the State of Paraná (23° 19'19 "S and 51° 12'04" W, 580 m of altitude) during the first and second growing season of 2011/2012, in a randomized block design with treatments arranged in split-plots with or without inoculation, with three replicates. Each plot with or without inoculum contained one representative of each genotype in a row of 4 m containing 30 plants per row, with 0.8 m between rows and 0.2 m between plants within the row. Soil preparation for sowing was done by harrowing and applying 300 kg ha⁻¹ of the formulated 08-28-16 (N-P-K). Weed was controlled by manual weeding and pest control (for example, *Spodoptera frugiperda*) was carried out according to technical recommendations for the crop.

The inoculum was prepared with *A. brasilense* strain Ab-V5 from isolated colonies grown in the solid medium Dygs (2 g glucose, 1.5 g peptone, 2 g yeast extract, 0.5 g K₂HPO₄, 0.5 MgSO₄, 1 L distilled water, pH 6.0) and further multiplied in the liquid medium M15 for 48 h on orbital shaker at 30 ± 2 °C. The cell concentration of the bacterial culture was estimated by reading its absorbance in a spectrophotometer at 560 nm and diluting it in water to a final concentration of 3 × 10⁷ cells mL⁻¹. The inoculation was performed on the seventh day after the seedlings emergence (V2), in the afternoon (after 16 h), using a portable spray to apply a dose of 30 mL per meter of culture directed at the seedlings.

The characteristics evaluated were: grain yield (GY, t ha⁻¹); ear length (EL, cm); ear diameter (ED, cm); cob diameter (CD, cm); number of grain rows per ear (RE); percentage of damaged ear (% DAE); percentage of diseased ear (% DIE); days to male flowering (DF); plant height (PH, cm) and ear height (EH, cm). Grain yield was estimated based on the mass of grains harvested in each experimental subplot, with moisture corrected to 13.5 % and an ideal stand of 20 plants per row, and it was extrapolated to tons per hectare. Corrections of grain weight to ideal stand (STI) were performed using the covariance methodology, modified by Miranda Filho (Vencovsky and Barriga, 1992).

Individualized and combined analysis of variance was made for the first and second harvest for the evaluation of hybrids. The individual analyses of variance were performed with the effects of genotypes decomposed on effects of controls (C), experimental hybrids (Hy) and the contrast C vs Hy. The degrees of freedom of the experimental hybrids were decomposed using diallel analysis, according to the model proposed by Griffing (1956): $Y_{ij} = m + \hat{g}_i + \hat{g}_j + \hat{s}_{ij} + \bar{e}_{ij}$, where: Y_{ij} is the mean value of the hybrid combination of the inbred line L_i with the tester line T_j ; m is the overall mean of the experimental hybrids; \hat{g}_i and \hat{g}_j are the effects of the general combining ability (GCA) of the i -th inbred line L_i and the j -th inbred line T_j , respectively; \hat{s}_{ij} is the effect of the specific combining ability (SCA) for crosses among the genitors i and j ; and \bar{e}_{ij} is the average experimental error.

The analyses of the diallels, for the first and second harvest, and their respective decomposition were made following the methodology proposed by Filho and Vencovsky (1995). For the analysis of variance of the diallel and the estimates of \hat{g}_i , \hat{g}_j and \hat{s}_{ij} , the matrix algebra model was used: $Y = X\beta + \varepsilon$ where: Y is the vector of observed data for experimental hybrids; X is the matrix of constants related to the parameters m , \hat{g}_i , \hat{g}_j , and \hat{s}_{ij} ; β is the vector of the parameters m , \hat{g}_i , \hat{g}_j , and \hat{s}_{ij} and ε is the vector representing the error associated with the values (\bar{e}_{ij}). The program used to perform the analysis of variance was the Statistical Analysis System

(SAS/STAT® software) and the groupings of means from the treatments of each experiment were done by the Scott-Knott test, at a significance level of 5 % of probability, using the program GENES (CRUZ, 2013).

RESULTS AND DISCUSSION

The data indicates significant effect for the majority of the traits investigated regarding growing season (harvest), except for percentage of diseased ear (Table 2). The second harvest presented a reduction of 3.45 t ha⁻¹, ears 3.6 cm smaller in length and 0.5 cm in diameter, cobs 0.5 cm smaller in diameter, 3 less grain rows per ear, 7.2% more damaged ears, 1.1% less diseased ears, 1 extra day to male flowering, and plant and ear height was 52 and 39 cm lower, respectively (Table 3). These findings are in accordance with the literature, since the climatic conditions of the second harvest are generally less favorable to the development of the plants compared to the spring-summer period (first harvest), mainly due to the decrease in light intensity and rainfall (Magalhaes et al., 2007).

The effect of inoculation was not significant for any of the traits evaluated, neither for the interactions inoculum x harvest and inoculum x cultivar x harvest (Table 2). Although the recommendation for most of the commercial inoculants based on *Azospirillum* is an application to the seeds before planting (Soja, 2011), in this study, the introduction of the inoculant was performed via spraying on V2 seedlings in order to avoid contact of the bacteria with chemicals commonly used in seed treatment, what would possibly reduce its efficiency.

These results indicate that the procedure of spraying the inoculant at the seedling stage in this study was probably not able to successfully carry the bacteria due to unfavorable environmental factors that affects the colonization and establishment of their population, such as extreme temperatures, water stress and competition with native bacteria (Figure 1) (IAPAR, 2012). Optimization of this methodology should be sought to elude climatic influence on bacterial survival on the soil and plant colonization.

Santos (2011) tested the efficiency of some inoculation methods: seedling spraying, via peat and liquid path in the seed, concluding they were all successful as vehicles, especially peat and liquid under seed. Thus, this methodology, as well as the inoculation in the plantation furrows or in the soil has demonstrated efficacy even though further studies are necessary for the fine adjustment of dose, volume applied by area and time of application (Fukami et al., 2016; Morais et al., 2016).

However, there was a significant difference for percentage of damaged ear with a decrease of 2.83% in this trait for inoculated plants cultivated in the first growing season (Table 4). Although the factors that determine associative efficiency between *Azospirillum*

Table 2. Mean squares based on treatment totals, significance levels of F test, means of inoculated and non-inoculated plots, general means and the coefficients of variation for grain yield (GY, t ha⁻¹), ear length (EL, cm), ear diameter (ED, cm), cob diameter (CD), number of grain rows per ear (RE), percentage of damaged ear (% DAE), percentage of diseased ear (% DIE), days to male flowering (FL), plant height (PH, cm) and ear height (EH, cm), evaluated in Londrina in the first and second harvest of 2011/2012.

| Source of variation | DF | GY | EL | ED | CD | RE | % DAE [□] | % DIE [□] | FL | PH | EH |
|-----------------------|-----|---------|---------|---------|---------|---------|--------------------|--------------------|---------|---------|---------|
| Block/Harvest | 4 | 4.2102* | 0.6991 | 0.1023* | 0.0161* | 1.6815 | 356.68* | 419.40* | 0.8781 | 414.01* | 204.95* |
| Harvest (Ha) | 1 | 968.05* | 1060.6* | 17.700* | 4.5986* | 598.62* | 4148.1* | 90.798 | 61.797* | 217342* | 125450* |
| Inoculum | 1 | 0.5262 | 2.8900 | 0.0378 | 0.0474 | 6.7600 | 294.94 | 2.6039 | 8.5069 | 29.642 | 115.68 |
| Inoculum x Ha | 1 | 0.4170 | 1.5211 | 0.1304 | 0.0465 | 0.2612 | 69.843 | 82.318 | 1.4267 | 307.03 | 27.040 |
| Error (a) | 4 | 1.8918 | 2.8381 | 0.0411 | 0.0699 | 2.2128 | 67.739 | 174.98 | 5.3210 | 616.63 | 591.02 |
| Cultivar | 26 | 6.3059* | 6.8935* | 0.2237* | 0.3033* | 9.0875* | 140.96 | 187.00* | 17.117* | 1199.6* | 766.93* |
| Control (C) | 2 | 37.749* | 5.0544* | 0.7811* | 0.5426* | 15.453* | 326.78* | 382.87* | 56.694* | 995.68* | 181.88* |
| Exp Hybrid (Hy) | 23 | 3.8400* | 7.2386* | 0.1828* | 0.2957* | 8.8638* | 127.10 | 169.64 | 8.1476* | 1223.4* | 842.55* |
| GCA-L | 11 | 3.6721* | 8.4926* | 0.2651* | 0.5032* | 9.8843* | 73.225 | 134.53 | 14.657* | 2113.3* | 1377.0* |
| GCA-T | 2 | 20.194* | 23.075* | 0.2905* | 0.2891* | 39.428* | 111.72 | 28.323 | 2.4345 | 1687.0* | 1580.2* |
| SCA | 10 | 0.7534 | 2.6886* | 0.0711* | 0.0694* | 1.6259* | 189.45 | 236.52* | 2.1296 | 151.73* | 107.09* |
| C vs Hy | 1 | 0.1332 | 2.6322 | 0.0493 | 0.0000 | 1.5022 | 88.024 | 194.60 | 144.26* | 1060.3* | 197.78* |
| Cultivar x Ha | 26 | 1.8685* | 1.9827* | 0.0367* | 0.0195* | 0.8947 | 133.71 | 276.20* | 3.0310* | 189.76* | 83.103* |
| Control x Ha | 2 | 11.584* | 4.9478* | 0.0033* | 0.0100 | 0.6711 | 134.62 | 210.11 | 3.5833 | 425.92* | 102.45 |
| Hy x Ha | 23 | 1.1044 | 1.8059 | 0.0407 | 0.0211* | 0.9063 | 137.73 | 263.72* | 1.5697 | 126.24* | 78.142* |
| GCA-L x Ha | 11 | 1,0659 | 2.3496* | 0.0310* | 0.0213 | 1.0466 | 120.17 | 220.92* | 1.0645 | 214.56* | 103.77* |
| GCA-T x Ha | 2 | 3.0627* | 4.7056* | 0.1226* | 0.0746* | 0.9234 | 345.20* | 737.41* | 6.7446* | 16.193 | 108.90 |
| SCA x Ha | 10 | 0.7560 | 0.6218 | 0.0343 | 0.0094 | 0.7690 | 115.63 | 216.07* | 1.0977 | 51.102 | 43.845 |
| (C vs Hy) x Ha | 1 | 0.0108 | 0.1168 | 0.0117 | 0.0016 | 1.0756 | 39.269 | 695.23* | 35.537* | 1178.6* | 158.52* |
| Inoculum x Cultivar | 26 | 0.4992 | 1.0115 | 0.0255 | 0.0140 | 0.9918 | 115.28 | 109.79 | 1.2986 | 75.310 | 50.376 |
| Inoculum x Culti x Ha | 26 | 0.8949 | 0.8662 | 0.0327 | 0.0236 | 0.6469 | 103.58 | 84.471 | 1.8402 | 98.306 | 54.953 |
| Error (b) | 208 | 0.7565 | 1.1494 | 0.0242 | 0.0120 | 0.7882 | 104.77 | 109.24 | 1.8239 | 75.319 | 40.508 |
| Inoculated | - | 6.66 | 15.96 | 4.71 | 2.89 | 15.50 | 13.77 | 24.18 | 65.98 | 163.05 | 93.02 |
| Non-inoculated | - | 6.58 | 15.77 | 4.69 | 2.87 | 15.21 | 15.68 | 24.35 | 66.30 | 162.45 | 91.82 |
| General Mean | - | 6.62 | 15.90 | 4.70 | 2.90 | 15.40 | 14.70 | 24.30 | 66.10 | 162.80 | 92.40 |
| CV% (a) | - | 8.5 | 4.3 | 1.8 | 3.7 | 3.9 | 22.8 | 22.3 | 1.4 | 6.2 | 10.7 |
| CV% (b) | - | 13.1 | 6.8 | 3.3 | 3.8 | 5.8 | 69.5 | 43.1 | 2.0 | 5.3 | 6.9 |

*Significance level of 5 %, [□] = Variance analysis with data transformed to arc sine of (% DAE or DIE /100)^{0.5}.

and maize are unknown, several studies demonstrate significant increases in grain yield components in response to inoculation, even

though a large number of trials are required to eliminate spatiotemporal variations that may mask such effects (Díaz-Zorita et al., 2015).

The absence of significance for the interaction between *A. brasilense* and the different maize genotypes used in the present study indicates the

Table 3. Means of experimental hybrids (H_{ij}), resulting from the crosses of the inbred lines $L_i \times T_j$, and genotype controls for grain yield (GY, in $t\ ha^{-1}$), ear length (EL, cm), ear diameter (ED, cm), cob diameter (CD, cm), number of grain rows per ear (RE), percentage of damaged ear (% DAE), percentage of diseased ear (% DIE), days to flowering (FL), plant height (PH, cm) and ear height (EH cm), evaluated in Londrina in the first and second harvest of 2011/2012.

| Cultivars | GY | | EL | | ED | | CD | | RE | | % DAE | | % DIE | | FL | | PH | | EH | | |
|------------------|-------------------|-------------------|--------------------|-------------------|------------------|------------------|------------------|------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-----------------|-----------------|------------------|------------------|------------------|-----------------|---|
| | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | |
| | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | |
| H1 ₂ | 8.53 ^b | 4.34 ^b | 17.60 ^a | 12.8 ^b | 5.0 ^a | 4.6 ^b | 3.2 ^a | 2.9 ^a | 15.1 ^c | 13.4 ^b | 1.0 ^b | 22.9 ^a | 15.0 ^b | 24.1 ^a | 64 ^c | 65 ^c | 186 ^c | 128 ^c | 102 ^d | 64 ^c | - |
| H1 ₃ | 8.08 ^b | 5.03 ^a | 18.10 ^a | 14.3 ^a | 4.9 ^a | 4.5 ^c | 3.1 ^b | 2.8 ^b | 15.5 ^c | 13.6 ^b | 3.2 ^b | 10.6 ^a | 22.5 ^b | 13.9 ^a | 65 ^c | 66 ^c | 189 ^c | 131 ^c | 107 ^d | 65 ^c | - |
| H2 ₁ | 7.89 ^b | 4.43 ^b | 16.60 ^b | 13.5 ^b | 5.0 ^a | 4.4 ^c | 3.0 ^c | 2.7 ^c | 18.6 ^a | 14.9 ^a | 7.5 ^a | 9.2 ^a | 27.1 ^b | 18.4 ^a | 65 ^c | 66 ^c | 185 ^c | 135 ^c | 111 ^c | 74 ^b | - |
| H2 ₃ | 8.84 ^b | 5.65 ^a | 16.90 ^b | 13.8 ^b | 5.0 ^a | 4.5 ^b | 3.0 ^c | 2.7 ^c | 16.5 ^b | 13.5 ^b | 9.5 ^a | 12.3 ^a | 23.4 ^b | 13.0 ^a | 64 ^c | 66 ^c | 190 ^c | 141 ^b | 124 ^b | 78 ^b | - |
| H3 ₁ | 8.56 ^b | 4.80 ^a | 17.73 ^a | 15.0 ^a | 4.9 ^b | 4.5 ^c | 3.1 ^b | 2.9 ^b | 17.9 ^a | 15.1 ^a | 10.8 ^a | 15.5 ^a | 13.2 ^b | 28.3 ^a | 65 ^c | 67 ^b | 202 ^b | 143 ^b | 111 ^c | 70 ^c | - |
| H3 ₂ | 9.89 ^b | 5.35 ^a | 18.83 ^a | 14.9 ^a | 5.0 ^a | 4.6 ^b | 3.1 ^b | 3.0 ^a | 17.1 ^a | 14.7 ^a | 2.4 ^b | 11.3 ^a | 7.7 ^b | 14.2 ^a | 67 ^b | 66 ^c | 191 ^c | 140 ^b | 105 ^d | 68 ^c | - |
| H4 ₁ | 6.95 ^c | 4.17 ^b | 15.80 ^b | 12.6 ^b | 5.0 ^a | 4.5 ^b | 3.2 ^a | 2.9 ^b | 17.8 ^a | 15.0 ^a | 5.5 ^b | 11.6 ^a | 13.6 ^b | 16.0 ^a | 65 ^c | 66 ^c | 166 ^d | 123 ^d | 94 ^e | 62 ^c | - |
| H4 ₂ | 8.46 ^b | 3.75 ^b | 17.73 ^a | 13.0 ^b | 5.0 ^a | 4.4 ^c | 3.1 ^b | 2.8 ^b | 16.4 ^b | 13.4 ^b | 8.8 ^a | 18.9 ^a | 24.0 ^b | 27.8 ^a | 65 ^c | 66 ^c | 162 ^d | 119 ^d | 94 ^e | 61 ^c | - |
| H5 ₂ | 8.33 ^b | 4.30 ^b | 17.73 ^a | 12.6 ^b | 5.1 ^a | 4.6 ^b | 3.3 ^a | 2.9 ^a | 16.9 ^a | 13.5 ^b | 4.4 ^b | 22.3 ^a | 19.2 ^b | 19.4 ^a | 67 ^b | 68 ^b | 190 ^c | 133 ^c | 116 ^c | 77 ^b | - |
| H5 ₃ | 8.50 ^b | 5.32 ^a | 18.50 ^a | 15.6 ^a | 4.9 ^b | 4.6 ^b | 3.0 ^c | 2.8 ^b | 16.5 ^b | 14.3 ^a | 4.9 ^b | 5.9 ^a | 19.1 ^b | 12.1 ^a | 67 ^b | 68 ^b | 201 ^b | 153 ^a | 128 ^b | 92 ^a | - |
| H6 ₁ | 7.94 ^b | 4.95 ^a | 16.87 ^b | 14.2 ^a | 5.1 ^a | 4.5 ^b | 3.2 ^a | 2.8 ^b | 18.4 ^a | 15.5 ^a | 2.8 ^b | 11.0 ^a | 18.8 ^b | 17.6 ^a | 67 ^b | 67 ^b | 191 ^c | 141 ^b | 114 ^c | 77 ^b | - |
| H6 ₃ | 9.41 ^b | 5.17 ^a | 18.17 ^a | 15.3 ^a | 5.0 ^a | 4.5 ^b | 3.0 ^c | 2.8 ^b | 17.1 ^a | 14.3 ^a | 7.5 ^a | 10.7 ^a | 16.1 ^b | 18.9 ^a | 67 ^b | 67 ^b | 213 ^a | 153 ^a | 134 ^a | 90 ^a | - |
| H7 ₁ | 7.82 ^b | 4.50 ^b | 17.77 ^a | 13.1 ^b | 4.9 ^a | 4.4 ^c | 3.1 ^b | 2.7 ^c | 16.5 ^b | 14.0 ^b | 4.7 ^b | 17.4 ^a | 19.7 ^b | 20.5 ^a | 65 ^c | 68 ^b | 191 ^c | 137 ^b | 121 ^b | 73 ^b | - |
| H7 ₂ | 8.68 ^b | 5.07 ^a | 18.00 ^a | 13.1 ^b | 4.7 ^c | 4.4 ^c | 2.8 ^d | 2.6 ^c | 15.1 ^c | 12.7 ^c | 4.7 ^b | 13.6 ^a | 14.6 ^b | 17.9 ^a | 67 ^b | 67 ^c | 193 ^c | 137 ^b | 120 ^b | 73 ^b | - |
| H8 ₁ | 7.95 ^b | 4.20 ^b | 18.10 ^a | 14.1 ^a | 4.8 ^b | 4.1 ^d | 2.8 ^d | 2.5 ^d | 17.3 ^a | 14.7 ^a | 9.7 ^a | 19.8 ^a | 21.5 ^b | 26.1 ^a | 65 ^c | 67 ^b | 200 ^b | 142 ^b | 108 ^d | 71 ^c | - |
| H8 ₃ | 8.86 ^b | 4.80 ^a | 19.03 ^a | 14.6 ^a | 4.7 ^c | 4.3 ^c | 2.7 ^d | 2.6 ^d | 15.4 ^c | 13.7 ^b | 9.5 ^a | 15.3 ^a | 44.1 ^a | 12.5 ^a | 65 ^c | 66 ^c | 203 ^b | 149 ^a | 116 ^c | 70 ^c | - |
| H9 ₁ | 6.74 ^c | 4.33 ^b | 16.37 ^b | 13.5 ^b | 4.9 ^b | 4.4 ^c | 3.1 ^b | 2.9 ^b | 17.8 ^a | 14.7 ^a | 9.8 ^a | 19.4 ^a | 17.8 ^b | 18.3 ^a | 66 ^b | 68 ^b | 173 ^d | 125 ^d | 100 ^e | 66 ^c | - |
| H9 ₂ | 8.67 ^b | 5.64 ^a | 18.13 ^a | 14.8 ^a | 5.0 ^a | 4.8 ^a | 3.2 ^a | 3.0 ^a | 17.6 ^a | 14.4 ^a | 6.6 ^b | 5.7 ^a | 22.3 ^b | 13.1 ^a | 65 ^c | 66 ^c | 169 ^d | 131 ^c | 105 ^d | 68 ^c | - |
| H10 ₁ | 7.46 ^c | 4.60 ^b | 16.87 ^b | 13.7 ^b | 5.1 ^a | 4.4 ^c | 3.0 ^c | 2.8 ^b | 17.6 ^a | 14.6 ^a | 13.1 ^a | 10.4 ^a | 31.1 ^a | 15.1 ^a | 65 ^c | 66 ^c | 171 ^d | 132 ^c | 106 ^d | 74 ^b | - |
| H10 ₃ | 8.30 ^b | 5.29 ^a | 17.67 ^a | 14.1 ^a | 4.9 ^b | 4.4 ^c | 2.7 ^d | 2.7 ^c | 15.7 ^c | 12.9 ^c | 5.0 ^b | 9.2 ^a | 36.2 ^a | 15.6 ^a | 65 ^c | 66 ^c | 182 ^c | 138 ^b | 114 ^c | 79 ^b | - |
| H11 ₂ | 8.90 ^b | 5.78 ^a | 18.30 ^a | 14.6 ^a | 5.1 ^a | 4.7 ^a | 3.2 ^a | 3.0 ^a | 16.2 ^b | 14.3 ^a | 3.8 ^b | 12.8 ^a | 17.9 ^b | 18.9 ^a | 64 ^c | 65 ^c | 183 ^c | 141 ^b | 107 ^d | 76 ^b | - |
| H11 ₃ | 9.18 ^b | 5.51 ^a | 18.83 ^a | 15.2 ^a | 4.9 ^b | 4.4 ^c | 2.8 ^d | 2.7 ^c | 16.1 ^b | 12.7 ^c | 8.7 ^a | 7.7 ^a | 16.0 ^b | 17.2 ^a | 64 ^c | 66 ^c | 188 ^c | 142 ^b | 119 ^c | 77 ^b | - |
| H12 ₁ | 8.10 ^b | 4.92 ^a | 17.37 ^b | 14.2 ^a | 4.7 ^c | 4.3 ^d | 2.8 ^d | 2.5 ^d | 16.8 ^a | 13.8 ^b | 6.6 ^b | 9.1 ^a | 11.5 ^b | 22.1 ^a | 64 ^c | 66 ^c | 185 ^c | 30 ^c | 109 ^c | 65 ^c | - |

| Cultivars | GY | | EL | | ED | | CD | | NR | | % DAE | | % DIE | | FL | | PH | | EH | | |
|------------------|--------------------|-------------------|--------------------|-------------------|------------------|------------------|------------------|------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-----------------|-----------------|------------------|------------------|------------------|-----------------|---|
| | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | |
| | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | |
| H12 ₃ | 8.34 ^b | 5.66 ^a | 18.13 ^a | 15.4 ^a | 4.7 ^c | 4.3 ^d | 2.7 ^d | 2.6 ^d | 15.6 ^c | 13.4 ^b | 5.8 ^b | 10.1 ^a | 47.3 ^a | 18.9 ^a | 65 ^c | 66 ^c | 194 ^c | 140 ^b | 113 ^c | 75 ^b | - |
| DKB390 | 8.25 ^b | 5.07 ^a | 17.13 ^b | 13.6 ^b | 5.1 ^a | 4.6 ^b | 3.2 ^a | 2.9 ^b | 17.1 ^a | 13.7 ^b | 3.5 ^b | 13.7 ^a | 18.3 ^b | 16.6 ^a | 67 ^b | 67 ^b | 191 ^c | 138 ^b | 109 ^c | 72 ^b | - |
| DKB390H | 11.08 ^a | 5.49 ^a | 18.73 ^a | 14.0 ^a | 5.1 ^a | 4.7 ^a | 3.1 ^b | 2.9 ^b | 17.7 ^a | 14.6 ^a | 0.6 ^b | 9.5 ^a | 2.9 ^b | 16.6 ^a | 67 ^b | 66 ^c | 191 ^c | 131 ^c | 117 ^c | 73 ^b | - |
| ST0509 | 5.59 ^d | 3.89 ^b | 16.23 ^b | 14.0 ^a | 4.7 ^c | 4.2 ^d | 2.7 ^d | 2.6 ^d | 15.2 ^c | 12.7 ^c | 12.7 ^a | 16.5 ^a | 17.8 ^b | 26.3 ^a | 72 ^a | 69 ^a | 216 ^a | 140 ^b | 123 ^b | 74 ^b | - |
| Mean of hybrids | 8.35 | 4.90 | 17.71 | 14.08 | 4.46 | 4.93 | 3.00 | 2.78 | 16.72 | 14.05 | 6.50 | 13.03 | 21.65 | 18.33 | 65.35 | 66.46 | 187.34 | 136.83 | 111.57 | 72.71 | - |
| Mean of Control | 8.30 | 4.82 | 17.36 | 13.87 | 4.50 | 4.96 | 2.99 | 2.80 | 16.69 | 13.67 | 5.57 | 13.23 | 13.00 | 19.83 | 68.53 | 67.33 | 199.16 | 136.33 | 116.28 | 73.00 | - |

Means followed by the same letter belong to the same group by the Scott-Knott test at a significance level of 5%.

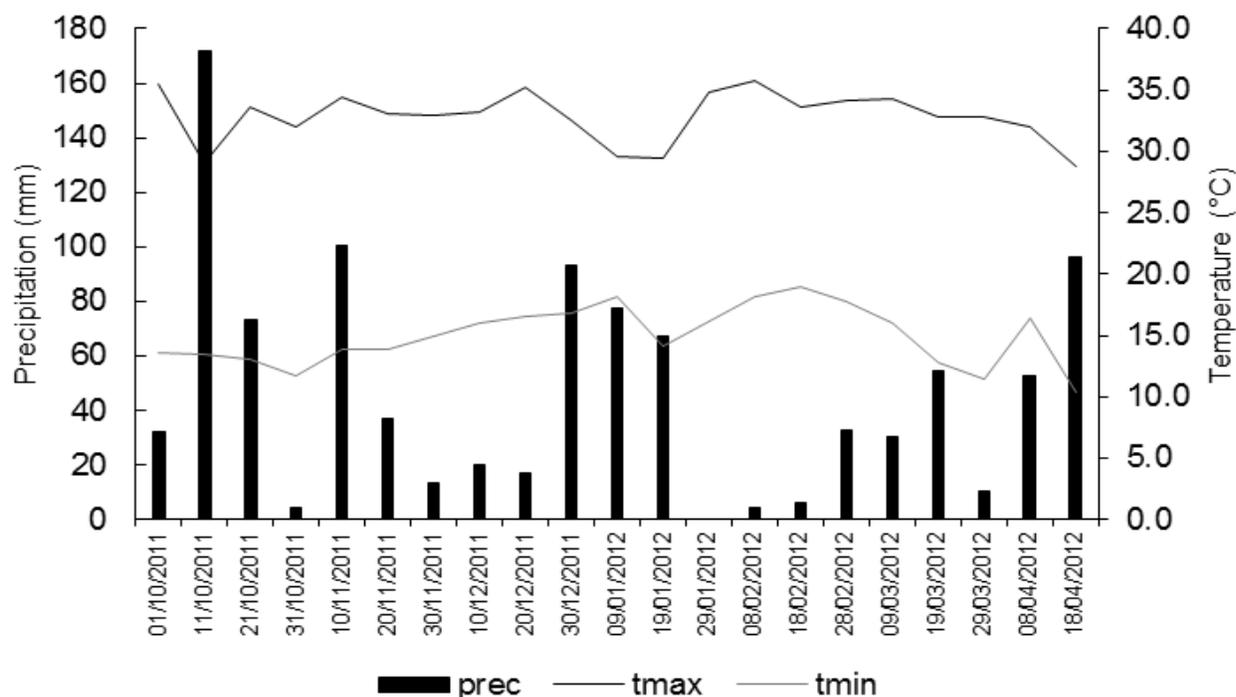


Figure 1. Maximum (Max) and minimum (Min) temperatures and precipitation (mm) in Londrina, from October 2011 to April 2012.

Source: Agronomic institute of Paraná- Technical Report N°77. July/2012 (IAPAR, 2012).

Table 4. Levels of significance from F (significance level of 5 %) test and means of plots inoculated and non-inoculated for grain yield, ear length, ear diameter, cob diameter, ear rows number, percentage of damaged ear, percentage of diseased ear, days to flowering, plant height and ear height, evaluated in Londrina in the first and second harvest of 2011/2012.

| Traits | 1 st Harvest | | | 2 nd Harvest | | |
|-----------------------------------|-------------------------|------------|----------------|-------------------------|------------|----------------|
| | F | Inoculated | Non-inoculated | F | Inoculated | Non-inoculated |
| Grain yield (t ha ⁻¹) | ns | 8.35 | 8.34 | ns | 4.96 | 4.81 |
| Ear length (cm) | ns | 17.7 | 17.65 | ns | 14.22 | 13.89 |
| Ear diameter (cm) | * | 4.93 | 4.95 | ns | 4.5 | 4.44 |
| Cob diameter (cm) | ns | 3 | 3 | ns | 2.79 | 2.74 |
| N° of grain rows per ear | ns | 16.83 | 16.6 | ns | 14.17 | 13.83 |
| Percentage of damaged ear (%) | * | 9.73 | 12.56 | ns | 17.81 | 18.79 |
| Percentage of diseased ear (%) | ns | 25.21 | 24.38 | ns | 23.14 | 24.33 |
| Days to flowering | ns | 65.48 | 65.93 | ns | 66.48 | 66.67 |
| Plant height (cm) | ns | 187.98 | 189.32 | ns | 138.13 | 135.57 |
| Ear height (cm) | ns | 112.4 | 111.8 | ns | 73.63 | 73.85 |

need to furthering this approach since up to the present moment there is no knowledge built up on compatibility factors associated with the plant genotype that can be applied in genetic improvement programmes. However, it is evident that the proposed method of including interaction with PGPB as a desired trait in maize breeding programmes has great potential to select more

suitable genotypes to finally consolidate this technology. Furthermore, these findings suggest that this approach could be useful for selecting elite cultivars more adapted to different growing seasons.

Regarding cultivars, percentage of damaged ear was the only variable with no significant effect, proving the heterogeneity of the evaluated genotypes. By decomposing

Table 5. Estimates of general combining ability (GCA) of the inbred lines from the synthetic ST20 (g_L) and the tester lines (g_T) originated from the synthetic ST06 for grain yield (GY, in t ha⁻¹), ear length (EL, in cm), ear diameter (ED, cm), cob diameter (CD), number of grain rows per ear (RE), percentage of damaged ear (% DAE), percentage of diseased ear (% DIE), days to flowering (DF), plant height (PH, in cm) and ear height (EH, in cm), evaluated in Londrina in the first and second harvest of 2011/2012.

| Estimates | GY | | EL | | ED | | CD | | RE | | % DAE | | % DIE | | DF | | PH | | EH | |
|--|---------|-------|---------|------|---------|------|---------|------|---------|------|---------|------|---------|------|---------|------|---------|-------|---------|------|
| | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | | Harvest | |
| | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd | 1st | 2nd |
| Means | 8.40 | 4.91 | 17.8 | 14.1 | 4.9 | 4.5 | 3 | 2.8 | 17 | 14.1 | 6.4 | 13.1 | 21.8 | 18.3 | 65.4 | 66.4 | 187.3 | 136.9 | 111.6 | 72.7 |
| Estimates of the GCA of the lines (\hat{g}_L) from the synthetic ST20 | | | | | | | | | | | | | | | | | | | | |
| g_{L1} | -0.47 | -0.43 | -0.2 | -0.7 | 0.1 | 0.0 | 0.2 | 0.1 | -0.9 | -0.2 | -3.9 | 4.2 | -5.2 | 1.8 | -0.7 | -0.3 | -0.8 | -9.0 | -8.5 | -9.3 |
| g_{L2} | 0.21 | 0.13 | -0.9 | -0.6 | 0.1 | 0.0 | 0.0 | -0.1 | 0.7 | 0.1 | 1.3 | -1.3 | 3.1 | -2.1 | -0.6 | -0.8 | -1.8 | 0.0 | 4.5 | 2.0 |
| g_{L3} | 0.96 | 0.35 | 0.7 | 1.3 | 0.0 | 0.1 | 0.0 | 0.2 | 0.5 | 0.7 | 0.5 | -1.2 | -8.9 | 1.4 | 0.7 | 0.2 | 11.9 | 7.4 | -0.1 | -1.8 |
| g_{L4} | -0.56 | -0.77 | -0.8 | -0.9 | 0.0 | 0.0 | 0.1 | 0.0 | 0.1 | 0.0 | 1.1 | 0.7 | -0.6 | 2.0 | -0.5 | -0.2 | -20.3 | -12.9 | -14.1 | -9.1 |
| g_{L5} | -0.37 | -0.30 | 0.0 | -0.2 | 0.1 | 0.1 | 0.2 | 0.1 | 0.5 | 0.3 | -1.3 | 1.6 | -4.8 | -1.5 | 1.5 | 1.4 | 7.4 | 4.6 | 8.3 | 10.6 |
| g_{L6} | 0.52 | 0.15 | -0.1 | 0.5 | 0.2 | 0.1 | 0.1 | 0.1 | 0.9 | 0.7 | -2.0 | -1.2 | -4.6 | 0.5 | 1.6 | 0.5 | 12.8 | 8.7 | 10.6 | 10.0 |
| g_{L7} | -0.02 | 0.06 | 0.3 | -0.6 | -0.2 | -0.1 | -0.1 | -0.2 | -1.2 | -0.9 | -1.4 | 0.9 | -2.2 | -0.7 | 0.7 | 0.7 | 7.2 | 2.9 | 12.1 | 2.3 |
| g_{L8} | 0.25 | -0.41 | 0.9 | 0.1 | -0.1 | -0.2 | -0.2 | -0.2 | -0.5 | 0.1 | 2.5 | 5.4 | 10.7 | 1.5 | 0.1 | 0.0 | 12.0 | 7.2 | -1.3 | -3.5 |
| g_{L9} | -0.57 | 0.26 | -0.3 | 0.4 | 0.0 | 0.2 | 0.1 | 0.1 | 0.7 | 0.3 | 2.1 | -2.1 | 0.7 | -4.2 | 0.0 | 0.4 | -13.0 | -6.1 | -5.4 | -3.5 |
| g_{L10} | -0.28 | 0.04 | -0.4 | -0.4 | 0.1 | 0.0 | -0.1 | 0.0 | -0.2 | -0.4 | 1.9 | -2.3 | 11.6 | -2.4 | -0.3 | -0.6 | -12.6 | -3.3 | -3.2 | 3.1 |
| g_{L11} | 0.26 | 0.53 | 0.5 | 0.7 | 0.1 | 0.0 | 0.0 | 0.1 | -0.1 | -0.2 | 0.3 | -2.3 | -7.0 | 0.8 | -1.5 | -0.5 | -2.7 | 3.4 | -0.4 | 2.8 |
| g_{L12} | 0.06 | 0.38 | 0.1 | 0.5 | -0.2 | -0.1 | -0.2 | -0.2 | -0.6 | -0.5 | -0.9 | -2.4 | 7.3 | 2.8 | -0.9 | -0.8 | 0.0 | -3.0 | -2.6 | -3.5 |
| Estimates of the GCA of the lines originated from the synthetic ST06 used as testers (\hat{g}_T) | | | | | | | | | | | | | | | | | | | | |
| g_{T1} | -0.75 | -0.39 | -0.7 | -0.3 | 0.0 | -0.1 | 0.1 | 0.0 | 0.9 | 0.7 | 0.9 | 1.0 | -4.3 | 2.1 | -0.1 | 0.5 | -2.0 | -2.8 | -3.5 | -2.1 |
| g_{T2} | 0.49 | 0.02 | 0.3 | -0.4 | 0.1 | 0.1 | 0.1 | 0.1 | -0.3 | -0.2 | -1.5 | 2.0 | -0.5 | 1.1 | 0.1 | -0.4 | -3.8 | -2.7 | -3.3 | -1.9 |
| g_{T3} | 0.26 | 0.38 | 0.4 | 0.7 | -0.1 | 0.0 | -0.1 | 0.0 | -0.6 | -0.4 | 0.6 | -3.1 | 4.9 | -3.2 | 0.0 | -0.1 | 5.8 | 5.5 | 6.8 | 4.0 |

the effects of cultivar in the joint analysis, a significant effect of control (C) was observed for all traits evaluated and for the experimental hybrids (Hy), except for the percentage of damaged and diseased ear (Table 2). Thus, there are experimental hybrids with different agronomic performances, allowing for genetic selection among the genotypes. For the contrast control versus hybrids (C vs Hy), the overall mean of these groups of genotypes differed statistically for male flowering and plant and ear height; moreover, in the first harvest the hybrids showed a higher percentage of diseased ear compared to the control group (Table 3). However, interestingly, no significant difference was found for grain yield

between experimental and control hybrids.

As for the interaction of the control group and harvest (C x Ha), the joint analysis indicated significant differences for grain yield, ear length, ear diameter and plant height (Table 2), while experimental hybrids versus harvest (Hy x Ha) only showed significant values for cob diameter, percentage of diseased ear, and plant and ear height, demonstrating that these genotypes did not present a differentiated behavior between the different periods of cultivation, which means they suffered less with the unfavorable conditions of the second harvest, showing a more stable performance. From the data gathered, we can assume that the hybrids with the best average

yield between the 1st and the 2nd harvest are those that should be selected for grain yield, ear length, ear diameter, number of grain rows per ear, percentage of damaged ear and male flowering.

The decomposition of the experimental hybrids from the partial diallel reveals significant effects for the general combining ability of the inbred lines (GCA-L) and the tester lines (GCA-T) for the majority of the characteristics analyzed, except for percentage of damaged and diseased ear to GCA-L and percentage of damaged and diseased ear and male flowering to GCA-T (Table 5). The specific combining ability was significant for ear length and diameter, cob diameter, number of

grain rows per ear, percentage of diseased ear and plant and ear height.

The absence of significance for the other traits indicates that the parents do not present an appreciable degree of gene complementation in relation to the frequencies of the alleles in the loci of dominance (Vencovsky and Barriga, 1992). Experimental hybrids and period of cultivation (harvest) interaction showed significant GCA-L data for ear length and diameter, percentage of diseased ear, plant and ear height, and for GCA-T in almost all traits except number of grain rows per ear and plant and ear height.

In general, the inbred lines L₂, L₃, L₆, L₁₁, L₁₂ and testers T₂ and T₃ showed the best estimates of general combining ability for grain yield and other characteristics, producing the best hybrid combinations (Table 5). High estimates of GCA are associated with genotypes with high frequency of favorable alleles for agronomic traits of interest (Vencovsky, 1987). As can be seen from Table 2, the mean squares for general combining ability were, in general, higher than those of specific combining ability, indicating predominance of the additive effects of genes, which is in agreement with results obtained by Simon et al. (2004) and Júnior et al. (2006). Additionally, the greater contribution of effects of dominance to grain yield, found in this work, corroborates studies made by Simon et al. (2004) and Júnior et al. (2006).

Among the 24 experimental hybrids evaluated in the first harvest (Table 3), 13 did not differ statistically from the commercial hybrid DKB390 (control) for grain yield and showed similar performance for the other traits, especially the experimental hybrids H_{3 2'}, H_{6 3'} and H_{11 2'}. In the second harvest, 15 of the experimental hybrids did not differ statistically from the controls, and from this total, nine experimental hybrids showed a higher average grain yield than DKB390 and five surpassed its transgenic version DKB390H, which shows the excellent performance of the genetic material generated by this particular maize breeding programme that aims at outstanding varieties.

In general, the most promising hybrids in the second harvest were H_{2 3'}, H_{3 2'}, H_{9 2'}, H_{11 2'} and H_{11 3'} e H_{12 2'}, showing the highest means of the traits of interest and the smallest oscillations between the two growing seasons. Furthermore, 50% of the experimental hybrids out-yielded the commercial hybrid DKB390 when cultivated in conditions of high abiotic stress (2nd harvest) (data not shown).

Conclusions

From the research that has been carried out, it is possible to conclude that:

(1) The most promising experimental hybrids are H_{2 3'}, H_{3 2'}, H_{11 2'}, H_{11 3'} and H_{12 2'} and that

(2) The additive effects of genes are more important than the non-additive effects for all the traits evaluated.

Regarding the association with the diazotrophic bacteria.

(3) It is possible that the direct inoculation of *A. brasilense* on maize seedlings was not successful enough to allow significant effects of inoculum in the experimental conditions of this study.

Further research should be conducted to optimize the inoculation method in order to guarantee the evaluation for detection of maize genotypes more prone to PGPB colonization and its introduction in maize breeding programmes.

CONFLICT OF INTERESTS

The authors have not declared any conflict of interests.

REFERENCES

- Alquères S, Meneses C, Rouws L, Rothballer M, Baldani I, Schmid M, Hartmann A (2013). The bacterial superoxide dismutase and glutathione reductase are crucial for endophytic colonization of rice roots by *Gluconacetobacter diazotrophicus* PAL5. *Molecular Plant-Microbe Interactions Journal* 26(8):937-945.
- Andreotti M, Araldi M, Guimarães V F, Furlani Júnior E, Buetti S (2008). Produtividade do milho safrinha e modificações químicas de um latossolo em sistema de plantio direto em função de espécies cobertura após calagem superficial. *Acta Scientiarum Agronomy* 30(1).
- Bashan Y, De-Bashan LE, Prabhu SR, Hernandez JP (2014). Advances in plant growth-promoting bacterial inoculant technology: formulations and practical perspectives (1998-2013). *Plant and Soil* 378(1-2):1-33.
- Beaugregard PB, Chai Y, Vlamakis H, Losick R, Kolter R (2013). *Bacillus subtilis* biofilm induction by plant polysaccharides. *Proceedings of the National Academy of Sciences of the United States of America* 110(17):E1621-E1630.
- Cruz CD (2013). GENES – a software package for analysis in experimental statistics and quantitative genetics. *Acta Scientiarum Agronomy Maringá* 35(3):271-276.
- Dechorgnat J, Nguyen CT, Armengaud P, Jossier M, Diatloff E, Filleur S, Daniel-Dedele FX (2011). From the soil to the seeds: the long journey of nitrate in plants. *Journal of Experimental Botany* 62(4):1349-1359.
- Díaz-Zorita M, Canigia MVF, Bravo AO, Berger A, Satorre EH. (2015). Field evaluation of extensive crops inoculated with *Azospirillum* sp. In: Cassán, F.D.; Okon, Y.; Creus, C.M. (Eds.) *Handbook for Azospirillum*, Springer Int. Publishing, Switzerland pp. 435-445.
- Drogue B, Doré H, Borland S, Wisniewski-Dyé F, Prigent-Combaret C (2012). Which specificity in cooperation between phyto-stimulating rhizobacteria and plants? *Research in Microbiology* 163(8):500-510.
- Embrapa Soja (2011) *Inoculação com Azospirillum brasilense: inovação em rendimento a baixo custo*. Londrina: Empresa Brasileira de Pesquisa Agropecuária Embrapa Soja, 38. (Embrapa Soja. Documents, 325). Available on: <<http://www.cnpso.embrapa.br/download/doc325.pdf>>. Accessed in 1 jul. 2012.
- Fallik E, Okon Y (1996). The response of maize (*Zea mays*) to *Azospirillum* inoculation in various types of soils in the field. *World Journal of Microbiology Biotechnology* 12:511-515.
- Fukami J, Nogueira MA, Araujo M, Hungria M (2016). Accessing inoculation methods of maize and wheat with *Azospirillum brasilense*.

- AMB Express 6(1):3.
- Galloway JN, Townsend AR, Erismann JW, Bekunda M, Cai Z, Freney JR, Martinelli LA, Seitzinger SP, Sutton MA (2008). Transformation of the nitrogen cycle: recent trends, questions, and potential solutions. *Science* 320(5878):889-892.
- Griffing B (1956). Concept of general specific combining ability in relation to diallel crossing systems. *Australian Journal of Biological Sciences*, Melbourne 9(4):463-493.
- Instituto Agronômico Do Paraná (IAPAR) (2012). Avaliação estadual de cultivares de milho, Safra 2011/2012. Londrina: IAPAR, 121. (IAPAR.Technical Report 77). Available on: http://www.iapar.br/arquivos/File/zip_pdf/bt77_milhosafra2011_12.pdf. Accessed in: Sep 1, 2012.
- Jha PN, Gupta G, Jha P, Mehrota R (2013). Association of rhizospheric/endophytic bacteria with plants: A potential gateway to sustainable agriculture. *Greener Journal of Agricultural Sciences* 3(2):73-84.
- Júnior Freitas ATA, Pereira MG, Cruz CD, Scapim CA. (2006). Capacidade combinatória em milho-pipoca por meio de dialelo circulante. *Pesquisa Agropecuária Brasileira* 41(11):1599-1607.
- Kennedy IR, Choudhury ATMA, Kecskés ML (2004). Non-symbiotic bacterial diazotrophs in crop-farming systems: can their potential for plant growth promotion be better explored. *Soil Biology and Biochemistry Oxford* 36:1229-1244.
- Magalhaes PC, Duarte AP, Guimaraes PE de O (2007). Tecnologias para desenvolvimento de milho em condições de safrinha. In: "9º Seminário Nacional de Milho Safrinha. Rumo à estabilidade, Dourados, MS. Annals. Dourados: Embrapa Agropecuária Oeste pp. 108-120.
- Meneses CH, Rouws LF, Simões-Araújo JL, Vidal MS, Baldani JI (2011). Exopolysaccharide production is required for biofilm formation and plant colonization by the nitrogen fixing endophyte *Gluconacetobacter diazotrophicus*. *Molecular Plant-Microbe Interactions Journal* 24:1448-1458.
- Miranda Filho JB, Vencovsky R (1995). Analysis of variance with interaction of effects. *Brazilian Journal of Genetics* 18(1):129-134.
- Morais T, Brito C, Brandão A, Resende W (2016). Inoculation of maize with *Azospirillum brasilense* in the seed furrow. *Revista Ciência Agronômica* 47(2):290-298.
- Morrissey JP, Dow JM, Mark GL, Fergal O'Gara Y (2004). Are microbes at the root of a solution to world food production? *Nature EMBO Reports*, London 5:922-926.
- Oliveira ALM, Costa KR, Ferreira DC, Milani KML, Santos OJAP, Silva MB, Zuluaga MYA (2014). Aplicações da biodiversidade bacteriana do solo para a sustentabilidade da agricultura. *Biochemistry and Biotechnology Reports* 3:56-77.
- Patel JA, Shukla MR, Doshi KM, Patel BR, Patel SA (1998). Combining ability analysis for green fruit yield and components in Chilli (*Capsicum annuum*, L.). *Capsicum and Eggplant Newsletter* 17:34-37.
- Pereira AF, Melo PGS, Pereira JM, Assunção A, Nascimento AR, Ximenes PA (2009). Caracteres agronômicos e nutricionais de genótipos de milho doce. *Bioscience Journal*, Uberlândia 25(1):104-112.
- Rambo LS, Silva PRF, Strieder ML, Sangoi L, Bayer C, Argente G. (2007). Monitoramento do nitrogênio na planta e no solo para predição da adubação nitrogenada em milho. *Pesquisa Agropecuária Brasileira* 42(3):407-417.
- Santos OJAP dos. (2011). Eficiência de metodologias para inoculação de bactérias promotoras de crescimento vegetal em milho, Trabalho de conclusão de curso, Universidade Estadual de Londrina.
- Simon GA, Scapim CA, Pacheco CAP, Pinto RJB, Braccini AL, Tonet A. (2004). Depressão por endogamia em populações de milho-pipoca. *Bragantia* 63(1):55-62.
- Vencovsky R (1987). Melhoramento de populações. In: Paterniani E, Viégas G P. Melhoramento e produção do milho. Campinas: Fundação Cargill 2:217-265.
- Vencovsky R, Barriga P (1992). Genética biométrica no fitomelhoramento. Riberão Preto; Sociedade Brasileira de Genética P 496.
- Vitousek PM, Naylor R, Crews T, David MB, Drinkwater LE, Holland E, Johnes PJ, Katzenberger J, Martinelli LAA, Matson PA, Nziguheba G, Ojima D, Palm CA, Robertson GP, Sanchez PA, Townsend, AR, Zhang FS (2009). Nutrient imbalances in agricultural development. *Science* 324(5934):1519-1520.

Full Length Research Paper

Microbial diversity as a soil quality indicator in agroecosystems in Brazilian Savannas

Moura, Jadson Belem de^{1*}, Ventura, Matheus Vinicius Abadia², Vieira Junior, Wagner Gonçalves¹, Souza, Rodrigo Fernandes¹, Lopes Filho, Luiz Cesar³, Braga, Ana Paula Maciel¹, Matos, Diogo Jânio de Carvalho¹ and Rocha, Elivan Cesar Vieira¹

¹Faculdade Evangélica de Goianésia, FACEG, Brazil.

²Instituto Federal Goiano – Campus Rio Verde, Goiás GO Brazil.

³Programa de Pós-Graduação em Agronomia da Escola de Agronomia, Universidade Federal de Goiás, Goiás GO Brazil.

Received 25 April, 2018; Accepted 31 May, 2018

The importance of sustainable use of natural resources, especially of soil and water, has been a subject of increasing relevance. The increase of human activity in ecosystems has great impact on the dynamics of soil organisms. The comparison between cultivated systems and native areas without anthropic interference can be used as soil quality index. Microorganisms are ideal indicators because they are very sensitive to changes and show variations in their community when subjected to stressful environments. The objective of the present study was to evaluate the quality of soil microbial abundance as an index of soil quality in agroecosystems Integrated Sustainable Agroecological Production, Agroforestry System and Isolation of springs in Brazilian Savannas. The experiment was conducted in the areas of the Vitória settlement, in the region of São Patrício Valley, Goianésia, Goiás, in an area of native “cerrado”. The climate is classified as seasonal tropical (Aw), being characterized by two well defined seasons (dry and rainy), as well as with the occurrence of drought periods during the rainy season. The experimental design adopted was a 3 × 2 × 2 block factorial randomized with three replications, where factor 1 was represented by the systems used: Sustainable Integrated Agroecological Production (SIAP), Agroforestry System (AS) and springs isolation (SI), factor 2 was represented by the soil depth, 0-5 cm and 5-10 cm, and factor 3 was the installation time of the systems: 5 years and recently installed. Soil samples were collected at random in the rhizospheric soil in each plot. There was a greater number of fungal colonies in the AS system with 5 years of implantation, but did not differ with soil depth. There was a higher number of bacteria colonies in the SIAP system after 5 years. At the depth of 0 to 5 cm, the SIAP system had higher microbial abundance, but it was higher at 5 to 10 in AS system.

Key words: Cerrado Brazilian, agroecology, environment, natural resources.

INTRODUCTION

The importance of sustainable use of natural resources, especially of soil and water, has been a subject of

*Corresponding author. E-mail: jadsonbelem@gmail.com.

Author(s) agree that this article remain permanently open access under the terms of the [Creative Commons Attribution License 4.0 International License](https://creativecommons.org/licenses/by/4.0/)

increasing relevance. The increase of human activity in ecosystems has great impact on the dynamics of soil organisms (Araújo et al., 2007).

According to Doran et al. (1994), soil quality can be conceptualized as the ability of soil to perform various functions, within the limits of land use and ecosystem, to sustain biological productivity, maintain or improve environmental quality and contribute to plant, animal and human health.

The comparison between cultivated systems and native areas without anthropic interference can be used as soil quality index. Microorganisms are ideal indicators because they are very sensitive to changes and show variations in their community when subjected to stressful environments (Moreira and Siqueira, 2006). The establishment of soil quality indices can be used as a criterion for the evaluation of environmental impacts on ecosystems (D'Andréa et al., 2002).

Aim of sustainable agro-systems in agricultural production is to stop the damage of the ecosystem's ability to recover from anthropogenic interference (Altieri, 1998); degraded areas can recover their productive capacity if managed with practices that aim to maintain the sustainability and preservation. Areas for permanent preservation, such as hillside areas and springs of water bodies, should be maintained without human cultivation and influence (Corrêa et al., 1996). However, permanent preservation areas already degraded must be recovered, and the soil microbial quality index can be used as a parameter of evaluation of this recovery.

Agroecology is a set of general principles applicable to sustainable farming systems. It can be described as a science that aims to study agroecosystems that seek to copy natural ecosystems, thus producing lower rates of environmental impact (Altieri, 1998).

According to Klink and Machado (2005), the "cerrado", Brazilian Savannas, productive areas are mostly occupied by degraded pastures, mainly because the producer does not treat these areas as crops, do not apply appropriate soil management to maintain the fertility of soils. An alternative for the management of pastures within sustainable systems is the use of plant species diversification, through the maintenance of polyculture of pasture species. The grass and legume consortium, together with the installation of native and exotic tree species, promotes better conditions for the development of soil microbiota diversity, such as agroforestry system (SA) (Soares et al., 2010).

The Sustainable Integrated Agroecological Production System (SIAP) is a small-scale irrigated cultivation system, conducted in circular beds formed around a system of production of small animals such as birds or fish. The purpose of this system is to meet local needs by developing a model of family farming also based on the cultivation of several different species of vegetables (Mendonça et al., 2010). This system is widely used by settled communities in the St. Patrick's Valley region.

Agroforestry Systems (AS) are ways of use and management of natural resources, in which perennial woody species (trees, shrubs and palms) are used in association with agricultural crops and/or grazing animals, in the same area, simultaneously or in a temporal sequence, resulting in a biological diversity promoted by the presence of different plant and animal species which explore diverse niches within the system, integrated with the application of management practices compatible with traditional cultural techniques of farmers (Carvalho et al., 2004).

Among the microbiological indicators of soil quality, microbial diversity (MD) stands out. Soil biomass is the measure of CO₂ production resulting from the metabolic activity of macro and microorganisms (Doran et al., 1994; Azevedo and Melo, 1998; Da Silveira and Dos Santos Freitas, 2007).

The activity of these organisms in the soil is considered a positive attribute for soil quality and is used as an indicator because it is more generic and encompasses the activity of communities and consortia of microorganisms present, showing better reproducibility (Rice et al., 1996).

Agroecology is defined as a new productive paradigm, being agroecology linked to sustainability and sustainability which is key to the maintenance of productive processes over time. This type of management should not be exclusive of extensive production systems. Family agriculture, when well structured, leaves the subsistence level and becomes responsible for the maintenance of products, such as vegetables that cannot be produced by large-scale monoculture systems. This project carried out through the partnership of "Gente do Cerrado" Association with the Evangelical Faculty of Goianésia has installed agroforestry systems in parcels of a Settlement region of the São Patrício Valley.

It is necessary to quantify the benefit of the installation of these systems using as a parameter, the soil microbial quality index comparing them with native "cerrado" vegetation areas.

The objective of this study was to evaluate the quality of soil microbial activity as an index of soil quality in Integrated Sustainable Agroecological Production, Agroforestry System, and springs in Brazilian Savannas.

MATERIALS AND METHODS

The experiment was conducted in the areas of the Vitória settlement, in the region of the São Patrício Valley, in Goianésia, Goiás, in an area of native "cerrado". The climate is classified according to Koppen (1931), as seasonal tropical (Aw), being characterized by two well defined seasons (dry and rainy), as well as the occurrence of drought periods during the rainy season.

The experimental design adopted was a 3 × 2 × 2 block factorial randomized with three replications, where factor 1 was represented by the systems used: Sustainable Integrated Agroecological Production (SIAP), Agroforestry System (AS), and springs isolation (SI), factor 2 was represented by the soil depth of 0 to 5 cm and 5 to 10 cm, and factor 3 was the installation time of the systems: 5

Table 1. Mean CFU values of fungi in each agroecosystem.

| Systems | Mean |
|---------|----------------------|
| AS | 6.50000 ^a |
| SIAP | 3.33333 ^b |
| SI | 2.33333 ^b |

CV% = 42.47. *Sustainable Integrated Agroecological Production (SIAP); Agroforestry System (AS); Springs Isolation (SI).

Table 2. Mean CFU values of fungi per soil depth.

| Depth | Mean |
|--------|----------------------|
| 0 - 5 | 3.94444 ^a |
| 5 - 10 | 4.16667 ^a |

CV% = 42.47

Table 3. Mean CFU values of fungi per time of system implantation.

| Season | Mean |
|---------|----------------------|
| Newly | 2.94444 ^b |
| 5 years | 5.16667 ^a |

CV% = 42.47

years and recently installed.

Samples were collected at random. Each repetition was composed of the rhizospheric soil in each plot. The rhizospheric soil was collected at a depth of 0 to 5 cm and 5 to 10 cm, the composite samples were homogenized and stored under refrigeration.

The number of fungi and bacteria was determined by quantification of colony forming units (CFU) using the method of inoculation of diluted suspensions of soils in Potato-Dextrose-Agar (PDA) culture medium, with four replicates per dilution. From the collected samples, 1.0 g of soil was removed and diluted in Erlenmeyer, adding 10.0 mL of distilled water, the same procedure being carried out until the dilution of 10⁴. During preparation of the culture medium, 1.0 g of antibiotic was placed and the number of fungal colonies and number of bacterial colonies were counted.

Petri dishes with inoculated media were incubated at room temperature ($\pm 35^{\circ}\text{C}$) by counting the colonies of fungi and bacterial colonies which was performed 5 days after incubation. The data for statistical treatment was obtained through the program Assisat (Silva, 2016).

RESULTS AND DISCUSSION

The colony forming units of fungi and bacteria were quantified with the objective of identifying systems, depth and periods of time that present greater microbial diversity. Tables 1 to 6 show the CFUs of fungi and Tables 7 to 11 refer to the CFUs of bacteria. Table 1

shows the average of CFUs of fungi by agroecological system. Results showed that the Agroforestry System was superior to the other agroecosystems, so that system presented higher amount of fungi in soil (Altieri, 2002), since plants residues are decomposed in soil and serve as energy source for soil microorganisms, such as fungi.

Table 2 presents the average values of fungal CFU in relation to soil depth. Values were not significantly different. In the work of Angelini et al. (2012), it was observed that in the 0 to 5 cm layer there was a significant effect when associated with crops and vegetation cover, thus it is possible to explain the highest values in the AS and layer of 5 to 10 for crop species.

Table 3 shows the average of fungal CFU in relation with the time of system implantation, demonstrating that the systems that were implanted 5 years ago were superior to the value found in the newly implanted systems. Thus, the longer the systems, the greater the amount of fungi present in the soil. Factors as environmental conditions favor the increase of fungi.

In relation to the effect of the interaction between agroecological systems and soil depth, the values found do not present significant statistical differences. Although, not significantly different, the higher value of the agroforestry system can be explained by Facci (2008) who stated that due to the diversity of trees, it has an accumulation of plant residues on the surface, having an accumulation of organic matter, becoming a favorable environment and source of energy for fungi.

Table 4 presents the interaction averages of the agroecological systems in relation to the time of implantation. The results obtained showed that the newly installed systems were statistically similar, and that in the time of 5 years, the Agroforestry System presented a superior value in relation to the SIAP systems and SI. However, the Agroforestry System presented lower value in relation to the amount of fungi presented in the newly implanted system. Angelina et al. (2012), stated that areas with crops up to the 10 cm layer have a significant value of fungi, thus, the forest that contributes to the greatest amount of organic matter in the soil over time, due to plant residues, makes it an ideal environment.

Table 5 shows the interaction effect between soil depth and time of implantation. Results obtained show that the depth of 0 to 5 cm in the system installed at 5 years had higher fungi CFUs as compared to other soil depth and recent system, and can be explained by a higher accumulation of organic matter on the soil surface. At the depth of 0 to 5 cm, there was a lower value in the newly installed system, showing less amount of fungi colonies. After counting bacterial colonies, the following results were obtained. Table 6 shows the mean values in each cropping system. The AS and SIAP did not differ between both systems, but were significantly higher than SI. The same result was obtained by Moreira et al. (2010), who verified high diazotrophic density in agriculture and agroforestry.

Table 4. Interaction effect between agroecosystems and time of system implantation on fungi CFUs.

| System | Time | |
|--------|----------------------|----------------------|
| | Newly installed | 5 years |
| AS | 6.8333 ^{aB} | 6.1667 ^{aA} |
| SIAP | 2.3333 ^{aA} | 4.3333 ^{bA} |
| SI | 2.6667 ^{aA} | 2.0000 ^{bA} |

Lowercase letters for columns; uppercase letters for lines; CV% = 42.47. *Sustainable Integrated Agroecological Production (SIAP); Agroforestry System (AS); Springs Isolation (SI).

Table 5. Interaction effect between soil depth and time of system implantation on fungi CFUs.

| Depth | Time | |
|-----------|----------------------|----------------------|
| | Newly installed | 5 years |
| 0 – 5 cm | 2.1111 ^{aB} | 5.7778 ^{aA} |
| 5 – 10 cm | 3.7778 ^{aA} | 4.5556 ^{bA} |

Lowercase letters for columns; uppercase letters for lines. CV% = 42.47.

Table 6. Mean CFU values of soil bacteria in each agroecosystem.

| System | Mean |
|--------|--------------------|
| AS | 28.92 ^a |
| SIAP | 31.92 ^a |
| SI | 15.17 ^b |

CV% = 17.21; *Sustainable Integrated Agroecological Production (SIAP); Agroforestry System (AS); Springs Isolation (SI).

Table 7. Mean CFU values of soil bacteria in different soil.

| Depth | Mean |
|-----------|--------------------|
| 0 – 5 cm | 27.83 ^a |
| 5 – 10 cm | 22.83 ^b |

CV% = 17.21.

As shown in Table 7, the average values of the depths were found, in which, in the range of 0-5 cm, higher values were found, thus, in this range, there was a greater amount of bacteria. In the work of Pereira (2015), even with the presence of fungi in all the layers, the deeper layers presented a decrease in the diversity of bacteria.

Table 8 presents the average of the systems implementation times, in which, in systems installed for a

Table 8. Mean CFU values of soil bacteria as affected by time of implantation of agroecological systems.

| Time | Mean |
|---------|--------------------|
| Newly | 16.61 ^b |
| 5 years | 34.06 ^a |

CV% = 17.21.

Table 9. Interaction effect between agroecological systems and soil depth on CFU values of soil bacteria.

| System | Depth | |
|--------|---------------------|---------------------|
| | 0 – 5 cm | 5 – 10 cm |
| AS | 24.17 ^{bB} | 33.67 ^{aA} |
| SIAP | 45.33 ^{aA} | 18.50 ^{bB} |
| SI | 14.00 ^{cA} | 16.33 ^{bA} |

Lowercase letters for columns; uppercase letters for lines; CV% = 17.21; *Sustainable Integrated Agroecological Production (SIAP); Agroforestry System (AS); Springs Isolation (SI).

longer period of time, there was a greater amount of bacteria in the soil. This is explained as follows, in the course of time, with favorable environment and energy source, the bacteria carries out its dissemination.

Table 9 presents the interaction effect of agroecosystems and soil depths. The results demonstrated that SIAP had higher bacteria colonies than lower depth and other cropping systems. At 5 to 10 cm depth, the AS had the highest CFU value than other systems. Table 10 presents the interaction effect between agroecological systems and time of their installation. Results showed that in the newly installed AS bacteria, abundance was superior in relation to the other newly installed systems, but in the systems implanted 5 years ago, the SIAP system had greater abundance than other systems. All systems had significantly higher bacteria abundance for those implanted 5 years ago as compared to recent systems.

Table 11 presents the interaction effect of soil depth and implantation time. In the 5-year-old system, the amount of bacteria colonies in the 0 to 5 cm layer was higher than at 5 - 10 cm depth, which is different from the newly installed systems. At both depths, the 5-year period had more bacteria colonies than the newly installed systems.

Conclusions

There was a higher number of fungal colonies in the Agroforestry System oldest system, but did not vary with soil depth. There was a higher number of bacteria colonies in the Sustainable Integrated Agroecological

Table 10. Interaction effect between agroecological systems and implantation time on CFU values of soil bacteria.

| System | Time | |
|--------|---------------------|---------------------|
| | Newly installed | 5 years |
| AS | 25.83 ^{aB} | 32.00 ^{bA} |
| SIAP | 17.00 ^{bB} | 46.83 ^{aA} |
| SI | 7.00 ^{cB} | 23.33 ^{cA} |

Lowercase letters for columns; uppercase letters for lines; CV% = 17.21; *Sustainable Integrated Agroecological Production (SIAP); Agroforestry System (AS); Springs Isolation (SI).

Table 11. Interaction effect between soil depth and implantation time on CFU values of soil bacteria.

| Depth | Time | |
|-----------|---------------------|---------------------|
| | Newly installed | 5 years |
| 0 – 5 cm | 16.78 ^{aB} | 38.89 ^{aA} |
| 5 – 10 cm | 16.44 ^{aB} | 29.22 ^{bA} |

Lowercase letters for columns; uppercase letters for lines; CV% = 17.21.

Production system after 5 years. At the depth of 0 to 5 cm, the Sustainable Integrated Agroecological Production system had high microbial abundance, but at 5 to 10 cm, the Agroforestry System showed higher number of bacteria colonies.

CONFLICT OF INTERESTS

The authors have not declared any conflict of interests.

REFERENCES

- Altieri MA (1998). Agroecologia: a dinâmica produtiva da agricultura sustentável. Editora da Universidade, Universidade Federal do Rio Grande do Sul. <https://www.socla.co/wp-content/uploads/2014/Agroecologia-Altieri-Portugues.pdf>
- Altieri MA (2002). Agroecologia: bases científicas para uma agricultura sustentável. Guaíba: Agropecuária 592 p.
- Angelini GAR, Loss A, Pereira MG, Torres JLR, Júnior OJS (2012). Colonização micorrízica, densidade de esporos e diversidade de fungos micorrízicos arbusculares em solo de Cerrado sob plantio direto e convencional. *Semina: Ciências Agrárias* 33(1):115-130.
- Araújo R, Goedert WJ, Lacerda MPC (2007). Qualidade de um solo sob diferentes usos e sob cerrado nativo. *Revista Brasileira de Ciência do Solo* 31(5):1099-1108.
- Azevedo J, Melo I (1998). Biodiversidade microbiana e potencial biotecnológico. *Ecologia Microbiana*. EMBRAPA CNPMA, Jaguariúna.
- Carvalho R, Goedert WJ, Armando MS (2004). Atributos físicos da qualidade de um solo sob sistema agroflorestal. *Pesquisa Agropecuária Brasileira* 39(11):1153-1155.
- Corrêa T, Costa C, Souza M, Brites RS (1996). Delimitação e caracterização de áreas de preservação permanente por meio de um sistema de informações geográficas (SIG). *Revista Árvore*. Viçosa-MG 20(1):129-135.
- D'andréa A, Silva M, Curi N, Siqueira J, Carneiro M (2002). Atributos biológicos indicadores da qualidade do solo em sistemas de manejo na região do cerrado no sul do estado de Goiás. *Revista Brasileira de Ciência do Solo* 26(4):913-924.
- Da Silveira APD, Dos Santos Freitas S (2007). Microbiota do solo e qualidade ambiental. Instituto Agronômico.
- Doran JW, Coleman D, Bezdicek D, Stewart B (1994). Defining soil quality for a sustainable environment. 1994, Soil Science Society of America Madison, WI. <https://dl.sciencesocieties.org/publications/books/articles/sssaspecialpubl/definingsoilqua/frontmatter>
- Facci LD (2008). Variáveis microbiológicas como indicadores da qualidade do solo sob diferentes usos. Campinas. 2008. 104 p. Dissertação (Mestrado em Agricultura Tropical e Subtropical). IAC Instituto Agronômico.
- Klink CA, Machado RB (2005). A conservação do Cerrado brasileiro. *Megadiversidade* 1(1):147-155.
- Koppen WP (1931). Grundriss der klimakunde. <http://agris.fao.org/agris-search/search.do?recordID=US201300361310>
- Mendonça RDS, Da Silva CM, Santos LA, De Souza MA (2010). Sistemas de produção do tipo mandala utilizando energia solar: uma contribuição ao desenvolvimento autosustentável para o homem no semi-árido paraibano. V connepi-2010.
- Moreira FMDS, Siqueira JO (2006). Microbiologia e Bioquímica do Solo. Editora UFLA. http://prpg.ufla.br/_ppg/solos/wp-content/uploads/2012/09/MoreiraSiqueira2006.pdf
- Moreira FMDS, Silva K, Nóbrega RSA, Carvalho F (2010). Bactérias diazotróficas associativas: diversidade, ecologia e potencial de aplicações. *Comunicata Scientiae* 1(2):74-100.
- Pereira AP (2015). Influência da profundidade do solo e do manejo de *Eucalyptus grandis* e *Acacia mangium* na estrutura das comunidades microbianas do solo. 2015. Dissertação (Mestrado em Solos e Nutrição de Plantas) - Escola Superior de Agricultura Luiz de Queiroz, Universidade de São Paulo, Piracicaba, 2015.
- Rice CW, Moorman TB, Beare M (1996). Role of microbial biomass carbon and nitrogen in soil quality. *Methods for assessing soil quality, methodsforasses* pp. 203-215.
- Soares JPG, Cavalcante ACR, Junior EVH (2010). Agroecologia e sistemas de produção orgânica para pequenos ruminantes. *Embrapa Agrobiologia, Seropédica*. <https://ainfo.cnptia.embrapa.br/digital/bitstream/item/36656/1/AAC-Agroecologia-e-sistemas.pdf>

Related Journals:

